

Cantwell 1

Maria Cantwell

AMENDMENT NO. _____

Calendar No. _____

Purpose: To improve the bill.

IN THE SENATE OF THE UNITED STATES—116th Cong., 2d Sess.

S. 3771

To require the Secretary of Commerce to establish the Federal Advisory Committee on the Development and Implementation of Artificial Intelligence, and for other purposes.

Referred to the Committee on _____ and
ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Ms. CANTWELL

Viz:

1 Beginning on page 2, strike line 1 and all that follows
2 through page 17, line 18, and insert the following:

3 **SEC. 2. SENSE OF CONGRESS.**

4 It is the sense of Congress that—

5 (1) understanding and preparing for the ongoing
6 development of artificial intelligence is critical to
7 the economic prosperity and social stability of the
8 United States;

9 (2) as artificial intelligence evolves, it can greatly
10 benefit society by powering the information economy,
11 fostering better informed decisions and finding

1 solutions to many of the major challenges that are
2 faced by the United States;

3 (3) for the reasons set forth in paragraph (2),
4 it is beneficial to better understand artificial intel-
5 ligence and foster the development of artificial intel-
6 ligence in a manner that maximizes its benefit to so-
7 ciety; and

8 (4) it is critical that the priorities of the advi-
9 sory committee established under section 3(a)(1) in-
10 clude developing guidance or recommendations—

11 (A) to promote a climate of investment and
12 innovation to ensure the global competitiveness
13 of the United States;

14 (B) to optimize the development of artifi-
15 cial intelligence to address the potential growth,
16 restructuring, or other changes in the United
17 States workforce that result from the develop-
18 ment of artificial intelligence, with particular
19 attention to traditionally underrepresented pop-
20 ulations that may be uniquely impacted;

21 (C) to promote and support the develop-
22 ment of trustworthy artificial intelligence and
23 mechanisms for identifying and mitigating bias
24 when and where appropriate; and

1 (D) to protect the privacy rights of individ-
2 uals.

3 **SEC. 3. ESTABLISHMENT OF FEDERAL ADVISORY COM-**
4 **MITTEE ON THE DEVELOPMENT AND IMPLE-**
5 **MENTATION OF ARTIFICIAL INTELLIGENCE.**

6 (a) ESTABLISHMENT.—

7 (1) IN GENERAL.—The Secretary of Commerce
8 shall establish a Federal advisory committee to ad-
9 vise the Secretary on matters relating to the devel-
10 opment of artificial intelligence.

11 (2) DESIGNATION.—The Federal advisory com-
12 mittee established under paragraph (1) shall be
13 known as the “Federal Advisory Committee on the
14 Development and Implementation of Artificial Intel-
15 ligence” (in this section referred to as the “Advisory
16 Committee”).

17 (b) PURPOSES OF THE ADVISORY COMMITTEE.—

18 (1) ADVICE.—The Advisory Committee shall
19 provide advice to the Secretary on matters relating
20 to the development and use of artificial general intel-
21 ligence and narrow artificial intelligence, including
22 on the following as they relate to artificial intel-
23 ligence:

24 (A) The competitiveness of the United
25 States, including matters relating to the pro-

1 motion of public and private sector investment
2 and innovation into the development of artificial
3 intelligence.

4 (B) Workforce, including matters relating
5 to the potential for using artificial intelligence
6 for rapid retraining of workers, due to the pos-
7 sible effect of technological displacement and to
8 increase the labor force participation of tradi-
9 tionally underrepresented populations, including
10 minorities, low-income populations, and persons
11 with disabilities.

12 (C) Education, including matters relating
13 to science, technology, engineering, and mathe-
14 matics education to prepare the United States
15 workforce as the needs of employers change.

16 (D) Ethics training and development for
17 individuals, including both private and govern-
18 ment technologists, working on and using artifi-
19 cial intelligence.

20 (E) Matters relating to open sharing of
21 data and the open sharing of research on artifi-
22 cial intelligence.

23 (F) International cooperation and competi-
24 tiveness, including matters relating to the com-
25 petitive international landscape for artificial in-

1 telligence-related industries and the compat-
2 ibility of international regulations.

