Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

# A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Rebecca Feemster Dye

Maiden Name: Rebecca Lynn Feemster

Nickname: "Becky"

2. Position to which nominated: Commissioner, Federal Maritime Commission

3. Date of Nomination: May 26, 2016

4. Address (List current place of residence and office addresses):

Home:

Leesburg, Virginia 20176

Office:

Washington, D.C. 20573

5. Date and Place of Birth: May 8, 1952 Charlotte, North Carolina

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

I am divorced. My daughter is Caroline Lytton Dye, age 26.

7. List all college and graduate degrees. Provide year and school attended.

University of North Carolina at Chapel Hill, Bachelor of Arts awarded May, 1974.

University of North Carolina School of Law, Juris Doctorate awarded May, 1977.

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

December 2002-Present Commissioner Federal Maritime Commission

January 1995-December 2002 Counsel and Subcommittee Staff Director Committee on Transportation and Infrastructure U.S. House of Representatives

February 1987-January 1995 Minority Counsel Committee on Merchant Marine and Fisheries U.S. House of Representatives

June 1985-February 1987
Legislative Attorney
Legislation Division, Office of the Chief Counsel
Maritime Administration of the Department of Transportation

August 1983-June 1985 Law Instructor United States Coast Guard Academy

June-August 1983 Legislative Attorney Office of the Assistant Counsel for Legislation United States Department of Transportation

1980-1983 Legislative Attorney Legislation Division, Office of the Chief Counsel United States Coast Guard Headquarters

1979-1980 Assistant Division Chief Legal Administration Division, Office of the Chief Counsel United States Coast Guard Headquarters

1978-1979 Attorney Project Coordinator Legal Services of North Carolina

1977-1978 Special Counsel Broughton Psychiatric Hospital

1977 Instructor Dyslexia School

1976-1977 (Part-time) Sales Clerk Belk-Leggett Co.

1975-1976 (Part-time) UNC School of Law library

1975 (Part-time) Instructor Reading Research Foundation

- 9. Attach a copy of your resume.
- 10.List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Executive Women in Government (Nonprofit): Vice President, 2012-2013.

- 12.Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
  - North Carolina State Bar, 1977-Present
  - White House Military Aides Association, 1983 to Present
  - Executive Women in Government, 2012 to Present (Vice President 2012-2013)
  - International Women's Forum, Washington, D.C., 2014-Present
  - Women's International Shipping and Trading Association (WISTA), 2008-Present
  - Loudoun County Republican Women's Club, December 2012-Present
  - The Falls Church Anglican, 2010-Present

It is my understanding that the groups above would admit male applicants under the same requirements as female applicants for membership.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

\$500-Friends of George Allen

\$500-Republican National Committee

\$500-Steele for Maryland, Inc.

\$1000-Romney for President (Paul D. Ryan)

\$2500-Romney Victory, Inc.

\$1000-Romney Victory, Inc.

\$500- Romney Victory, Inc.

\$1000-Romney for President

\$1500-Romney for President

\$1000-Romney for President

\$500-Romney for President

\$1000-McConnell Senate Committee

\$1000-McConnell Senate Committee

\$700-Cruz for President

\$1000-Cruz for President

\$700-National Republican Senatorial Committee

\$1000-Cruz for President

Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

- 15.List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.
  - Coast Guard Commendation Medal
  - Coast Guard Achievement Medal
  - · Coast Guard Meritorious Public Service Award
- 16.Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

• "Slick Work: An Analysis of the Oil Pollution Act of 1990"; Published in 1992 by the Journal of Energy, Natural Resources and Environmental Law; Coauthored with Cynthia M. Wilkinson and Lisa Pittman.

