

## Senate Commerce Committee Nominee Questionnaire, 117th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type “None” or “Not Applicable” if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., “A”, “B”, etc.) on a new sheet of paper.

### A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

**Ann Claire Phillips**

**Ann Claire Wasson** (married name 1985-1987)

2. Position to which nominated: **Maritime Administrator**
3. Date of Nomination: **October 21, 2021**
4. Address (List current place of residence and office addresses):

**Residence Address:** [REDACTED]

**Work Address: Office of the Governor of Virginia, 1111 East Broad Street, Richmond, VA 23219**

5. Date and Place of Birth: **June 8, 1961, Arlington, VA.**
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse Name: **Luis Alejandro Boticario**

Spouse Employment: **Upper School Mathematics Teacher. Norfolk Collegiate School, 7336 Granby Street, Norfolk, VA 23505**

**No Children**

7. List all college and graduate degrees. Provide year and school attended.

**B.A. in French, University of North Carolina, Chapel Hill, NC – May 1983**

**Master Training Specialist Designation, U.S. Navy, 1993**

**Diploma, Joint Distance Education Program, Naval War College, Newport, RI, 1997**

**Master of Business Administration, College of William & Mary, Mason School of Business, Williamsburg, VA – August 2016**

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

***Related Managerial Experiences:***

- **Special Assistant to the Governor of Virginia for Coastal Adaptation and Protection, October 2018 - Present**
- **Self-Employed Consultant, 2015-2018**
  - **Old Dominion University Research Foundation -Sea Level Rise Pilot Planning Project - Infrastructure Working Group Team Leader 2014-2016**
  - **Southern Elizabeth River Watershed Study Co-Lead 2017-2018**
  - **League of Conservation Voters, Virginia – Resilience and strategic planning analysis / Hampton Roads region, 2017-2018**
- **Senior Associate Consultant, Burdeshaw Associates, LLC, 2014 - 2018**
- **Commander, Expeditionary Strike Group TWO, 2012 -2013**
- **Chief of Naval Operations (CNO) Staff, Director/Deputy Director, Surface Warfare Division (N86), 2009 – 2012**
- **Chief of Naval Operations Fellow - Strategic Studies Group XXVIII, 2008 – 2009**

- **Commander, Destroyer Squadron TWO EIGHT, 2007 – 2008**
- **Executive Assistant to Commander, SIXTH Fleet/Joint Command Lisbon/Strike Force NATO/NATO Response Force, 2004 - 2006**
- **Commanding Officer, USS MUSTIN (DDG 89), 2001 - 2004**

*Related Non-managerial Experiences:*

- **Chief of Naval Operations Staff, Surface Warfare Division (N86), Requirements Officer DD 21 Program , 1999-2001**
- **Executive Officer, USS KINKAID (DD 965), 1998-1999**
- **Flag Secretary, Commander Cruiser Destroyer Group THREE/ CARL VINSON Task Group, 1995-1998**
- **Surface Warfare School, Department Head Instructor, 1993-1995**
- **Operations Officer, USS SAN JOSE (AFS 7), 1990-1993**
- **Chief Engineer USS CAPE COD (AD 43), 1988-1990**
- **Navigator USS JASON (AR 8), 1986 - 1988**
- **Assistant Damage Control Assistant, and First Division Officer USS LEXINGTON (AVT 16), 1984-1986**
- **Surface Warfare Officers School, 1983-1984**

9. Attach a copy of your resume. **See Attachment A.**

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

- **Transportation Research Board, Transportation Resilience Metrics Study, National Academy of Science Engineering and Medicine, Appointed Study Board Member, 2020 - 2021**

- **Joint Commission on Science and Technology Coastal Weather Impact Study, Commonwealth of Virginia, Appointed Study Board Member, 2020 - 2021**
- **City of Norfolk Mayor's Climate Change Commission, Appointed Member, 2018 - 2019**
- **Military Economic Development Advisory Committee, Norfolk Virginia, Appointed Committee Member, 2018 – 2018**

