

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): **Gina Marie Raimondo**
2. Position to which nominated: **Secretary of Commerce**
3. Date of Nomination: **January 8, 2021**
4. Address (List current place of residence and office addresses):

Office: **Office of the Governor, 82 Smith St., Providence, RI 02903**

5. Date and Place of Birth: **5/17/1971, born in Providence RI**
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: **Andrew Kind Moffit, Chief People Officer, PathAI, 1325 Boylston, Boston MA**

7. List all college and graduate degrees. Provide year and school attended.
 - **Harvard University, Cambridge, MA, B.A. Economics, attended 1989-1993; Unpublished thesis: Misuse of American health care facilities (1993)**
 - **New College, Oxford University, D.Phil in Sociology 2003; M.Litt. in Economics 1996, attended 1993-1995; Unpublished thesis: Determinants of single motherhood in the United States (2002)**
 - **Yale Law School, New Haven, CT, J.D., attended 1995-1998**
8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated

Highlighted Experiences:

- **2015-present, Governor, State of Rhode Island, Providence, RI; lead state government and all executive branch departments and agencies; during my time in office, we created thousands of jobs, made record investments in infrastructure and education, and became the fourth state in the country to offer tuition-free community college for every high school graduate**
- **2010-2014, General Treasurer, State of Rhode Island, Providence, RI; oversaw state's financial accounts and pension investments, disbursements and managed state's bond issuances**
- **2001-2010, General Partner, Point Judith Capital, Providence, RI; investor in early-stage medical device and healthcare services companies**
- **October 1999-2001, Senior Vice President of Fund Development, VillageVentures, New York, NY; established national network of small, early-stage venture capital funds in more than 10 cities**

Other Experiences:

- **August 1998-August 1999, Judicial Law Clerk, Honorable Kimba Wood, U.S. District Court, Southern District of New York, New York, NY**
- **June 1998-August 1998, Summer Associate, Paul, Weiss & Rifkind, New York, NY**
- **June 1997-August 1997, Summer Intern, U.S. Department of Justice, Civil Rights Division, Employment Discrimination Section; Washington DC**
- **June 1996-August 1996, Intern, U.S. Department of Justice, Office of Policy Development, Washington, DC**
- **June 1995-August 1995 Summer Associate, McKinsey & Company, NY, NY**

- **June 1993-August 1993, law librarian, Hinckley, Allen & Snyder, Providence, RI**

9. Attach a copy of your resume. **Attached at Appendix A.**

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

I have not served in any federal or local government positions in the last ten years. As Governor, and before that as General Treasurer, I have served, by law, on various state government boards and commissions. I have listed the principal ones below. I have not included boards or commissions where I appointed a designee to serve.

Entity	Position(s)
Rhode Island Commerce Corporation	Chair (2015-present) (ex officio as Governor)
Rhode Island State Investment Commission	Former Chair (2011-2015) (ex officio as General Treasurer)
Rhode Island Retirement Board	Former Chair (2011-2015) (ex officio as General Treasurer)
Rhode Island Student Loan Authority	Former Board member (2011-2015) (ex officio as General Treasurer)

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Entity	Position(s)
Organizing Together 2020 (501(c)(4))	Former Co-chair (2020)

Rhode Island Commerce Corporation	Chair of the Board (2015 – present) (ex officio as Governor)
Truth Initiative Board of Directors	Former Member (2017 – 2021)
Yale Corporation	Former Trustee (2014 – 2021) Former Chair, Audit Committee
Democratic Governors Association	Member (2015 – present) Former Chair (2019) Former Vice Chair (2018)
Crossroads Rhode Island Board of Directors	Former Vice Chair of the Board (2010 – 2014)
Family Service of Rhode Island	Former Board Member
LaSalle Academy	Former Board Member
Steering Committee of Cherrystone Angel Group	Former Member
Women & Infants Hospital of Rhode Island	Former Trustee Former Chair, Quality Committee

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Board or Commission	Position(s)
Campaign for Free College Tuition	Former Advisory Council Co-Chair (2019 – 2021)

