

**Senate Commerce Committee Coast Guard Nominee Questionnaire
118th Congress**

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation (the “Committee”) asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type “None” or “Not Applicable” if a question does not apply to the nominee. Begin each section (i.e., “A”, “B”, etc.) on a new sheet of paper. Electronically submit your completed questionnaire to the Committee in PDF format and ensure that sections A through E of the completed questionnaire are in a text searchable and that any hyperlinks can be clicked. Section F may be scanned for electronic submission and need not be searchable.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Wayne Roland Arguin Jr.

2. Position to which nominated:

Rear Admiral, U.S. Coast Guard

3. Date of Nomination:

October 27, 2023

4. Address (List current place of residence and office addresses):



**USCG Headquarters
2703 Martin Luther King Jr Ave SE
Washington DC, 20593**

5. Date and Place of Birth:

January 21, 1970, Whiteman AFB, Knob Noster, Missouri

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Andrea Cropp-Arguin - self-employed

Conner McKee Arguin-27

Carson Brigham Arguin-24

Connor Cropp-21

Kylie Cropp-21

7. List all college and graduate schools attended, whether or not you were granted a degree by the institution. Provide the name of the institution, the dates attended, the degree received, and the date of the degree.

1988-1992: U.S. Coast Guard Academy

Bachelor of Science, Nav. Arch/Marine Engineering

1999-2001: University of Michigan

Masters of Science, Nav. Arch/Marine Engineering

8. List all post-undergraduate employment, including the job title, name of employer, and inclusive dates of employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May 20, 1992. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above after 18 years of age.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution.

None

12. Please list each membership you have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Member: Propellor Club of New Orleans (2014-2018)

Board Member: Dumfries, Quantico, Triangle Little League (2010-2023)

Member: USCG Academy Alumni Association (May 1992 - Present)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

U. S. Coast Guard Legion of Merit Medal (x2)

U. S. Coast Guard Meritorious Service Medal (x4)

U. S. Coast Gard Commendation Medal (x4)

17. List each book, article, column, letter to the editor, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. If a link is not available, provide a digital copy of the publication when available.

“Brown Water Operations”, The Coast Guard Journal of Safety & Security at Sea, Proceedings of the Marine Safety & Security Council, Winter 2007-2008, Vol. 64, No. 4.

“Tragedy-Driven Change”, The Coast Guard Journal of Safety & Security at Sea, Proceedings of the Marine Safey & Security Council, Spring 2007, Vol. 64, No. 1.

“Simulation of Planing Hull Dynamics in the Transverse Plane”, University of Michigan, Masters of Science in Engineering Thesis, 2001

18. List all speeches, panel discussions, and presentations (e.g., PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

| | |
|----------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| 2014-2019 (Spring) | Greater New Orleans Barge Fleeting Area Seminar- Various Commercial Vessel Safety Topics (annual seminar) LINK |
| Aug 2016 Aug 2017 | Gulf Intracoastal Canal Association (GICA)- Sector Commander Panelist LINK |
| Jun 2017 | Pipeline Safety Workshop- Keynote address regarding aging infrastructure in SE LA and potential impacts to environment. LINK |
| Feb 2018 | Mississippi Valley Trade and Transport Council- Panelist/Towing vessel safety LINK |
| Mar 2018 | The Waterways Journal- Interview- Impacts of High-Water events on Mississippi River commerce LINK |