3 (G) Accountability and legal rights, includ-
4 ing matters relating to oversight of artificial in-
5 telligence systems using regulatory and non-
6 regulatory approaches, the responsibility for
7 any violations of existing laws by an artificial
8 intelligence system, and ways to balance ad-
9 vancing innovation while protecting individuals
10 rights.

11 (H) Matters relating to how artificial intel-
12 ligence can serve or enhance opportunities in
13 rural and underserved communities.

14 (I) Government efficiency, including mat-
15 ters relating to how to promote cost saving and
16 streamline operations.

17 (J) Matters relating to individual civil
18 rights, including the applicability of existing
19 laws and regulations, an assessment regarding
20 how such rights are or will be affected by the
21 use of artificial intelligence technology, and
22 whether such uses should be subject to addi-
23 tional controls, oversight, or limitations.

24 (K) Matters relating to urbanization and
25 the development of smart cities.

1 (2) STUDY.—The Advisory Committee shall
2 study and assess the following:

3 (A) How the term “artificial intelligence”
4 should be defined for purposes of this section
5 and how the relevant scope of the Advisory
6 Committee should be defined, including how
7 such definitions relate to artificial systems and
8 both narrow and general forms of artificial in-
9 telligence. In carrying out this subparagraph,
10 the Advisory Committee shall consider the fol-
11 lowing:

12 “(1) The term ‘artificial intelligence’ includes
13 the following:

14 “(A) Any artificial systems that perform
15 tasks under varying and unpredictable cir-
16 cumstances, without significant human over-
17 sight, or that can learn from their experience
18 and improve their performance. Such systems
19 may be developed in computer software, phys-
20 ical hardware, or other contexts not yet con-
21 templated. They may solve tasks requiring
22 human-like perception, cognition, planning,
23 learning, communication, or physical action. In
24 general, the more human-like the system within

1 the context of its tasks, the more it can be said
2 to use artificial intelligence.

3 “(B) Systems that think like humans, such
4 as cognitive architectures and neural networks.

5 “(C) Systems that act like humans, such
6 as systems that can pass the Turing test or
7 other comparable test via natural language
8 processing, knowledge representation, auto-
9 mated reasoning, and learning.

10 “(D) A set of techniques, including ma-
11 chine learning, that seek to approximate some
12 cognitive task.

13 “(E) Systems that act rationally, such as
14 intelligent software agents and embodied robots
15 that achieve goals via perception, planning, rea-
16 soning, learning, communicating, decision-
17 making, and acting.

18 “(2) The term ‘artificial general intelligence’
19 means a notional future artificial intelligence system
20 that exhibits apparently intelligent behavior at least
21 as advanced as a person across the range of cog-
22 nitive, emotional, and social behaviors.

23 “(3) The term ‘narrow artificial intelligence’
24 means an artificial intelligence system that address-
25 es specific application areas such as playing stra-

1 strategic games, language translation, self-driving vehi-
2 cles, and facial or other image recognition.”.

3 (B) How to create a climate for public and
4 private sector investment and innovation in ar-
5 tificial intelligence.

6 (C) The possible benefits and effects that
7 the development of artificial intelligence may
8 have on the economy, workforce, and competi-
9 tiveness of the United States.

10 (D) Whether and how networked, auto-
11 mated, artificial intelligence applications and
12 robotic devices will displace or create jobs and
13 how any job-related gains relating to artificial
14 intelligence can be maximized.

15 (E) How bias can be identified and miti-
16 gated or eliminated when appropriate in the de-
17 velopment of artificial intelligence and in the al-
18 gorithms that support them, including with re-
19 spect to the following:

20 (i) The selection and processing of
21 data used to train artificial intelligence.

22 (ii) Diversity in the development of
23 artificial intelligence.

1 (iii) The ways and places the systems
2 are deployed and the potential harmful
3 outcomes.

4 (F) Whether and how to incorporate eth-
5 ical standards in the development and imple-
6 mentation of artificial intelligence.

7 (G) How the Federal Government can en-
8 courage technological progress in implementa-
9 tion of artificial intelligence that benefits the
10 full spectrum of social and economic classes.

11 (H) How the privacy rights of individuals
12 are or will be affected by technological innova-
13 tion relating to artificial intelligence.