In the past, I have been asked infrequently to give remarks concerning current issues related to my position. I speak from brief notes for the appearances, and do not keep copies of my notes or the dates of appearances. Following are the speeches for which I have retained prepared remarks:

- September, 2007, Comments Before the National Custom Brokers and Forwarders Association of America;
- April, 2008, Comments Before the National Industrial Transportation League;
- April, 2009, Remarks at the Global Liner Shipping Conference;
- April, 2009, Comments before the National Custom Brokers and Forwarders Association of America;
- October, 2009, Comments Before the National Association of Waterfront Employers;
- April, 2010, Comments Before the National Custom Brokers and Forwarders Association of America;
- October, 2010, Comments Before the Midwest Specialty Grains Conference and Trade Show;
- October, 2010, Comments Before the American Metal Market Scrap and Scrap Substitutes Conference;
- November, 2010, Comments at the Western Cargo Conference (WESCON);
- November, 2010, Comments at the Northeast Cargo Symposium in Boston;
- December, 2011, Comments at the American Metal Market Moving Metals Conference;
- June, 2012, Comments Before the Canadian American Business Council;
- September 2013, Comments Before the National Customs Brokers and Freight Forwarders Association of America;
- May, 2014, Remarks to the European Maritime Law Organization;
- May, 2015, Remarks to the Propeller Club of Wilmington, N.C.;
- June, 2015, Remarks to the Propeller Club of Washington, D.C..

- 17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.
  - Committee on Commerce, Science, and Transportation, U.S. Senate, two appearances:
    - o July 31, 2002, Nomination Hearing; and
    - o November 30, 2010, Nomination Hearing
  - Subcommittee on Coast Guard and Maritime Transportation, Committee on Transportation and Infrastructure, U.S. House of Representatives, five appearances:
    - o April 15, 2008, Fiscal Year 2009 Federal Maritime Commission Budget Request;
    - o June 19, 2008, Management of the Federal Maritime Commission;
    - o May 13, 2009, Fiscal Year 2010 Federal Maritime Commission Budget Request;
    - o March 17, 2010, Capacity of Vessels to Meet U.S. Import and Export Requirements; and
    - o June 30, 2010, Update on Federal Maritime Commission's Examination of Vessel Capacity
- 18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I believe my 35 years of experience in matters concerning maritime law and policy, including my experience as a Federal Maritime Commissioner, qualify me for this position. If confirmed, I believe that my experience and other qualifications will allow me to discharge successfully the responsibilities of the position for which I have been nominated. I believe it is an honor to serve the people of the United States in the position for which I have been nominated.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

If confirmed, I will continue to cooperate with the Chairman of the Federal Maritime Commission to ensure that the Commission has proper management and accounting controls. In the absence of a Federal Maritime Commission Chairman from November 2006 to June 2009, I performed the management duties of Chairman for the agency in cooperation with my fellow commissioners and am familiar with all management and accounting requirements of the agency.

20. What do you believe to be the top three challenges facing the department/agency, and why?

As a small agency, the Federal Maritime Commission is challenged to enforce the law strategically in order to use limited resources wisely. As an independent agency, the Federal Maritime Commission is challenged to enforce the law independently after considering all relevant view points and other legal mandates of the Commission. Finally, the Commission is challenged today to enforce the law and other requirements of the agency in accordance with the purposes of our organic statute, the Shipping Act of 1984, as amended.

## B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

None.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

No.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I am unaware of any potential conflicts of interest at this time. If any potential conflicts arise I will recuse myself from consideration of the matters involved.

## C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? No. If yes:
  - a. Provide the name of agency, association, committee, or group;
  - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
  - c. Describe the citation, disciplinary action, complaint, or personnel action;
  - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
- 2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

I was the Plaintiff in a civil divorce proceeding for which a Final Divorce Decree was issued on August 27, 2008.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6.	Please advise the Committee of any additional information, favorable or
	unfavorable, which you feel should be disclosed in connection with your
	nomination.

## D. RELATIONSHIP WITH COMMITTEE

1.	Will you ensure that your department/agency complies with deadlines for
	information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

# F. Affidavit

being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 3/day of 1/4, 20/1/2

Notary Public

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### REBECCA F. DYE



## PROFESSIONAL BACKGROUND

### Federal Maritime Commission, Washington, DC

December 2002 - Present

### Commissioner

Nominated by Presidents George W. Bush and Barack H. Obama and confirmed by the United States Senate for three successive terms to the Federal Maritime Commission. Developed expertise in international supply chain effectiveness, combining freight transportation experience with major U.S. importers and exporters, international ocean carriers, ports and marine terminal operators, truckers, and railroads. Supported free market solutions to commercial transportation problems and opposed industry overregulation.