| | |
|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| April 2018 | WORKBOAT Magazine: Oil Spill closes Mississippi River at New Orleans LINK |
| April 2018 | WORKBOAT Magazine: Coast Guard reopens Mississippi River at New Orleans LINK |
| Aug 2018 | WORKBOAT Magazine: Coast Guard convenes formal investigation into duck boat disaster LINK |
| Aug 2019 | National Transportation Safety Board (NTSB) Roundtable- Fishing Vessel Safety LINK |
| 2021-present | U. S. Committee on the Marine Transportation System (CMTS) Quarterly Meetings- various topics pertaining to safety and security of US MTS. LINK |
| Apr 2021 | Greater New Orleans Barge Fleeting Area Seminar-Panelist (Review of new MTS regulations) LINK |
| Aug 2021 | North American Maritime Ministry Association (NAMMA)-panelist/ post COVID lessons learned to protect mariners LINK |
| 2022-2023 | National Auxiliary Convention- Speaker and panelist- USCG Aux program management topics LINK |
| 2022-present | MARAD Sponsored Every Mariner Builds a Respectful Culture (EMBARC) Quarterly Updates LINK |
| Apr 2022 | Greater New Orleans Barge Fleeting Area Seminar-Panelist (Cybersecurity in MTS) LINK |
| May 2022 | Safety at Sea Seminar/North American Marine Environmental Protection Association (NAMEPA)/AMVER & RADM Richard E. Bennis Awards-MTS Regulatory Outlook LINK |
| May 2022 May 2023 | International Boating and Watersports Safety Seminar- Keynote/boating safety initiatives LINK |
| Jun 2022 | Cyber in the MTS- Bloomberg Article LINK |
| Jun 2022 | National Boating Safety Advisory Committee- Opening Remarks about boating safety standards and importance of Sport Fish Trust Fund renewal. LINK |
| Sep 2022 | National Offshore Safety Advisory Committee (NOSAC)- Opening Remarks/offshore safety LINK |
| Sep 2022 | International Union of Maritime Insurance (IUMI): Keynote: SASH in maritime/Cybersecurity LINK |
| Sep 2022 | Trade Winds News: Senior US Coast Guard official pledges to tackle sexual harassment LINK |
| Oct 2022 | DHS: NMEDMAC Meeting 3 Minutes LINK |
| Jan 2023 | Student Day/SUNY Maritime Women Offshore- Panelist/SASH in maritime LINK |
| Jan 2023 | Liquefied Gas Carrier Center of Expertise Forum: Keynote/Alternative Fuels and the future of regulations/standards LINK |
| Feb 2023 | Anglo-Eastern Ship Management Conference: Keynote: Seafarer Advocacy/Mental Health LINK |
| Feb 2023 | Global MET Data in Maritime: Panelist-Data/AI/Machine Learning and the future of shipping LINK |
| Mar 2023 | CMA Shipping- Keynote Address- SASH in Maritime LINK |
| Mar 2023 | CNN Interview: Failed oversight, lax punishment: How the Coast Guard has allowed sexual assault to go unchecked. LINK |
| Mar 2023 | North American Maritime Ministries Association (NAMMA)- Keynote on marine mental health, wellbeing, and shore leave access. LINK |
| Apr 2023 | Greater New Orleans Barge Fleeting Area Seminar-Keynote speaker & Panelist (Towing Vessel Regulations, Sexual Assault Legislation, Cybersecurity) LINK |
| Apr 2023 | Safety at Sea Seminar/North American Marine Environmental Protection Association (NAMEPA)/AMVER Awards- state of maritime regulation/SASH LINK |
| Apr 2023 | National Association of Boating Law Administrators Annual Meeting- boating safety topics LINK |

19. List all public statements you have made during the past ten years, including statements in news articles and radio and television appearances, which are on

topics relevant to the position for which you have been nominated, including dates. Include a link to each statement when possible. If a link is not available, provide a digital copy of the statement when available.

See Section 18 above. Additionally, I have participated in local unit awards ceremonies, presided over retirement ceremonies and changes of command for local units. I have participated in media engagements related on ongoing operations, hurricane preparations/recovery operations, marine casualties, search and rescue cases and active oil spill responses.

20. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the full name of an “alias” or “handle,” including the complete URL and username with hyperlinks, you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Facebook (Active): Wayne Arguin Jr

Twitter (Active): @wrarguin

Linkdin (Active): Wayne Arguin Jr

Instagram(Dormant):wrarguin

Snapchat(Dormant):wingbuster27

YouTube(Dormant): wrarguincg92@gmail.com

21. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

September 19, 2023 “Autonomous and Experimental Maritime Technology”

House Transportation & Infrastructure Subcommittee on Coast Guard Maritime Transportation

May 10, 2023 “Evaluating Port Security Vulnerabilities”

