

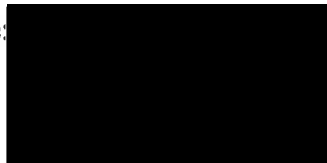
**Senate Commerce Committee Coast Guard Nominee Questionnaire
117th Congress**

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): Michael Day
2. Position to which nominated: Rear Admiral, Upper Half
3. Date of Nomination: March 30, 2022
4. Address (List current place of residence and office addresses):

Home:



Office: DHS HQ
2707 MLK Jr Blvd, SE
Washington, DC 20528

5. Date and Place of Birth: Oct 30, 1964 - Azores, Portugal
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).
 - Kristina Day, Registered Nurse and Lactation Consultant, Med-Star Georgetown University Hospital
 - Matthew Day, Step-Son (38)
 - Allison Day, Daughter (23)
 - Lauren Day, Daughter (23)

7. List all college and graduate degrees. Provide year and school attended.

<i>School</i>	<i>Year</i>	<i>Degree</i>
Blue Hills Technical Institute	1984 - 1986	AAS
Wentworth Technical Institute	1988 - 1990	BS
Bridgewater State University	1995 - 1998	MPA
U.S. Naval War College	2002 - 2003	MS
Harvard University, Kennedy School	2010 - 2011	National Security Fellowship

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

- *I have served as a Coast Guard Officer since July, 1991. Please see my official Coast Guard biography for detailed information.*

9. Attach a copy of your resume.

- *My Official Coast Guard Biography is attached.*

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

- *None*

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

- *None*

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any

such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

- *Veterans of Foreign Wars (VFW), 1995 – present, no positions held.*
- *Harvard Alumni, 2012 – present, no positions held.*
- *U.S. Naval War College Foundation, 2003 – present, no positions held.*
- *The Marine Society of City of New York, 2018 – present, no positions held.*
- *National Naval Officers Association, 2018 – present. No positions held.*

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

- *No*

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

- *None*

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

- *None*

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

- *Ellis Island Medal of Honor Recipient - 2017*
- *State of New York: Board of Commissioners of Pilots – Officials Medal:
Awarded for evacuation of 500,000 people from Lower Manhattan on 9/11 - 2002*
- *Honorary U.S. Coast Guard Chief Petty Officer - 2021*

Military Medals

2021	Armed Forces Service Medal
2021	Legion of Merit Medal
2018	Legion of Merit Medal
2015	Meritorious Service Medal
2013	Defense Meritorious Service Medal
2011	CG Commendation Medal
2010	Meritorious Service Medal
2008	Joint Service Commendation Medal
2007	Meritorious Service Medal
2002	Meritorious Service Medal
2000	CG Achievement Medal
1998	CG Commendation Medal
1995	CG Commendation Medal
1993	CG Achievement Medal
1991	COMDT's Letter of Commendation Ribbon

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

- *Addressing The Challenges of Cyber Security*
<https://www.hstoday.us/author/mday/>
- *I have provided extemporaneous comments in a variety of venues while serving as Commander, Sector New York*
- *2021: DHS HQ 9/11 Memorial Ceremony – Reflections on 9/11, Twenty Years Later*

- 2021: Coast Guard Sector New York – Leadership in a Crisis: Advice to Junior Officers
- 2021: Coast Guard Foundation: Panel Discussion 9/11 Rescue & Response
- 2021: WWW.CoastGuardMuseum.Org: 9/11 Panel Discussion

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

- Facebook – Deactivated (2018) – Found myself inundated with “Friend” requests from people I didn’t know because of short-film referenced below: Michael Day, Alias: Micheal Daiy (Alias due to high number of Friend Requests after “Boatlift:9/11” Movie Documentary I was in)
- Twitter – Deleted (2019) – Found myself not using it – Michael_Day1790
- LinkedIn – Active – Michael Day

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

- None

20. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have served over 30 years in a series of progressively challenging billets. My most recent experience as the Military Advisor to the Secretary of DHS has reinforced the concept of jointness and integrated operations in accomplishing great things for the American people. Operation Allies Welcome was both one of my most

challenging and meaningful endeavors. The ability to coordinate elements of DHS, DoS, and DoD response efforts to benefit the fleeing Afghans was impactful on a personal level and a recent high-water mark in terms of cooperation amongst the departments. If confirmed, I will continue to seek to act as a unifier in the effective and judicious use of resources.

