

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Thomas Francis Gilman
Tom Gilman

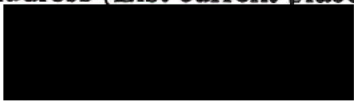
2. Position to which nominated:

Assistant Secretary of Commerce (Administration)
and Chief Financial Officer

3. Date of Nomination:

July 27, 2018

4. Address (List current place of residence and office addresses):



5. Date and Place of Birth:

February 15, 1951
Pittsburgh, PA

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse is Retired

Daughter: Nicole Gilman O'Connell, Age 36

Daughter: Colette Gilman Kline, Age 31

7. List all college and graduate degrees. Provide year and school attended.

Villanova University, Villanova, PA
Bachelor in Business Administration, 1973

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

President & CEO, Automotive Capital Services, Inc
Executive Vice President, Ocwen Financial Corporation, Inc.
President & CEO, Greenstar Consulting LLC
President & CEO, Toronto Dominion Automotive Finance
Executive Vice President, Toronto Dominion Bank Group
Chairman & CEO, Chrysler Financial
Senior Advisor, Cerberus Operations and Advisory Corporation
President & CEO, CEO Solutions LLC
Senior Vice President & Chief Financial Officer, Asbury Automotive
Chief Integration Officer, DaimlerChrysler Corporation
Senior Vice President & Chief Financial Officer, Chrysler Financial
Director, Asia Pacific Finance, Chrysler Corporation
Director, Corporate Credit, Chrysler Corporation
Manager, Automotive Financial Analysis, Chrysler Motors Company
Manager, Corporate Financial Analysis, Chrysler Corporation
Controller, Chrysler-Plymouth Division, Chrysler Corporation
Manager, Corporate Financial Studies, Chrysler Corporation
Manager, Corporate Business Plans, Chrysler Corporation
Manager, Corporate Budgets, Chrysler Corporation
Manager, Profits & Investments, Chrysler de Mexico
Manager, Stamping & Assembly Division Finance,
Chrysler Corporation
Analyst, Corporate Profit Analysis, Chrysler Corporation
Budget Analyst, Indianapolis Foundry, Chrysler Corporation
Cost Accountant, Bendix Corporation, St. Joseph, MI
Accounts Receivable Analyst, Bendix Corporation, St. Joseph, MI
Budget Analyst, Colt Firearms Division, Hartford CT
Budget Analyst, Indianapolis Foundry, Chrysler Corporation
Financial Trainee, Basic Manufacturing Division,
Chrysler Corporation, Detroit MI

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Officer Positions:

Automotive Capital Services, Inc.	2015 - 2018
Ocwen Financial Corporation, Inc.	2014 - 2018
Greenstar Consulting Group LLC	2012 - 2014
Toronto Dominion Bank Group	2011 - 2012
Toronto Dominion Automotive Finance	2011 - 2012
Chrysler Financial	2007 - 2011
CEO Solutions LLC	2002 - 2007
Asbury Automotive Group	2001 - 2003

Consultative:

Greenstar Consulting Group LLC	2012 - 2014
CEO Solutions LLC	2002 - 2007

Director:

Chrysler Financial	2007 - 2011
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Trustee:

The Gilman Family Trust	1995 - Present
The Francis L. Gilman Trust	2018 - Present
The Ellen G. Gilman Trust	2005 - Present
The Maribeth Meurer Trust	2018 - Present

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Plum Hollow Country Club, Southfield MI
2008 to 2011 No Restrictions
Desert Mountain Club, Scottsdale AZ
2005 to present No Restrictions
Arizona Community Foundation
1995 to present No Restrictions
The Republican National Committee
2009 to present No Restrictions
The Republican Governor's Association
2014 to present No Restrictions
The National Republican Senate Committee
2009 to present No Restrictions
The National Republican Congressional Committee
2009 to present No Restrictions
Villanova University Alumni Association
1973 to present No Restrictions