House Homeland Security Subcommittee on Transportation and Maritime Security

22. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am humbled to be considered for appointment to Rear Admiral. If confirmed, I intend to continue serving the women and men of the U. S. Coast Guard to best position the Service to meet an increasingly demanding portfolio. As the Assistant Commandant for Prevention Policy, I've led the Coast Guard's efforts to eradicate sexual misconduct from the maritime industry. Partnering with the Maritime Administration and the Coast Guard Investigative Service, we have operationalized new legislation that expanded the Coast Guard's authority to investigate sexual misconduct cases and hold individuals and responsible entities accountable where warranted. Our joint efforts to engage and educate stakeholders, from CEO's of major maritime companies to new cadets/midshipmen at our state maritime academies, have reinforced our joint commitment to providing a safe workplace for all mariners. I have led delegations to the International Maritime Organization, representing U. S. interests to proactively improve shipping safety, environmental protection and security standards throughout the world. I have worked to develop outcome-based standards to address growing cybersecurity vulnerabilities and alternative fuels to reduce carbon emissions in shipping as the world becomes more interconnected. As an active recruiter, I have worked to find the best talent available so that the Service is prepared to meet the challenges of the future. In these and a myriad of other elements of the Prevention portfolio, I have relied on solid partnerships and collaboration to design, implement and execute policies to support the Nation's economic prosperity and national security.

23. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

It is each Coast Guard member's sworn duty to be a responsible steward of our Nation's resources. In my current role as the Assistant Commandant for Prevention Policy, I have established and maintained high standards for our service members, our stakeholders and the public. I have gained extensive experience managing and overseeing Coast Guard

resources to maximize return on the public's investment in the Service throughout my career. My prior assignments have allowed me the opportunity to manage hundreds of personnel, millions of dollars, and significant responsibilities. These assignments have spanned a wide range of Coast Guard experiences from emergency preparedness and incident response operations in the field to multi-agency strategy, budget and policy development. As a Coast Guard Flag officer, I have allocated resources to best buy down risk while regularly assessing the effectiveness of those decisions to best meet the public's expectations for service.

24. What do you believe to be the top three challenges facing the Coast Guard, and why?

The top three challenges facing the Coast Guard all focus on readiness. Readiness can be defined by people, governance, and technology. The Coast Guard's ability to recruit and retain the necessary talent required to meet an increasing demand for service is paramount. We must continue to provide opportunities that will attract the Nation's best talent and then provide a workplace that nurtures innovation, creativity, belonging while also empowering leadership to find ways to keep them on the team. Given the rapid pace of technology advancements across the marine transportation system, we must re-think our own models for governance with a focus on performance/outcome-based standards. Technology evolves too quickly for legacy prescriptive regulatory models. As for technology, we must embrace the latest technologies, specifically with respect to data analysis, AI and machine learning to refine our operational models to best buy down risks across the Service.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts, such as a 401(k) or pension plan.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

None

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None. Please see my nominee PFDR. If any conflicts of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None. If any conflicts of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None. If any conflicts of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

No

If yes:

- a. Provide the name of court, agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age, disability, genetic information, marital status, parental status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity

(EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

I have no new matters that weren't previously disclosed in my 07 Senate Questionnaire. The matters I previously disclosed are as follows:

In 2004, when I was a lieutenant commander, I was named as an alleged discriminating official in an EEO case. A military member who was leaving the service alleged discrimination based on race and gender when she was not selected for a civilian Port Security Specialist position. I was named because I had been directed to serve on the hiring panel for the open position and interviewed the complainant and another candidate. The other candidate was ultimately selected for the position by my superior because of his qualifications and extensive experience in the field. I did not serve as the supervisor of the complainant. After trial on the merits, the Coast Guard was found to not have discriminated in any way against the complainant.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

I have never served as a general court-martial convening authority.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request?

Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

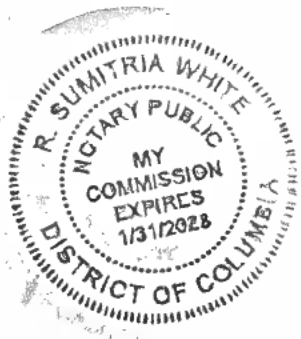
F. AFFIDAVIT

WAYNE R ARGWIN JR being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

W.R.A.
Signature of Nominee

Subscribed and sworn before me this 02 day of Nov, 2020.

R. Sumitria White
Notary Public
District of Columbia
Signed and sworn to (or affirmed) before me
on 11-02-20 by Wayne Argwin Jr
Date Name(s) of individual(s) making statement
R. Sumitria White
Signature of Notarial Officer
Notary
Title of Office
My commission expires: 01-31-2028





Rear Admiral Wayne R. Arguin Jr.