I think the blend of diverse staff and operational billets have provided insights into the authorities, capabilities, and capacities the Coast Guard brings into our mission portfolio. I've also served on the Joint Staff at the Pentagon and have an appreciation for the tremendous gains achievable when we leverage the distinct capabilities each of the services brings. Assignments on both coasts has also enabled me to see how there are both similarities and differences in how core Coast Guard functions are performed; from each experience I developed a more robust perspective. As Commander of Sector New York, I had the privilege of leading one of the largest units in the Coast Guard, with over 1,200 people assigned to the command and outlying units.

On a personal level, I've strived to be a life-long learner, and sought opportunities to improve the Coast Guard at every opportunity. I've been part of some of the Coast Guard's largest operations ranging from being a first responder on 9/11 in New York to the BP Oil Spill in the Gulf – the common-denominator, I've found for me, is working with so many talented people: Trying to fully understand the needs, concerns, and wants of our workforce are of vital importance to me. I am inspired by the caliber of talent we have in the Coast Guard, and hope if confirmed, to continue to add value and experience for the next several years.

21. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

Responsible controls and accounting controls are very important to any/all public institutions. The American public entrusts us to be responsible stewards of the resources we are given to carry out our missions. Any indiscriminate or non-appropriate use of funds could

erode the credibility of the Service and trust in Government to accomplish the missions we are entrusted to deliver. Similarly, the public has a right to expect that we comport ourselves in a manner which reflects the best an agency has to offer. As Commander of Sector New York, I made ensuring we had a clean financial audit a priority. I communicated routinely on the importance of sound stewardship and temporarily re-directed/assigned several personnel to successfully meet that goal. We've heard the stories of the acquisition programs in government that result in \$600 hammers. As professionals we have a duty to ensure we are acting in a manner that allows us to continue to effectively serve. For me, one of the most important facets of leadership is ensuring that our crews have the requisite resources necessary to conduct their mission and return safely home. Loose financial and management controls potentially divert funds from other service priorities, of which there are several.

22. What do you believe to be the top three challenges facing the Coast Guard, and why?

I have been assigned since June of 2021 to the Department of Homeland Security, however I continue to believe the following items represent some of the Coast Guard's greatest/most pressing challenges:

- *Challenge 1: Improving Diversity & Inclusion in the Coast Guard.*
I think we need to better reflect the population we serve if we are to meet the challenges of the future. A diverse and inclusive workforce will better integrate a multitude of perspectives into our operations; I believe improving in many ways how we perform our varied missions and ultimately serve the American people. By promoting diversity and inclusion we find out all the novel and innovative ideas out there in the world: It is one means to learn more about the world around us. It is the right thing to do. Moreover, by exploring other ideas, beliefs, and lifestyles with an open mind, we open ourselves to exercise creativity and problem solving by looking at things from other lenses. Many Coast Guard missions lend themselves to diverse perspectives, if we only take one perspective, or continue to do things the way we always have, we will never fully understand or accomplish all that we can achieve. We, therefore, ultimately, run the risk of locking ourselves into a sort of closed-minded ideology. As we look to recruit

and retain people, I think it an organizational imperative for senior leaders to be fully engaged with diversity and inclusion efforts and to serve as a role-models in this area.