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

Alternate Delegate to the Democratic National Convention, 1972
No campaign debt

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

**Political Contributions
(To the Best of My Knowledge as of 8/9/18)**

	<u>Date</u>	<u>Amount</u>	<u>Recipient</u>
2018	4/27/2018	\$ 5,000.00	National Republican Senate Committee
	2/16/2018	\$ 33,900.00	Republican National Committee
	3/30/2018	\$ 3,400.00	Martha McSally for Senate
	3/28/2018	\$ 5,400.00	Senator Dean Heller
	2/22/2018	\$ 35,000.00	Trump Victory
	3/30/2018	\$ 2,700.00	Rep. Todd Rokita
	3/29/2018	\$ 2,700.00	Steve Ferrara
	3/29/2018	\$ 2,700.00	Rep. David Schweikert
	2/12/2018	\$ 2,700.00	Shiva Ayyadurai
	2/20/2018	\$ 1,835.00	Sean Duffy
	3/31/2018	\$ 2,700.00	Lena Epstein
	3/31/2018	\$ 2,700.00	Senator Dean Heller
	3/29/2018	\$ 2,300.00	Rep. David Schweikert
	2/16/2018	\$ 1,100.00	Republican National Committee
	3/30/2018	\$ 2,700.00	Senator Ben Sasse
	3/29/2018	\$ 5,400.00	Duffy for Wisconsin
	4/14/2018	\$ 2,500.00	Perdue Senate
	6/27/2018	\$ 5,400.00	Josh Hawley Campaign
	7/10/2018	\$ (2,700.00)	Rep. Todd Rokita
	3/1/2018	\$ (2,700.00)	Shiva Ayyadurai
2017	10/10/2017	\$ 2,500.00	Mark Brnovich for AZ
	9/26/2017	\$ 15,000.00	Trump Victory
	9/13/2017	\$ 2,700.00	Senator Roger Wicker
	4/26/2017	\$ 2,000.00	Martha McSally
	1/3/2017	\$ 6,600.00	Trump Inauguration Committee
	1/4/2017	\$ 100.00	58th Presidential Inauguration
	2/25/2017	\$ 1,000.00	State Society of Arizona
	4/21/2017	\$ 2,700.00	Senator Roger Wicker
	3/1/2017	\$ 5,100.00	Governor Doug Ducey 2018
	3/1/2017	\$ 1,000.00	Governor Pete Ricketts

	1/4/2017	\$ 33,400.00	Republican National Committee
	12/30/2017	\$ 2,700.00	Phil Lovas
	11/3/2017	\$ 2,700.00	Rep. Todd Rokita
2016	10/28/2016	\$ 2,700.00	Trump Victory
	10/26/2016	\$ 1,000.00	Martha McSally
	8/30/2016	\$ 2,700.00	Friends of Pat Toomey
	8/30/2016	\$ 1,000.00	Arizona Responsible Drug Policy
	6/16/2016	\$ 10,000.00	Trump Victory
	4/1/2016	\$ 2,500.00	Prop 123
	4/1/2016	\$ 2,700.00	Friends of John McCain
	4/1/2016	\$ 33,400.00	Republican National Committee
	6/16/2016	\$ 4,600.00	Republican National Cmte
	7/6/2016	\$ 5,000.00	Trump for America
	7/21/2016	\$ 2,700.00	Gowdy, Trey
	8/22/2016	\$ 2,700.00	Rep. David Schweikert
	3/31/2016	\$ 2,700.00	McCain, John
	6/16/2016	\$ 2,700.00	Trump, Donald
	9/30/2016	\$ 2,700.00	Todd Young
	10/26/2016	\$ 2,700.00	Grassley, Chuck
	4/1/2016	\$ 1,600.00	Republican National Cmte
2015	11/19/2015	\$ 500.00	McCarthy, Kevin
	10/22/2015	\$ 1,000.00	Lane 4 Scottsdale
	3/30/2015	\$ 5,400.00	Ron Johnson for US Senate
	6/1/2015	\$ 2,700.00	Lindsey Graham
	7/27/2015	\$ 2,700.00	Chris Christie for President
	8/21/2015	\$ 35,000.00	Republican National Committee
	10/21/2015	\$ 500.00	McCarthy Victory Fund
2014	10/31/2014	\$ 10,000.00	Republican Party of North Carolina
	10/24/2014	\$ 32,400.00	Republican National Committee
	6/30/2014	\$ 10,000.00	Republican Party of Florida
	10/27/2014	\$ 15,000.00	Republican Party of Florida
	11/3/2014	\$ 10,000.00	Colorado Republican Cmte
	3/28/2014	\$ 5,200.00	Cotton for Senate
	10/27/2014	\$ 10,000.00	Republican Party of Iowa
	9/29/2014	\$ 2,600.00	Tobin, Andy
	8/26/2014	\$ 2,600.00	Tobin, Andy
	10/27/2014	\$ 2,400.00	Republican National Cmte
	5/19/2014	\$ 2,600.00	Rothfus, Keith J
	11/26/2014	\$ 500.00	National Republican Congressional Cmte