14 (I) Whether technological advancements in
15 artificial intelligence have or will outpace the
16 legal and regulatory regimes implemented to
17 protect consumers.

18 (J) How existing laws, including those con-
19 cerning data access and privacy, should be mod-
20 ernized to enable the potential of artificial intel-
21 ligence.

22 (K) How the Federal Government utilizes
23 artificial intelligence to handle large or complex
24 data sets.

1 (L) How ongoing dialogues and consulta-
2 tions with multi-stakeholder groups can maxi-
3 mize the potential of artificial intelligence and
4 further development of artificial intelligence
5 technologies that can benefit the public at large.

6 (M) How the development of artificial in-
7 telligence can affect cost savings and streamline
8 operations in various areas of government oper-
9 ations, including health care, cybersecurity, in-
10 frastructure, and disaster recovery.

11 (N) How existing laws, regulations, and
12 guidance affect or address the provisions of this
13 section.

14 (O) Such other matters as the Advisory
15 Committee considers appropriate.

16 (3) REPORTS AND RECOMMENDATIONS.—

17 (A) REPORT BY ADVISORY COMMITTEE.—

18 Not later than 540 days after the date of the
19 enactment of this Act, the Advisory Committee
20 shall submit to the Secretary and to Congress
21 a report on the findings of the Advisory Com-
22 mittee and such recommendations as the Advi-
23 sory Committee may have for administrative or
24 legislative action relating to artificial intel-
25 ligence.

1 (B) RECOMMENDATIONS OF SECRETARY.—
2 Not later than 90 days after receiving the re-
3 port submitted under subparagraph (A), the
4 Secretary shall review the report and submit to
5 Congress such recommendations as the Sec-
6 retary may have with respect to the matters
7 contained in the report submitted under sub-
8 paragraph (A).

9 (c) MEMBERSHIP.—

10 (1) VOTING MEMBERS.—

11 (A) IN GENERAL.—The Advisory Com-
12 mittee shall be composed of 19 voting members
13 who shall be appointed by the Secretary, with
14 advisement from the Chair and Ranking Mem-
15 ber of the Committee on Commerce, Science,
16 and Transportation of the Senate and the Chair
17 and Ranking Member of the Committee on En-
18 ergy and Commerce of the House of Represent-
19 atives, for purposes of the Advisory Committee
20 from among individuals with expertise in mat-
21 ters relating to workforce development, ethics,
22 privacy, artificial intelligence, or computer
23 science.

1 (B) REPRESENTATION.—In carrying out
2 subparagraph (A), the Secretary shall ensure
3 that voting members are appointed as follows:

4 (i) Five members from the academic
5 or research community.

6 (ii) Six members from private indus-
7 try, at least 1 of whom shall be from a
8 small business concern.

9 (iii) Six members from civil society, at
10 least 2 of whom shall be from groups that
11 advocate for civil liberties or civil rights.

12 (iv) Two members from labor organi-
13 zations or groups, including those that rep-
14 resent the unique interests of traditionally
15 underrepresented populations.

16 (C) GEOGRAPHICAL DIVERSITY.—In car-
17 rying out subparagraph (A), the Secretary shall
18 ensure that the voting members of the Advisory
19 Committee come from diverse geographical loca-
20 tions within the United States.

21 (2) NONVOTING MEMBERS.—The Advisory
22 Committee shall also be composed of such nonvoting
23 members as the Secretary considers appropriate, ex-
24 cept that the Secretary shall appoint at least 1 such
25 member from each of the following:

- 1 (A) The Department of Education.
- 2 (B) The Department of Justice.
- 3 (C) The Department of Labor.
- 4 (D) The Department of Transportation.
- 5 (E) The Department of Homeland Secu-
- 6 rity.
- 7 (F) The Federal Trade Commission.
- 8 (G) The National Institute of Standards
- 9 and Technology.
- 10 (H) The National Science Foundation.
- 11 (I) The National Science and Technology
- 12 Council.
- 13 (J) The intelligence community (as defined
- 14 in section 3 of the National Security Act of
- 15 1947 (50 U.S.C. 3003)).
- 16 (K) The Privacy and Civil Liberties Over-
- 17 sight Board.
- 18 (L) Such other nonvoting members as the
- 19 voting members of the Advisory Committee con-
- 20 sider appropriate.
- 21 (3) CHAIRPERSON.—The Secretary shall ap-
- 22 point a chairperson for the Advisory Committee
- 23 from among the members appointed under para-
- 24 graph (1).