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

- *Member, Board of Directors, The Council on Strategic Risks, Washington, DC, 2018 - Present*
- *Member, Advisory Board, The Center for Climate and Security, Washington, DC, 2017 - Present*
- *Member, Global Military Advisory Committee/Climate Change, The Hague, NL, 2018 – Present*
- *Member, Board of Directors, LEAD Virginia, Richmond, VA, 2017 – Present*
- *Member, Board of Directors, WHRO, Inc., Norfolk, VA, 2017 - Present*
- *Member, Board of Directors, Wetlands Watch, Norfolk, VA, 2018 – 2018*
- *Member, Board of Directors, University of North Carolina, NROTC Alumni Association, 2018 - 2020*
- *Member, Board of Directors, Coastal Resiliency, Inc, (RISE), Norfolk, VA, 2017 - 2018*
- *Member, Board of Directors, American Resilience Project, Worcester, MA, 2017 - 2018*

- **Member, Board of Directors, CIVIC Leadership Institute, Norfolk, VA, 2016 - 2018**
- **Member, Board of Directors, Norfolk Police Foundation, 2015 - 2018**
- **Member, Board of Directors, Hampton Roads Naval Historical Foundation, Norfolk, VA, 2014 – 2018 (Board Vice President, 2017-2018)**

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

- **Member, Beta Gamma Sigma, MBA Honor Society, 2016 - Present**
- **Member, Surface Navy Association, Alexandria, VA, 1989 - Present**
- **Member, University North Carolina Alumni Association, Chapel Hill, NC, 1983 – Present**
- **Member, University of North Carolina, NROTC Alumni Association, 1983- Present**
- **Member, Military Officers Association of America, 2014 - Present**
- **Member, The Flag and General Officer’s Network, 2014 - Present**
- **Member, Mission: Readiness, 2014 - Present**
- **Member, CIVIC Leadership Institute, Norfolk, VA, 2016 - Present**
- **Hampton Roads Rowing Club, Norfolk VA, 2018-Present**
- **Member, American Shore and Beach Protection Assn, 2018 - Present**

- **Member, Association of Sustainability and Adaptation Professionals, 2020-Present**
- **Member, Town Point Club, Norfolk VA, 2015-2018**

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

**I have never been a candidate for or held public office.**

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

**I have never served in a paid or unpaid, formal or advisory position for any political campaign.**

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

**See Attachment B for a list of contributions responsive to this request. All entries in the attachment are the result of electronic searches (example: FEC.gov) and reflect my best recollection. Should any additional contributions be identified, I will promptly inform the Committee.**

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

- **Virginia Water Environment Association (VWEA) Stormwater Splash Award, 2021**
- **Beta Gamma Sigma, 2016**
- **UNC NROTC Distinguished Alumni Award, 2014**
- **Coronado CA Soroptimist Woman of Distinction, 2003**
- **Naval Service, 1983-2013:**

- **Surface Warfare Officer Insignia**
- **Command at Sea Insignia**
- **Legion of Merit (3 awards)**
- **Defense Superior Service Medal**
- **Meritorious Service Medal (4)**
- **Navy Commendation Medal (4)**
- **Navy Marine Corps Achievement Medal (2)**
- **Navy Battle “E” Ribbon (2)**
- **NATO Medal – Pakistan**
- **Various other unit and campaign awards**

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

***Publications:***

**Please see Attachment C for the most current list of publications - to the best of my knowledge. If additional publications are identified following the submission of the questionnaire, I will promptly inform the Committee.**

***Speeches:***

**Please see Attachment D for the most current list of speeches and non-congressional hearings I have been able to compile, to the best of my knowledge. If additional speeches or remarks are identified following the submission of this questionnaire, I will promptly inform the Committee.**

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

- **Linked IN:** <https://www.linkedin.com/in/ann-phillips-92449176/>
- **Facebook:** <https://www.facebook.com/ann.c.phillips.73>
- **Twitter:** <https://twitter.com/Ladyswo89>

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

**Please see Attachment E for the most current list of congressional hearings in which I have testified.**

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

**Having devoted a significant part of my life to the sea services, and now, to building coastal and climate resilience, I understand the need for and am deeply committed to the development of a strong strategic sealift capacity and supporting infrastructure, including equitable economic and workforce development, training and capacity for the U.S. Flag maritime industry. I am also deeply committed to strengthening and invigorating the U.S. Merchant Marine Academy to ensure the future leadership of the U.S. maritime industry. My 31 years of service in the U.S. Navy, combined with my recent and ongoing experience in state government and academe, makes me well-suited to execute the full portfolio of duties and responsibilities required of the Maritime Administrator.**