Council on Foreign Relations	Member (2012 – present)
Rhode Island Commodores	Admiral (2015 – present) (ex officio as Governor)
The Aspen Institute – Rodel Fellowship	Rodel Fellow, Class of 2011
Yale University Council	Former Advisory Member (elected to Yale Corporation in 2014)

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

- **Governor of Rhode Island, 2015-present; candidate for Governor 2014, 2018**
- **Treasurer of Rhode Island, 2011-2015; candidate for Treasurer 2010**
- **There are no campaigns with outstanding debts.**

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

To the best of my recollection, I have not made any federal campaign contributions in the past ten years. To the best of my recollection, I have made the following Rhode Island campaign donations of \$500 or more in the past ten years:

Recipient	Date	Amount
RI DEMOCRATIC STATE COMMITTEE	01/24/2014	\$3500
Grace Diaz	09/12/2016	\$500
Nicholas Anthony Mattiello	01/17/2017	\$1000
RI DEMOCRATIC STATE COMMITTEE	11/16/2017	\$4000
RI DEMOCRATIC STATE COMMITTEE	11/16/2017	\$1000

In addition to these donations, in the last ten years I made a \$50,000 loan to my own campaign in 2014. The campaign repaid that loan in full.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

- **“Outstanding Mother,” 41st Annual Outstanding Mother Awards, Thursday, May 9, 2019, awarded to Gina Raimondo and others. The National Mother’s Day Committee, an entity of the Father’s Day/ Mother’s Day Council, each year confers “Outstanding Mother” honors to recognize exceptional women for successfully building their careers, nurturing their families and helping improve the lives of others.**
- **“Italo-American Person of the Year,” October 20, 2018, awarded to Gina Raimondo by the Italo-American Club of Rhode Island.**
- **Rhodes Scholarship, 1993**
- **Allyn Young Award for outstanding undergraduate thesis in the Economics Department, Harvard University, 1993**

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Publications

During my time in office, I have authored or co-authored many articles related to my work and priorities. Below is a list of publications which I have been able to identify from my records and electronic searches. Links are provided where available.

Title	Source	Date(s) of Publication	Link
Women are bearing the brunt of the economic crisis. They must lead our recovery plans.	Washington Post	10/8/20	Link
It's time to get serious about the skills crisis in America	Boston Globe	9/8/20	Link
My Turn: Gina Raimondo: R.I. should not gamble with 1,100 jobs	Providence Journal	8/3/19	Link
R.I. needs to invest in the younger generation with universal Pre-K	The Westerly Sun	5/11/19	Link
Rhode Islanders deserve another 4 years of growth	The Westerly Sun	10/14/18	
New economic tools can add to Quonset's success	The Westerly Sun	11/15/17	Link
Cutting the cost of college textbooks	The Brown Daily Herald	10/19/17	Link
Congress Must Protect the Dreamers from Expulsion	Newsweek	10/19/17	Link
Revitalizing manufacturing for 21st century	The Westerly Sun	5/12/17	Link
R.I. needs line-item veto, other reforms	Providence Journal	3/18/17	Link
A Message From The Governor: Vibrant And Prosperous	Rhode Island Small Business Journal: Volume 6, Issue 1	1/23/17	Link

Opportunities Are Growing For Rhode Island			
R.I. Now More Prosperous And Vibrant	Providence Journal	1/1/17	Link
It's time to invest in our ports; vote yes on Question 5	independentri.com	11/3/16	Link
RI now among big names in tech job sector	The Westerly Sun	9/27/16	Link
Rhode Island governor: How a small state made a big breakthrough in high tech job growth	Quartz	9/26/16	Link
Restoring faith in government	The Westerly Sun	5/27/16	
Rhodeworks Finally Addresses R.I. Crisis	Providence Journal	3/20/16	Link
Treasury's 2014 Accomplishments	Rhode Island Small Business Journal: Volume 3, Issue 11	12/6/2014	Link
Improving the Integrity of Our State's Retirement System	Rhode Island Small Business Journal: Volume 3, Issue 10	11/10/14	Link
EverFi: Financial Literacy Program	Rhode Island Small Business Journal: Volume 3, Issue 9	10/3/14	Link
Treasury Continuing to Achieve Great Results for Rhode Islanders	Rhode Island Small Business Journal: Volume 3, Issue 8	9/4/14	Link
The Municipal Road and Bridge Revolving Fund	Rhode Island Small Business Journal: Volume 3, Issue 7	8/11/14	Link
Smart Money Tour is Back	Rhode Island Small Business Journal: Volume 3, Issue 6	6/30/14	Link