Participated in negotiations that facilitated the Bilateral Maritime Agreement between the Peoples Republic of China and the United States. Dissented on legal grounds in major Commission complaint proceedings, notably the dissent accepted by the U.S. Court of Appeals for the D.C. Circuit in *Landstar Express Am., Inc. v. FMC*, F.3<sup>rd</sup> 493 (D.C. Cir. 2009). Conducted major Commission investigation in 2010 involving ship capacity in U.S. export and import trades. Currently leading Commission initiative to develop innovative solutions to international supply chain and port congestion challenges.

# Transportation and Infrastructure Committee, U.S. House of Representatives

January 1995 - December 2002

### Counsel and Staff Director

Provided legal and political advice to Members of Congress on matters including the Federal budget process and budget reconciliation, international ocean shipping, marine environmental pollution, international maritime safety and environmental protection agreements, law enforcement, and all other matters related to maritime transportation. Developed and negotiated enactment of major maritime legislation, including the Ocean Shipping Reform Act of 1998, which successfully deregulated international ocean shipping, and the Maritime Transportation Security Act of 2002, which established a port and vessel security regime following the attacks of September 11, 2001.

### Merchant Marine and Fisheries Committee, U.S. House of Representatives

February 1987 - January 1995

## **Minority Counsel**

Provided legal and political advice to Members of Congress on matters including the Federal budget process and Federal finance, marine environmental pollution, maritime safety and law enforcement, and ship and yacht financing. Participated in development and negotiations of the Oil Pollution Act of 1990, enacted following the Exxon Valdez oil spill in Prince William Sound, Alaska.

# Office of the Chief Counsel Maritime Administration, Washington, DC

June 1985 - February 1987

### Legislative Attorney

Researched, drafted, and coordinated clearance of Maritime Administration legislation, policy positions, and Congressional testimony through the Office of Management and Budget for submission to Congress. Provided advice in all areas of interest to the merchant marine industry, including Federal ship financing, cargo preference requirements, U.S. flag ship subsidy reform, and federal budgetary and tax reform issues.

REBECCA F. DYE

## United States Coast Guard Academy, New London, CT

August 1983 - June 1985

#### Law Instructor

Instructed Coast Guard cadets on a variety of legal topics, including the legislative process, military law and procedure, tort liability of the United States, and selected administrative and international law topics.

### Office of the General Counsel

United States Department of Transportation, Washington, DC

June-August 1983

### Legislative Attorney

Detailed by Coast Guard to Office of Assistant General Counsel. Researched, drafted, and coordinated clearance of Department of Transportation legislation, policy positions, and Congressional testimony through the Office of Management and Budget for submission to Congress. Provided advice concerning Carriage of Goods at Sea and other transportation matters.

# Office of the Chief Counsel United States Coast Guard Headquarters, Washington, DC

1979 - 1983

## Legislative Attorney

Researched, drafted, and coordinated clearance of Coast Guard legislation, policy positions, and Congressional testimony through the Office of Management and Budget for submission to Congress. Provided advice concerning Federal financing through user fees, deep draft port and inland waterways user fees, Armed Forces pay and personnel matters, and military justice. Certified as Trial and Defense Counsel in General and Special Courts-Martial. Served as Coast Guard White House Military Social Aide.

# Assistant Division Chief, Legal Administration Division

Commissioned as a Lieutenant in the United States Coast Guard Reserve. Responsibilities included budgetary and personnel planning.

Legal Services of North Carolina, Attorney Project Coordinator

1978 - 1979

Broughton Psychiatric Hospital, Morganton, NC, Special Counsel

1977 - 1978

### **EDUCATION**

University of North Carolina at Chapel Hill, Bachelor of Arts Degree, 1974 University of North Carolina School of Law, Juris Doctorate Degree, 1977

### BAR MEMBERSHIP

Admitted to North Carolina State Bar, 1977

### **PUBLICATIONS**

"Slick Work: An Analysis of the Oil Pollution Act of 1990", 12 *Journal of Energy*, *Natural Resources, and Environmental Law* 183 (1992) (with Cynthia Wilkinson and Lisa Pittman).