**For the past three years, I have served as the Special Assistant to Governor Ralph Northam for Coastal Adaptation and Protection in the Commonwealth of Virginia - the primary point of contact for coastal adaptation and flooding mitigation across Virginia, including the Port of Virginia, and substantial critical Federal and Department of Defense – largely maritime related – infrastructure. Later this year, we will deliver the Commonwealth’s first Coastal Resilience Master Plan, to chart a course to adapt and protect our most critical coastal infrastructure, all of which, as described above, is exceedingly vulnerable to multiple climate-related hazards, including sea level rise, recurrent flooding, and coastal storms and hurricanes.**

**During my naval career, I was fortunate to hold Command at Sea three times, at the Ship, Destroyer Squadron, and Strike Group level. As Commander, Expeditionary Strike Group TWO (ESG-2), I provided strategic and operational leadership and management of 26 assigned subordinate ships,**



**squadrons, and units - over 15,000 in manpower, and \$20B in capital assets. During that tour, I worked closely with the Military Sealift Command as a part of the ESG Mission set to ensure capacity and support for strategic sealift offload and backload, Joint Logistics Over The Shore (JLOTS) deployment, Defense Support to Civil Authorities and Humanitarian Assistance and Disaster Response missions. Prior to that, as Deputy Director and Director of Surface Warfare Division (N86), I managed the annual Fiscal Year Defense Plan submission for the Surface Force, with a 5-year average budget of \$48B, which included ships, combat systems, weapons systems, and related training and infrastructure.**

**I am honored to be nominated by President Biden to serve as a part of Secretary Pete Buttigieg's leadership team within USDOT. I believe that my lifetime of service at sea, my experience commanding major US and international maritime operations and my background managing fiscal resources and requirements development for the Surface Force, coupled with my recent experience focused on building equitable climate resilience and coastal adaptation and protection strategies for the critical federal and maritime infrastructure of the Commonwealth of Virginia, has prepared me, if confirmed, to serve as Maritime Administrator.**

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

**The Maritime Administrator oversees and maintains the functions of the Maritime Administration as it ensures the functionality of US waterborne transportation and the viability of the U.S. Merchant Marine and U.S. Merchant Marine Academy. As a lifelong leader, public servant, and steward of taxpayer dollars, as described above, I am familiar with and understand the process to build and oversee substantial budgets, and the need for strict accountability, auditable financial practices and controls, as well as with the complex challenges and responsibilities of developing and maintaining a diverse, skilled workforce, and ensuring sound legal procedures in a multifaceted and demanding political environment.**

22. What do you believe to be the top three challenges facing the department/agency, and why?

**The top challenge for USDOT will always be safety. Likewise, safety, as the “north star” for the Maritime Administration will continue under my leadership, should I have the honor to be confirmed as Maritime Administrator. In addition, for the Maritime Administration, the need to first, promote a strong and functional U.S. Merchant Marine and a recapitalized strategic sealift fleet, sized, manned, and trained to meet the growing marine transportation system needs for commerce, supply chain and economic development, for a competitive, safe, and modern maritime industry, as well as meet wartime strategic sealift support requirements.**

**Second, build the U.S. Merchant Marine Academy into a world-class leader and institution in maritime education, research, technology, and best marine resource and leadership and management practices – all while ensuring the Academy provides a safe learning and training environment.**

**Third, ensure the institutional and functional resilience, safety, and sustainability of the U.S. Maritime industry - as critical national security infrastructure - to natural and man-made hazards.**

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

**My arrangements are fully described in Parts 2 and 3 of my Public Financial Disclosure Report.**

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

**No. If I am confirmed, I will resign my position with the Commonwealth of Virginia as described in the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee.**

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.**

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential**

**conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.**

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.**

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

**In the last three years as Special Assistant to the Governor of Virginia for Coastal Adaptation and Protection, I have been involved in legislative and public policy issues at the local, regional, and Commonwealth level on behalf of my duties for the Governor and for the gubernatorial administration.**

## C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

**No. I have never been disciplined or cited for a breach of ethics, professional misconduct, or retaliation, or been the subject of a complaint as described.**

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense?