Rhode Island Steps In To Recover Abandoned Life Insurance Property	Rhode Island Small Business Journal: Volume 3, Issue 4	4/27/14	Link
Securing Retirement For RI Public Servants	Rhode Island Small Business Journal: Volume 3, Issue 3	3/17/14	Link
Reflecting on the Accomplishments of 2013	Rhode Island Small Business Journal: Volume 3, Issue 1	1/26/2014	Link
New Alternative Payday Lending Site Will Help Rhode Islanders	Rhode Island Small Business Journal: Volume 2, Issue 10	12/23/2013	Link
Fixing Our Fundamentals Benefits Us All	Rhode Island Small Business Journal: Volume 2, Issue 9	12/10/2013	Link
Raimondo Promotes EITC: You've Earned It, Now Get It	The Federal Hill Gazette	2/26/14	Link
Rebuilding Our Roads and Bridges	Rhode Island Small Business Journal: Volume 2, Issue 5	6/25/13	
Protect R.I. from these abusive lenders	Providence Journal	5/29/12	
Longer runway leads to R.I. prosperity	Providence Journal	2/14/12	
Next Up: Reforming Local Pension Plans	Providence Journal	12/21/11	
R.I. leaders move toward pension reform	Providence Journal	9/18/11	
Truth in the Numbers: The Security and Sustainability of Rhode Island's Retirement System	Office of the General Treasurer	June 2011	Link

Speeches

During my tenure as Governor and General Treasurer, I have delivered remarks, both formally and informally, in countless forums on job creation, economic growth, and other topics related to the work of the Secretary of Commerce. To the best of my ability, I have sought to provide a comprehensive list of speeches and addresses which I have been able to identify from my records and electronic searches. If additional speeches are identified, they will be provided to the committee promptly.

Venue/Title	Date
Operation Hope Annual Meeting	10/22/20
Politico State Solutions Conference	2/7/20
East Bay Chamber of Commerce	1/31/20
2020 Rhode Island State of the State Address	1/14/20
Rhode Island Offshore Wind Summit	7/18/19
Greater Providence Chamber of Commerce	4/18/19
2019 Rhode Island Promise Scholarship Expansion Testimony	4/10/19
Harvard Kennedy School	3/13/19
2019 Rhode Island State of the State Address	1/15/19
2019 Gubernatorial Inauguration Address	1/1/19
Block Island Wind Farm Announcement	5/30/18
Northern Rhode Island Chamber Eggs and Issues Breakfast	4/23/18
2018 North American Building Trades Union Speech	4/18/18
SIEPR Economic Summit	3/19/18
Jobs For Rhode Island Summit	2/21/18
Goldman Sachs Small Business Summit	2/13/18
2018 Rhode Island State of the State Address	1/16/18

Information Governance Conference	11/16/17
Sheldon Whitehouse 8th Annual Energy, Environmental and Oceans Leaders Day	10/20/17
New York Times' 2017 Higher Education Leaders Forum	5/30/17
University of Rhode Island Commencement	5/13/17
Northern Rhode Island Chamber Eggs and Issues Breakfast	4/3/17
2017 Rhode Island Promise Scholarship Testimony	3/15/17
2017 Rhode Island State of the State Address	1/17/17
RI Small Business Economic Summit	1/8/17
2016 Rhode Island Budget Address/State of the State Address	2/2/16
Most Powerful Women Summit	10/14/15
The Inauguration of Rosanne Somerson	10/9/15
IYRS School of Technology & Trades Commencement	5/30/15
Community College of Rhode Island Commencement	5/20/15
2015 Rhode Island Budget Address	3/12/15
2015 Gubernatorial Inauguration Address	1/6/15
Transition Policy Summit	12/16/14
RI Gubernatorial Forum to Discuss Climate Change	8/25/14
Financial Empowerment Initiative	1/10/13
Smaller Business Association of New England	10/13/11