Assistant Commandant for Prevention Policy U.S. Coast Guard



Rear Admiral Arguin serves as the Assistant Commandant for Prevention Policy, and is responsible for the development of national policy, standards, and programs promoting Marine Safety, Security and Environmental Stewardship. Three Directorates carry out the mission: Inspections and Compliance, Marine Transportation Systems, and Commercial Regulations and Standards. Programs include waterways management, navigation and boating safety, ports and facilities, merchant mariner credentialing, vessel documentation, marine casualty investigation, commercial vessel inspections, and port state control.

Rear Admiral Arguin is a 1992 graduate of the Coast Guard Academy, where he earned a Bachelor of Science degree in Naval Architecture and Marine Engineering and in 2001, he earned a Master of Science degree in Naval Architecture and Marine Engineering from the University of Michigan.



Prior to his current assignment, Rear Admiral Arguin served as the Director of Inspection and Compliance, also at Coast Guard Headquarters. His previous operational assignments include Sector Commander, Sector New Orleans. He also served as Executive Officer of Marine Safety Office Memphis, TN and Prevention Department Head at Sector Lower Mississippi River where he coordinated waterways management, vessel inspections, mariner licensing and marine casualty investigations on the Lower Mississippi River and its tributaries. In 1994, Rear Admiral Arguin earned his Marine Inspection qualifications at Marine Safety Office Hampton Roads and served as senior marine inspector performing commercial vessel and cruise ship inspections at Marine Safety Office Tampa, Florida. He was also assigned to USCGC HARRIET LANE (WMEC-903) as a student engineer and Damage Control Assistant (1992-1994).

His staff assignments include Director of Emerging Policy and Executive Officer, Hull Division Chief and Salvage Engineering Response Team (SERT) Leader at the Coast Guard's Marine Safety Center. He was responsible for the evaluation and approval of vessel structures, stability, fire protection systems and coordinated salvage engineering support to the Coast Guard Captains of the Port (COTP) and Federal On-Scene Coordinators (FOSC) in response to a variety of vessel casualties.

REAR ADMIRAL Wayne R. Arguin Jr.

Current Assignment

May 2022 – Present Assistant Commandant for Prevention Policy (CG-5P)

Assignment History

Jun 20 – May 22 Office of Prevention Policy (CG-5P)-Director, Inspection and Compliance (CG-5PC)
Jul 16 – Jun 18 Sector New Orleans, Sector Commander
Jun 14 – Jul 16 Sector New Orleans, Deputy Sector Commander
Apr 12 – Jun 14 Marine Safety Center, Executive Officer
Oct 10 – Apr 12 Marine Safety Center, Hull Division. Chief
Jul 08 – Oct 10 USCG Headquarters Deputy Commandant for Operations, Admin/Coordination Staff Chief
Jul 05 – Jul 08 Sector Lower Mississippi River, Prevention Dept Head
Jun 04 – Jul 05 Marine Safety Office Memphis, TN, Executive Officer
Jul 01 – Jun 04 USCG Headquarters Assistant Commandant for Marine Safety, Security and Environmental Protection, Office of Design and Engineering Standards (G-MSE), Staff Engineer
Jul 99 – Jul 01 Graduate School, University Of Michigan
Jul 96 – Jul 99 Marine Safety Office Tampa, Port Operations & Marine Inspections
Jul 94 – Jul 96 Marine Safety Office Hampton Roads, Marine Inspector
May 92 – Jul 94 USCGC HARRIET LANE, Student Engineer/Damage Control Assistant

Educational Summary

Jul 99 – Jul 01 University Of Michigan, Masters in Engineering
Naval Architecture and Marine Engineering
Jul 88 – May 92 U. S. Coast Guard Academy, Bachelors in Engineering
Naval Architecture and Marine Engineering

Military Personal Awards and Significant National Recognition or Achievement

2022 Coast Guard Legion of Merit
2020 Coast Guard Legion of Merit
2018 Coast Guard Meritorious Service Medal
2014 Coast Guard Meritorious Service Medal
2010 Coast Guard Meritorious Service Medal
2010 Coast Guard Meritorious Service Medal
2008 Coast Guard Commendation Medal
2005 Coast Guard Commendation Medal
2004 Coast Guard Commendation Medal
1999 Coast Guard Commendation Medal

Significant Current Professional Credentials or Recent Activities

None