**No. I have never been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, country, or municipal entity for any reason.**

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation?

**No, I have never been involved in any administrative agency or criminal proceeding as described in Question 3 above.**

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense?

**No, I have never been convicted of any criminal offense.**

If so, please explain. N/A

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

**No, I have never been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis.**

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

**In July 2016 I was a victim of identity theft, achieved through fraudulent access to my bank account. Fraudulent accounts were opened in my name and may appear on historic credit reports. I filed a report of this criminal activity with the Norfolk, Virginia Police Department in July 2016. Due to related fraudulent activity involving active duty and retired Naval service members, the Naval Criminal Investigative Service conducted an investigation into the reported criminal activity. I do not know the final disposition of that investigation.**

**On October 15<sup>th</sup>, 2012, I was appointed by US Fleet Forces Command (USFFC) as the Investigating Officer, directed to conduct a Judge Advocate General Manual Investigation (JAGMAN), into the circumstances surrounding a collision between the USS SAN JACINTO (CG 56) and USS MONTPELIER (SSN 765). The investigation concluded on December 18, 2012, and was forwarded to USFFC for review and disposition. The Investigation was released under a FOIA request in June 2014.**

**<https://media.defense.gov/2020/Jun/06/2002311740/-1/-1/1/MONTPELIER-SANJACINTO-INVRESRPT.PDF>**

**On December 13<sup>th</sup>, 2012, a Sailor aboard USS CARTER HALL (LSD 50), a subordinate command to Expeditionary Strike Group TWO, fell in the well deck of the ship during routine operations at sea, and subsequently died from his injuries. A JAGMAN Investigation was conducted by the Commander, Amphibious Squadron 4. ESG TWO reviewed, addressed recommended corrective and disciplinary actions and forwarded to USFFC for final review.**

**<https://www.kpbs.org/news/2012/dec/13/sailor-killed-fall-uss-carter-hall/>**

**On August 21, 2012, a tug and barge from Amphibious Construction Battalion TWO, an ESG TWO Subordinate Command, narrowly avoided a collision with the inbound container vessel APL OMAN, causing the OMAN to drop anchor in the vicinity of the Thimble Shoals Tunnel of the Chesapeake Bay Bridge Tunnel. The Coast Guard**

**conducted a safety investigation, and ESG 2 Staff conducted a JAGMAN investigation, recommended and implemented corrective and disciplinary actions, and forwarded to USFFC for final review.**

**<https://www.dailypress.com/business/dp-xpm-20120822-2012-08-22-dp-port-near-miss-20120822-story.html>**

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority? **Yes.**
2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures? **Yes.**
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee? **Yes.**
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so? **Yes.**



**F. AFFIDAVIT**

Ann Claire Phillips \_\_\_\_\_ being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

\_\_\_\_\_  
Signature of Nominee

Subscribed and sworn before me this \_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Notary Public

**Senate Commerce Committee Nominee Questionnaire, 117th Congress**

**Ann C. Phillips**

**Attachment A: Resume, Ann C. Phillips (Forwarded Separately)**

**Senate Commerce Committee Nominee Questionnaire, 117th Congress**

**Ann C. Phillips**

**Attachment B, Political Contributions:**

08/30/2017	Dave Belote	\$800.00
09/20/2018	Elaine Luria	\$500.00
02/05/2018	Elaine Luria	\$500.00
09/20/2018	Elaine Luria	\$500.00
2/5/2018	Michael Franken	\$500.00
6/2/2020	Michael Franken	\$500.00
9/2/2020	Elaine Luria	\$1,000.00
9/2/2020	Joe Biden	\$500.00
10/29/2020	Elaine Luria	\$500.00
6/6/2021	Andria McClellan	\$500.00