	7/1/2014	\$ 1,000.00	Sheriff Joe Arpaio
2013	2/14/2013	\$ 10,000.00	Seventh District Republican Cmte
	11/29/2013	\$ 7,400.00	Republican National Cmte
	2/14/2013	\$ 2,600.00	Cantor, Eric
	5/5/2013	\$ 5,200.00	Graham, Lindsey
	2/14/2013	\$ 5,000.00	Every Republican is Crucial PAC
	3/29/2013	\$ 4,800.00	National Republican Congressional Cmte
	8/19/2013	\$ 2,600.00	Schweikert, David
	12/30/2013	\$ 3,080.00	Friends of Doug Ducey
	12/1/2013	\$ 7,400.00	Republican National Cmte
	11/13/2013	\$ 912.00	Friends of Doug Ducey
	4/30/2013	\$ 5,200.00	Team Graham (Lindsey Graham)
	4/30/2013	\$ 25,000.00	Republican National Cmte
2012	4/2/2012	\$ 10,000.00	7th District Republican Cmte
	11/12/2012	\$ 625.00	Republican State Committee of Mass.
	5/31/2012	\$ 5,000.00	Quayle, Ben
	8/31/2012	\$ 2,675.00	Oaklahoma Leadership Council
	8/31/2012	\$ 2,675.00	Republican Party of Idaho
	8/31/2012	\$ 2,675.00	Vermont Republican Federal Elections Comm.
	5/31/2012	\$ 5,000.00	National Republican Congressional Cmte
	3/30/2013	\$ 2,500.00	Eric Cantor
	10/4/2012	\$ 5,000.00	National Republican Congressional Cmte
	11/2/2012	\$ 625.00	Oaklahoma Leadership Council
	11/2/2012	\$ 625.00	Republican Party of Idaho
	9/6/2012	\$ 2,375.00	National Republican Senate Committee
	7/31/2012	\$ 2,375.00	National Republican Congressional Comm.
	3/31/2012	\$ 25,000.00	Cantor Victory Fund
	7/5/2012	\$ 6,500.00	Victory Convention of Franklin TN
	10/17/2012	\$ 2,500.00	Romney Victory Inc.
	7/16/2012	\$ 4,750.00	Romney Victory Inc.
	6/25/2012	\$ 2,500.00	Romney For President Inc.
	6/25/2012	\$ 44,000.00	Romney Victory Inc.
	3/31/2012	\$ 50,000.00	Cantor Victory Fund
2011	5/31/2011	\$ 5,000.00	Every Republican is Crucial PAC
	5/31/2011	\$ 2,500.00	Cantor, Eric
	5/31/2011	\$ 25,000.00	Cantor Victory Fund
	11/15/2011	\$ 2,500.00	Romney For President Inc.
2010	8/4/2010	\$ 2,400.00	Quayle, Ben