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

My office or my campaigns have operated the following social media accounts, which remain active:

Twitter: @GovRaimondo, @GinaMRaimondo

Facebook: @GinaMRaimondo, @gina.raimondo

Instagram: @GovRaimondo, @ginaraimondo

I also have a LinkedIn account,

<https://www.linkedin.com/in/ginaraimondo/>.

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

I have never testified before Congress.

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

The mission of the Commerce Department is to help spur good-paying jobs; to empower entrepreneurs to innovate and grow; to come together with working families and American businesses; to create new opportunities for all of us. These same goals have been my priorities as Governor of Rhode Island. When I announced my run for Governor, Rhode Island was in the midst of an eight-month streak as the state with the highest unemployment rate in the nation. But over the last six years, we grew our way back, achieving a record number of jobs and the lowest unemployment in 30 years.

We did it by bringing together our workers and our businesses in common cause.

We invested in our people — in their skills, their opportunities, and their dreams. The Real Jobs Rhode Island initiative has served more than 1,700 employers and 11,000 Rhode Islanders through job training programs designed to meet employer needs and then doing whatever it

takes – be it building programs or clearing barriers – to teach those skills to potential employees.

We helped new businesses launch and sparked others to hire and grow responsibly. We have provided businesses with access to capital to help them expand and innovate. I am particularly proud of our work with small businesses. One of our small business loan programs has empowered 150 Rhode Island entrepreneurs so far — more than half of whom are women or people of color — to get new businesses up and running.

We have pursued an aggressive renewable energy agenda. During my time as Governor, Rhode Island became the first state in the nation to put “steel in the water” by establishing America’s first offshore wind facility. This has been part of my focus on expanding clean energy jobs and putting Rhode Island on a path to achieving 100% renewable energy .

The United States is facing a daunting economic challenge, but it is one that America’s workers and entrepreneurs can overcome. I share the President-elect and Vice President-elect’s vision for an inclusive recovery that lifts up those who have been left behind, for a national effort that provides skills, training, and wraparound supports to get Americans back to work, and for rebuilding American manufacturing and bringing back jobs that have gone overseas. It’s why I’m so excited to get to work on a national scale to help realize that vision and Build Back Better.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

If confirmed as Secretary of Commerce, I will be accountable for the operations of the department. Everyone who works in public service must do the people’s work effectively, efficiently, and with integrity. I will set that tone from the top. I will empower personnel in the department to lead with integrity and ensure that things are done properly, and I will hold them accountable if they do not meet those standards.

22. What do you believe to be the top three challenges facing the department/agency, and why?

First, we need to respond to the economic damage caused by the pandemic. The Department of Commerce needs to invest in our people and our small businesses, so that we emerge from this crisis with a stronger and more resilient economy.

Second, we need to address the structural inequity in our economy. The harms of the pandemic have hit low income and minority communities the hardest. The Department, in particular through the work of the Minority Business Development Agency, must be integral to this effort. We need to invest in these communities by ensuring that everyone has accessible pathways to good jobs, by providing small businesses with the resources they need to recover and thrive, and by strengthening community, financial, and other institutions so these communities have continued access to capital, technical assistance, and other resources that sustain prosperity.