- *Challenge 2: Improving access to mental healthcare and destigmatizing use of those services.*

I believe we, as a Service, don't have access to enough mental health providers and resources and consequently, people are challenged/hesitant to seek assistance. I've also seen several people spiral into a bad situation for lack of mental health resources. I've seen first-hand the devastating effects of suicide and believe just as there are annual physical wellness checks, we should have mental health wellness checks. As members of the Coast Guard, we are witness to many suicides and accident recoveries. As the Deputy Sector Commander in San Francisco, Station Golden Gate worked for me. They were averaging 120 suicide recoveries from the Golden Gate Bridge each year. In speaking with the crew, I learned of the psychological impacts on recovering so many deceased. I am proud I was able to have a dedicated mental health counselor assigned to the unit and saw a tremendous decrease in the number of mental health issues we had to deal with for the crew. As I reflect on the Service as a whole, I think we also need to also invest in resiliency training for our members. With resilience, people can better rebound from a setback or a disaster and serve the Nation.

- *Challenge 3: Maintaining parity with DoD in terms of benefits to our members.*

As a branch of the military, we should strive to maintain parity with the Department of Defense (DoD). We are competing for the same talent as the other four Services. I was pleased to see the Commandant recently announced raised Tuition Assistance to match DoD. We still should continue to strive for equality in benefits. Failure to maintain parity could further erode recruiting efforts – the past three years we have not met our recruiting goals. With the transition to the Blended Retirement System (BRS) the workforce is likely to be more permeable and, perhaps, not as inclined to stay in the Coast Guard for a traditional career. The transportability of the Thrifts Savings Plan is a new facet in talent management that we have not had to previously contend with. In prior generations, the 20-year cliff-vesting for retirement meant that most people would not be

leaving the organization after 12 years, arguably that is the phase of a career where one is also very competitive in the civilian marketplace for a lucrative job. If DoD continues to invest in the workforce and the Coast Guard fails to maintain parity, the potential exists for us to lose members to both the civilian economy and other branches of the military, thereby increasing costs to the Nation. The recruiting, hiring, and onboarding process takes a significant amount of funds, so when employee turnover is high, it can really have a negative impact.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

- *Please see my nominee Public Financial Disclosure Report.*

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

- *None*

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

- *None, but if any conflict of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.*

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

- *None, but if any conflict of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.*

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

- *None, but if any conflict of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.*

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

- *None*

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
 - *No*
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.
 - *No*
3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain:
 - *No*
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.
 - *No*
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age, disability, genetic information, marital status, parental

status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

Yes - I was one of the subjects of a DHS Office of Inspector General investigation that was opened in or before 2017 related to an officer evaluation report and an administrative letter of censure rendered by the Deputy at Sector New York to an officer assigned to the organization. As "reporting officer," the Deputy authored the marks, narrative, and assignment and promotion recommendations for the OER in addition to marks and narrative provided by a commander who was the "supervisor" for the OER. I was the "reviewer" (third level). This is the same matter that I previously disclosed to the Committee on my O7 Senate Questionnaire under Section C.7.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

- *No*

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

- *None*

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

- *Yes*

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

- *Yes*

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

- *Yes*

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

- *Yes*

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

- *Yes, in accordance with law and DHS policy and guidance.*

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request?

- *Yes, in accordance with law and DHS policy and guidance.*

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

- *Yes*

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

MICHAEL DAY being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.




Signature of Nominee

Subscribed and sworn before me this 31st day of March, 2022.


Notary Public



Rear Admiral Michael H. Day **Military Advisor to the Secretary** **U. S. Department of Homeland Security**



Rear Admiral Day assumed the duties of Military Advisor to the Secretary of Homeland Security in June 2021. His primary responsibility is to provide counsel to the Secretary and Deputy Secretary regarding policies, plans, and other affairs between the Department of Homeland Security and the Department of Defense.

Rear Admiral Day previously served as the Executive Assistant to the Commandant and Vice Commandant of the Coast Guard providing senior executive decision support, advice, and recommendations to shape, communicate, and carry out strategic direction, policy, and internal/external communications.