2009

2008 8/30/2008 \$ 2,675.00 Republican State Cmte of Massachusetts

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

None

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

None

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Over the years I have accumulated many skills that I believe would contribute to success in my role at the Commerce Department. Some of these skills include:

- Analytical Abilities
- Problem Solving
- Decisiveness
- Communication Skills

Leadership Skills
Honesty and Integrity

I wish to serve in this capacity as part of my overall desire to serve my country. I have never had the opportunity to do so. The position requires the use of all of my skills and I am qualified to execute the requirements of the position due to my extensive experience in managing a variety of businesses throughout my career.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

In all of the organizations I have managed, internal controls and compliance were paramount to the overall success of the organization. I would bring this experience with me to the Commerce Department. I was CFO of a business that had \$85 Billion in managed assets and I was CEO of a company that had \$55 billion in managed assets. I have run large organizations as well as every discipline within those organizations.

20. What do you believe to be the top three challenges facing the department/agency, and why?

I believe that the top three challenges that I will experience in my role, if confirmed, will be, first, NOAA's satellite program and managing their life cycle costs. Satellites make up a large portion of NOAA's budget and are critical to the NWS forecasts and data dissemination. On the other hand, if these budgets are not carefully crafted, they run the risk of jeopardizing the other line office's budgets.

Second, the 2020 Census will be of immediate importance. Just coming off of the End to End Test, the Census will be rapidly ramping up and need a careful eye to monitor spending, contracts and overall costs. As has been noted by Secretary Ross, he has taken a personal interest in insuring that we have an accurate life cycle cost estimate and that the Census will be complete and accurate. I too share the Secretary's sentiment.

Finally, a large challenge will be the overall hiring process at the Department of Commerce. Several bureaus have experienced delays in hiring and filling vacancies. If confirmed, I will ensure the Department fills

vacancies expeditiously and use my private sector experience to apply workable solutions.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts

Pensions from Toronto Dominion Bank and Chrysler Corporation
No other agreements

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and Department of Commerce ethics officials to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement and supplemental agreement that I have entered into with the Department of Commerce's designated agency ethics official and that has been provided to this Committee. I am not aware of any other conflicts of interest.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and Department of Commerce ethics officials to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement and supplemental agreement that I have entered into with the Department of Commerce's designated agency ethics official and that has been provided to this Committee. I am not aware of any other conflicts of interest.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

In 2009, was engaged in the legislation being prepared to deal with the financial crisis including TARP legislation.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with the nomination process, I have consulted with the Office of Government Ethics and Department of Commerce ethics officials to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement and supplemental agreement that I have entered into with the Department of Commerce's designated agency ethics official and that has been provided to this Committee. I am not aware of any other conflicts of interest

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Yes. Numerous civil litigation actions associated with my role as Chairman & CEO of Chrysler Financial (lawsuits filed by defaulted or delinquent auto dealers). Also, in 2003 was a party in a civil lawsuit filed to recover unpaid compensation owed to me for my consulting with a financial & accounting outsourcing company named LeapSource LLC.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes


4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

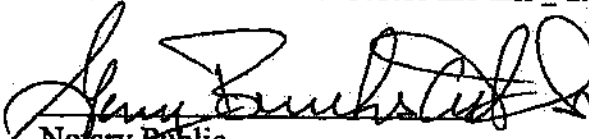
F. Affidavit

_____ being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this ²⁰¹⁹ day of August, 2019.


Notary Public

GARY BURCHSTED SR.
NOTARY PUBLIC
State of Connecticut
My Commission Expires
December 31, 2018

9. Attach a copy of your resume

Thomas F. Gilman Resume

Thomas F. Gilman has more than 40 years of experience in the global automotive industry as a senior executive and leader in several highly competitive business environments. Mr. Gilman has unique operational and financial experience having held senior positions in automotive manufacturing, supply, auto financing and automotive retailing. Mr. Gilman has lived in the US, Canada, Mexico, Brazil and the U.S. Virgin Islands, and has conducted business in 21 countries of the world.