Third, we need to ensure that American workers and manufacturers can compete fairly on the global playing field. We need to invest in innovation and technology in our manufacturing sector, leveraging the capacity at National Institute of Standards and Technology to ensure our industries succeed. The International Trade Administration needs to take aggressive trade enforcement actions to combat unfair trade practices that undercut American manufacturing, and be equally aggressive in promoting American goods abroad.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

I have a 457b Retirement Account from the State of Rhode Island as a result of my service as Governor and General Treasurer. I also have a TIAA-CREF Retirement Account and a Retirement Defined Benefits plan from the State of Rhode Island that accrues with each year of state service.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Designated Agency Ethics Official at the Department of Commerce to identify any potential conflict of interest. Any conflict of interest will be resolved according to the terms of an ethics agreement that I have entered into with the Commerce Department's Designated Agency Ethics Official and that will be provided to this Committee. In the event that an actual or potential conflict of interest arises during my appointment, I will consult with the Commerce Department's ethics counsel and take the measures necessary to resolve the conflict.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you

have been nominated. Explain how you will resolve each potential conflict of interest.

I have spent the last ten years in public office in Rhode Island, first as General Treasurer and currently as Governor. I have not had any business relationships, dealings, or financial transactions during that time that could in any way constitute or result in a possible conflict of interest, so far as I am aware.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

I am not aware of any other potential conflicts of interest that may arise beyond what I have explained above.

In the event that an actual or potential conflict of interest arises during my appointment, I will consult with the Commerce Department's ethics counsel and take the measures necessary to resolve the conflict.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

For the last ten years, I have held elected office in Rhode Island, first as General Treasurer and then as Governor. During that time period, my efforts to influence legislation, the administration or execution of the laws, or public policy have been as part of my duties as an elected official or in my capacity as a candidate for public office.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

I have never been disciplined or cited for a breach of ethics, professional misconduct, or retaliation.

There have been five campaign finance or ethics complaints filed against me or my campaigns, and one advisory opinion request that purported to complain about my campaign. All have been rejected without any adverse findings or adverse actions. They are as follows:

Agency	Rhode Island Board of Elections
Date	Complaint filed on Sept. 30, 2014 by Republican Party
Description	Complaint alleged that Democratic Party's ad supporting U.S. Senate candidate and my gubernatorial campaign violated state campaign finance law.
Result	Board of Elections dismissed the complaint.
Agency	Rhode Island Ethics Commission
Date	Complaint filed Feb. 12, 2018 by Republican Party
Description	Complaint alleged that mutual support agreement between gubernatorial campaign and Providence

	Democratic City Committee violated state ethics law because the chair of the city committee was a state employee
Result	Ethics Commission dismissed the complaint
Agency	Federal Election Commission
Date	Complaint filed Mar. 3, 2018 by Republican Party
Description	Complaint alleged that mutual support agreement between gubernatorial campaign and Providence Democratic City Committee violated Federal Election Commission regulation governing joint fundraising activity
Result	FEC dismissed the complaint after finding no reason to believe that a violation occurred
Agency	Rhode Island Board of Elections
Date	Advisory opinion request filed Mar. 12, 2018 by Republican Party
Description	Request asked whether mutual support agreement between gubernatorial campaign and Providence Democratic City Committee violated state campaign finance law
Result	Board of Elections declined the request upon determining the advisory opinion request did not comply with the law
Agency	Rhode Island Board of Elections
Date	Complaint filed Jul. 9, 2018 by Republican Party
Description	Complaint alleged that campaign failed to pay fair

	market value in rent for its office space
Result	The complaint was dismissed
Agency	Rhode Island Ethics Commission
Date	Complaint filed Jul. 24, 2019 by Republican Party
Description	Complaint alleged that participating in negotiations to extend existing state lottery contract and signing legislation authorizing online sports gambling violated ethics law because former chairman of lottery company was Treasurer of the Democratic Governors Association, which I chaired at the time
Result	Ethics Commission dismissed the complaint

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

I have been a party to multiple civil litigations in my capacity as Governor and as General Treasurer of Rhode Island. I am a party to those cases by virtue of the office hold. Often I am named as a defendant in cases involving alleged matters which did not involve me. I have asked counsel to prepare a list of all the cases in which I have been named as a party, to the best of their ability, which I am providing in Appendix B. Among the more notable cases include present litigation on the constitutionality of the state's truck tolling program and concluded litigation on the state's pension reform, public access to grand jury records, and the separation of powers. I have never been a

party to a lawsuit outside of lawsuits resulting from my service as Governor or General Treasurer.