1 (d) MEETINGS.—The Advisory Committee shall
2 meet—

3 (1) in person no less frequently than twice each
4 year; and

5 (2) via telepresence no less frequently than once
6 every 2 months.

7 (e) SUBCOMMITTEE ON ARTIFICIAL INTELLIGENCE
8 AND LAW ENFORCEMENT AND SECURITY.—

9 (1) ESTABLISHMENT.—The chairperson of the
10 Advisory Committee shall establish a subcommittee
11 on matters relating to the development of artificial
12 intelligence relating to law enforcement and security
13 matters.

14 (2) ADVICE.—The subcommittee shall provide
15 advice to the Secretary on matters relating to the
16 development of artificial intelligence relating to law
17 enforcement and security matters, including advice
18 on the following:

19 (A) Bias, including whether the use of fa-
20 cial recognition by government authorities, in-
21 cluding law enforcement agencies, is taking into
22 account ethical considerations and addressing
23 whether such use should be subject to addi-
24 tional oversight, controls, and limitations.

1 (B) Security of data, including law en-
2 forcement's access to data and the security pa-
3 rameters for that data.

4 (C) Adoptability, including methods to
5 allow the United States Government and indus-
6 try to take advantage of artificial intelligence
7 technologies such as facial recognition tech-
8 nology while at the same time ensuring the po-
9 tential abuse of such technologies is sufficiently
10 mitigated.

11 (D) Legal standards, including whether
12 technological advancements in artificial intel-
13 ligence have or will outpace the legal and regu-
14 latory regimes implemented to protect con-
15 sumers, including the tools, training, and re-
16 sources that could be used by government, in-
17 cluding law enforcement agencies, to adapt to
18 the use of artificial intelligence technologies in-
19 cluding facial recognition consistent with the
20 privacy rights and civil liberties issues raised by
21 the use of these technologies.

22 (3) MEMBERSHIP.—The subcommittee shall be
23 composed of 9 members selected by the chairperson
24 as follows:

1 (A) Three members from the law enforce-
2 ment community.

3 (B) Two members from groups that advo-
4 cate for civil liberties or civil rights.

5 (C) Two members from private industry.

6 (D) Two members from the private secu-
7 rity community.

8 (f) POWERS.—In order to carry out its duties under
9 subsection (b), the Advisory Committee may—

10 (1) hold such hearings, sit and act at such
11 times and places, take such testimony, and receive
12 such evidence as the Advisory Committee considers
13 appropriate;

14 (2) submit to Congress such recommendations
15 as the Advisory Committee considers appropriate;

16 (3) submit to Federal agencies such rec-
17 ommendations as the Advisory Committee considers
18 appropriate;

19 (4) issue reports, guidelines, and memoranda;

20 (5) hold or host conferences and symposia;

21 (6) enter into cooperative agreements with
22 third-party experts to obtain relevant advice or ex-
23 pertise, and oversee staff;

24 (7) consult with stakeholders and experts;

25 (8) establish subcommittees; and

1 (9) establish rules of procedure.

2 (g) TRAVEL EXPENSES.—The members of the Advi-
3 sory Committee shall be allowed travel expenses, including
4 per diem in lieu of subsistence, at rates authorized for em-
5 ployees of agencies under subchapter I of chapter 57 of
6 title 5, United States Code, while away from their homes
7 or regular places of business in the performance of services
8 for the Advisory Committee.

9 (h) FUNDING.—

10 (1) IN GENERAL.—Except as provided in para-
11 graph (2), amounts to carry out this section shall be
12 derived from amounts appropriated or otherwise
13 made available to the Secretary.

14 (2) DONATIONS.—

15 (A) AUTHORIZATION.—The Advisory Com-
16 mittee may solicit and accept donations from
17 private persons and non-Federal entities to
18 carry out this section.

19 (B) LIMITATION.—Of the amounts ex-
20 pended by the Advisory Committee in a fiscal
21 year to carry out this section, not more than
22 half may be derived from amounts received
23 under subparagraph (A).