Mr. Gilman began his career at Chrysler Corporation where he held financial positions in manufacturing, product development, sales, marketing, treasury, and international operations.

Highlights of his 30 years at Chrysler include:

- One of 12 Chrysler executives selected to the Chrysler Corporation/Daimler-Benz Merger Integration Team. Specifically responsible for the integration of all Daimler-Benz and Chrysler Corporation financial services companies worldwide. This merger created a global financial institution with \$85 billion in managed assets
- Appointed Chief Financial Officer of Chrysler Financial Corporation. During his tenure, the company experienced four years of double-digit growth rates and 16 consecutive quarters of record profits
- Developed the company's China Strategy and negotiated the China auto manufacturing plan
- Restructured and supported hundreds of automotive dealers and several suppliers during the 1990-1992 industry downturn
- Managed global credit operations for Chrysler Corporation, including all domestic dealers and international distributors
- Created Chrysler's Billion Dollar Cost Reduction Program (1990)
- Acquisition team - American Motors/Jeep (1987)
- Acquisition team - Gulfstream Aerospace (1986)
- Key support executive for Lee Iacocca (1984-1985)
- Member of Chrysler's Government Loan Guarantee Team (1979-1980)

In April of 2001, Mr. Gilman joined Asbury Automotive Group LLC as Senior Vice President and Chief Financial Officer. Asbury Automotive Group is one of the largest automotive retailers in the U.S.

Highlights of his Asbury experience:

- Structured a \$1.3 billion credit agreement with the Big Three captive finance companies, General Motors Acceptance Corporation, Ford Motor Credit, and Chrysler Financial. This was the first time in automotive history that these financial institutions worked together on a common credit facility
- In March 2002, Mr. Gilman completed the Initial Public Offering of Asbury, NYSE:ABG

In January of 2006, Mr. Gilman joined Cerberus Capital Management as a Senior Advisor to assist in the acquisitions of General Motors Acceptance Corporation, Chrysler and Chrysler Financial

In November of 2007, Mr. Gilman was appointed Executive Vice Chairman and Member of the Board of Management of Chrysler Financial LLC

In January 2009, Mr. Gilman was elected Chairman of the Board and Chief Executive Officer of Chrysler Financial LLC

- Mr. Gilman was instrumental in managing Chrysler Financial through the Chrysler bankruptcy and TARP government regulations. His strategic leadership resulted in a successful sale of Chrysler Financial to TD Bank Group in April 2011.

Mr. Gilman joined TD Bank Group as an Executive Vice President and was named President and CEO of TD Auto Finance. Highlights of his TD experience:

- Responsible for all North American auto lending, established national brands in both the US and Canada branded as TD Auto Finance
- Re-established relationships with automotive dealers and originated \$8 billion of auto loans in the US and \$6 billion in Canada in his first 12 months as CEO
- Developed a long-term strategic plan to ensure achievement of acquisition goals and expected returns for the business

Mr. Gilman founded Automotive Capital Services, Inc., an operating division of Ocwen Financial Corporation. In September 2014 he was appointed President & CEO of Automotive Capital Services, Inc. and an Executive Vice President of Ocwen Financial Corporation.

- Established an automotive entity to create a financial lending platform for Independent Automotive Used Vehicle dealers. Initial product offering; Inventory Financing
- ACS originated almost \$300 million of loans in 2 years
- In January 2018, ACS was sold to Automotive Financing Corporation, a subsidiary of KAR, Inc.

Mr. Gilman has been a member of the Board of Directors of numerous public and private companies, and has served as Chairman of Audit Committees for public companies.

Education:

- Bachelor in Business Administration concentration in Finance, Villanova University
- Post Graduate Studies, Butler University
- Center for Creative Leadership, Colorado Springs, Colorado