In addition, as Governor, I am by law the Chair of the Board of the Rhode Island Commerce Corporation, a quasi-public corporation that serves as the state's economic development agency. The Rhode Island Commerce corporation was a plaintiff in civil litigation alleging fraud against various private parties concerning a failed economic development transaction that occurred before my time as Governor. The Securities and Exchange Commission brought litigation against the Rhode Island Commerce Corporation and others in connection with the same transaction. During my tenure as Governor, the Rhode Island Commerce Corporation settled the civil litigation and the litigation by the SEC.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



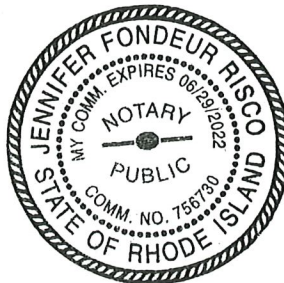
Signature of Nominee

Subscribed and sworn before me this ^{10th} day of ^{Jan.}, 20²¹.



Notary Public

756730 exp. 6/08/2022



Appendix A

GINA RAIMONDO PROFESSIONAL EXPERIENCE

GOVERNOR, STATE OF RHODE ISLAND Providence, RI
2015-present

GENERAL TREASURER, STATE OF RHODE ISLAND Providence, RI
2011-2015

POINT JUDITH CAPITAL, LLC Providence, RI
Founder, Managing Partner, 2002-2010

Founder and General Partner of \$100MM venture capital firm investing in early-stage IT and health care companies

- Served as lead partner on fundraising, LP relations, recruiting, and strategic development
- Led the firm's healthcare investing, with focus on medical device and healthcare IT companies; responsible for deal sourcing and structuring, due diligence, and post-investment oversight and support with business development and strategies, and recruiting for portfolio

VILLAGE VENTURES, INC. New York, NY
Senior Vice President Fund Development/Founding Team, 1999-2002

Member of senior management team that established and supported a network of regionally-focused venture capital funds located in emerging geographies across the US

- Served as key member of team that raised \$70MM+ in Series A and B financings from private equity firms (Bain Capital, Highland Capital, Sandler Capital); drafted PPMs, attended pitch meetings, attended VV board meetings
- Managed team of six professionals to establish national network of 12 venture capital funds, including identification of optimal geographies for new funds, recruitment of local management teams for each fund, and raising of over \$300MM in LP capital across the fund network from both institutional and individual LPs based in those geographies
- Member of deal teams on several investments; led successful M&A negotiations on company's behalf
- Speaker at numerous venture capital industry forums and events

HONORABLE KIMBA WOOD, U.S. DISTRICT COURT, S.D.N.Y. New York, NY
Law Clerk, 1998-1999
Advised federal trial judge on drafting opinions, preparing for conferences and managing caseload

EDUCATION

YALE LAW SCHOOL New Haven, CT
Juris Doctor, 1998

Olin Fellow. CT Bar Association Public Service Scholarship. Research Assistant for corporate law professor Roberta Romano. Teaching Assistant for Professor Harold Koh. Student Supervisor, Jerome N. Frank Legal Services Clinic

OXFORD UNIVERSITY, NEW COLLEGE Oxford, England
D.Phil in Sociology, 2003; M.Litt in Economics, 1996

Rhodes Scholar. Doctoral thesis entitled: "Determinants of Single Motherhood in the United States." President, New College graduate student body. Captain, New College Rugby Club

HARVARD UNIVERSITY Cambridge, MA
Bachelor of Arts in Economics, 1993

Magna Cum Laude. Phi Beta Kappa (junior year). Allyn Young Award for outstanding thesis in the Economics Department. Operations Manager, The Harvard Crimson. Research Assistant, National Bureau of Economic Research. Radcliffe Rugby Club