Rear Admiral Day was commissioned in 1991 at Coast Guard Officer Candidate School in Yorktown, Virginia and has enjoyed a diverse career of operational and staff assignments in the Response Ashore field. Previous assignments include serving in the Strategy and Policy Directorate (J5) for the Chairman of the Joint Chiefs of Staff and Commanding Officer of the Pacific Strike Team, where he participated in a variety of emergency responses in locations ranging from the Arctic to Taiwan and throughout the United States. Rear Admiral Day also served as Commander of Sector New York from 2015- 2018. In this capacity, he served as Captain of the Port, Officer in Charge of Marine Inspection, Federal Maritime Security Coordinator, Search and Rescue Mission Coordinator, and Federal On-Scene Coordinator for Sector New York's 6,000 square miles area of responsibility

In response to the terrorist attacks of September 11, 2001, as a Lieutenant and while serving as the Chief of Waterways Oversight in the Port of New York and New Jersey, he was immediately designated the Coast Guard on-scene commander for the evacuation of lower Manhattan. In this capacity, he coordinated the response of over 100 civilian vessels in evacuating over 500,000 people in the largest maritime evacuation in history.

Rear Admiral Day has earned Master's degrees in National Security and Strategic Studies from the Naval War College, Newport, Rhode Island and in Public Administration from Bridgewater State University. Rear Admiral Day also completed a one-year fellowship at Harvard University Kennedy School of Government as a National Security Fellow and participated in a one-year Industry Training program with the Port Authority of New York and New Jersey.

Rear Admiral Day has received numerous military and civic awards. However, he considers those award earned throughout his career associated with unit performance to be most satisfying and representative of Coast Guard service, because they recognize the participation and accomplishment of all crew members.

Rear Admiral (LH) Michael H. Day

Current Assignment

June 2021 – Present Military Advisor to Secretary of DHS

Assignment History

June 2020 – May 2021	Commandant, Executive Assistant
July 2019 – June 2020	Vice Commandant, Executive Assistant
June 2018 – June 2019	PSC, Chief, Officer Personnel Mgmt
July 2015 – June 2018	Commander, Sector New York
May 2013 – July 2015	Deputy Commander, Sector San Francisco
June 2011 – May 2013	Joint Chiefs of Staff, Joint Operational War Plans
Aug 2010 – June 2011	Harvard University, National Security Fellow
June 2007 – July 2010	Commanding Officer, Pacific Strike Team
July 2003 – June 2007	Officer Personnel Management, Career Counselor/Detailer
Aug 2002 – June 2003	U.S. Naval War College, Student
Aug 1999 – July 2002	Activities New York, Waterways Mgmt Div
Sept 1998 – June 1999	Port Safety Industry Training, Port Authority NY/NJ
July 1995 – Aug 1998	Marine Safety Office Boston, Port Operations
July 1993 – July 1995	USCGC SENECA (WMEC 906), Engineer Officer in Training
July 1991 – July 1993	USCG HQ, Civil Engineering Prgm Analyst

Educational Summary

1984 – 1986	Blue Hills Technical Institute, AAS
1988 – 1990	Wentworth Technical Institute, BS
1995 – 1998	Bridgewater State University, MPA
2002 – 2003	U.S. Naval War College, MA National Security
2010 – 2011	Harvard Univ., Kennedy School – National Security Fellow

Military Personal Awards and Significant National Recognition or Achievement

2021	Armed Forces Service Medal
2021	Honorary Chief Petty Officer
2021	Legion of Merit
2018	Legion of Merit
2017	Ellis Island Medal of Honor
2015	Meritorious Service Medal
2013	Defense Meritorious Service Medal
2011	CG Commendation Medal
2010	Meritorious Service Medal
2008	Joint Service Commendation Medal
2007	Meritorious Service Medal
2002	Meritorious Service Medal
2000	CG Achievement Medal
1998	CG Commendation Medal
1995	CG Commendation Medal
1993	CG Achievement Medal
1991	COMDT's Letter of Commendation

Significant Professional Credentials

2019	NIMS Type 1 – Incident Commander
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