Appendix B
List of Litigation
Prepared by counsel

Case	Case No.	Court
Clervrain v. Raimondo	1:2020cv00535	U.S. Dist. Ct. for District of Rhode Island
American Trucking Associations, Inc., et al v. Alviti, Jr., et al	20-2120	U.S. Court of Appeals for the First Circuit
In Re: Raimondo, et al	20-2168	U.S. Court of Appeals for the First Circuit
Armstrong v. Raimondo et al	1:2020cv00509	U.S. Dist. Ct. for District of Rhode Island
A.C., et al v. Raimondo, et al	20-2082	U.S. Court of Appeals for the First Circuit
Card v. Raimondo	1:2020cv00439	U.S. Dist. Ct. for District of Rhode Island
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Efreom et al v. Raimondo et al	1:2020cv00122	U.S. Dist. Ct. for District of Rhode Island
El v. Del Vecchio et al	1:2020cv00119	U.S. Dist. Ct. for District of Rhode Island
Elle Sanon Auguste El v. DelVecchio et al	1:2020cv00055	U.S. Dist. Ct. for District of Rhode Island
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Smith v. Neronha, et al	19-1470	U.S. Court of Appeals for the First Circuit
Shahwali v. Hughes et al	1:2019cv00186	U.S. Dist. Ct. for District of Rhode Island
Liviz v. Baker, et al	19-1224	U.S. Court of Appeals for the First Circuit
Liviz Sr. v. Baker et al	1:2019cv10304	U.S. District Court for the District of Massachusetts
Nickerson v. Providence Plantation et al	1:2019cv00030	U.S. Dist. Ct. for District of Rhode Island
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Jefferson v. Raimondo et al	1:2017cv00439	U.S. Dist. Ct. for District of Rhode Island
Silvia v. Raimondo	1:2017cv00310	U.S. Dist. Ct. for District of Rhode Island
Cranston Firefighters, IAFF, et al v. Raimondo, et al	17-1293	U.S. Court of Appeals for the First Circuit
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Rhode Island State Court Cases		
ANDREA CABAN VS GINA RAIMONDO IN HER CAPACITY	6CA-2011-03410	6th Division District Court
ANNE ARMSTRONG,ALAN GORDON,DAUGHTERS OF EVE v. NICHOLAS MATTIELLO,DOMINICK RUGGERIO,GINA RAIMONDO	KC-2019-0071	Kent County Superior Court
ROBERT BAINTE V STATE OF RI	PC-2011-3197	Providence/Bristol County Superior Court
NICOLE FOX V GINA	PC-2011-2	Providence/Bristol County Superior

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LINDA PHILLIPS V RETIREMENT BOARD	PC-2012-2 875	Providence/Bristol County Superior Court
RI PUBLIC EMPLOYEES V LINCOLN CHAFEE	PC-2012-3 166	Providence/Bristol County Superior Court
BRISTOL WARREN V LINCOLN CHAFEE	PC-2012-3 167	Providence/Bristol County Superior Court
RI COUNCIL 94 V LINCOLN CHAFEE ET AL	PC-2012-3 168	Providence/Bristol County Superior Court
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ANDREA HUNT DENBY V SHARON VIENS	PC-2012-4 395	Providence/Bristol County Superior Court
ROSA ARCE V CITY OF PROVIDENCE	PC-2012-4 610	Providence/Bristol County Superior Court
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CYNTHIA TZOC V CITY OF PROVIDENCE	PC-2014-4 276	Providence/Bristol County Superior Court
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ANNETTE DIAZ V FLORA CURTIS	PC-2014-4 522	Providence/Bristol County Superior Court
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RI PUBLIC EMPLOYEES V LINCOLN CHAFEE	SU-12-0331	Rhode Island Supreme Court
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CRANSTON POLICE V LINCOLN CHAFEE	SU-12-0334	Rhode Island Supreme Court

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THOMAS WHEWELL V CORRIB REALTY LLC	WC-2009- 0841	Washington County Superior Court
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RI STATE TROOPERS V STATE OF RI	PC-2014-4 432	Providence/Bristol County Superior Court
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CHARIHO REGIONAL SCHOOL V. DEBORAH GIST	SU-11-008 5	Rhode Island Supreme Court
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