## Senate Commerce Committee Nominee Questionnaire, 117th Congress

Ann C. Phillips

### Attachment C, Publications:

#### Journal Articles:

- *Surface Warfare Magazine*, Winter 2012, **Director's Letter**  
[https://issuu.com/comnavsurfpac/docs/surfacewarfare\\_win2012\\_singlepgs](https://issuu.com/comnavsurfpac/docs/surfacewarfare_win2012_singlepgs)
- **Second author**, *A Coastal Resilience Case Study: The Little Creek/Pretty Lake Communities of Hampton Roads*, Shore and Beach Journal, Winter 2016 Edition. Resilient Virginia Newsletter, March 2018.
- *Sea Level Rise Preparedness: An Intergovernmental Pilot Project as a Blueprint for Community Resiliency*.  
<https://mailchi.mp/resilientvirginia/resilient-virginia-news-march-2018?e=7cc09418b7#news2>

#### Editorials:

- Virginian Pilot, Oct 22, 2017. *The Hampton Roads Region Must Fight Climate Change to Protect Health and Security*. With Deborah Brown, VP American Lung Association, Mid-Atlantic.  
[https://www.pilotonline.com/opinion/columns/article\\_956fec9c-69ee-579e-a430-90c093fe1fc8.html](https://www.pilotonline.com/opinion/columns/article_956fec9c-69ee-579e-a430-90c093fe1fc8.html)
- Virginian Pilot, March 13, 2021. *Women Veterans "Lead The Way" in Virginia*, with CAPT Kathleen Jabs, USNR (Ret), Secretary of Veteran's and Defense Affairs, Commonwealth of Virginia.  
<https://www.pilotonline.com/opinion/columns/vp-ed-column-phillips-jabs-0314-20210313-aqljapcktdclfij7p7prypn4y-story.html>
- Sea Technology Magazine Editorial; *National Security Depends on Climate Change Preparation* - Published Spring 2018.

## Publication Documents:

- ***Hampton Roads Sea Level Rise Preparedness and Resilience Intergovernmental Pilot Planning Project (IPP) Report.*** Old Dominion University - 2014-2016. *Infrastructure Working Group Chair and Contributing Author.* Published October, 2016.  
[https://digitalcommons.odu.edu/hripp\\_reports/2/](https://digitalcommons.odu.edu/hripp_reports/2/)
- **[Military Expert Panel Report: Sea Level Rise and the U.S. Military's Mission, 2nd Edition](#)**, The Center for Climate and Security, Military Expert Panelist, full report, February 2018. *Panel Signatory.*
- **[The CSAG Roadmap and Recommendations for the U.S. Government, "A Responsibility to Prepare: Strengthening National and Homeland Security in the Face of a Changing Climate,"](#)** The Climate and Security Advisory Group, full report, 26 February 2018. *Signatory and event panelist.*
- **Lead Author, *Virginia Coastal Resilience Master Planning Framework*** – Virginia's first planning process document - setting the course for the future of Virginia's coastal adaptation and protection - released October 22, 2020.  
<https://www.governor.virginia.gov/media/governorvirginiagov/governor-of-virginia/pdf/Virginia-Coastal-Resilience-Master-Planning-Framework-October-2020.pdf>
- ***The Impact of Climate Change on Virginia's Coastal Areas.*** Virginia Academy of Science Engineering and Medicine, June 2021. Report Directed by the 2020 Virginia General Assembly House and Senate Joint Resolution 47, to be conducted by the Joint Committee on Technology and Science. *Study Board Member.*
- ***Investing in Transportation Resilience: A Framework for Informed Choices.*** Consensus Study report, National Academies of Science Engineering and Medicine, Transportation Research Board. Committee on Transportation Resilience Metrics. The National Academies Press, Washington DC.
- August 5, 2021. *Committee Member.*

## Film/Documentary appearances:

- American Resilience Project Production, *Tidewater*, a film about climate impact on national security in Hampton Roads. <https://www.amresproject.org/tidewater-film>). Filmed October, 2016, first showing, March 20, 2017.
- WGBH NOVA, *Decoding The Weather Machine*, a 2 hour documentary about the science and impacts of climate change. Aired April 18, 2018. <http://www.pbs.org/wgbh/nova/earth/decoding-weather-machine.html>
- **WHRO Production: *She's Coming Home***, documenting the journeys of three women veterans as they leave military service. Aired March, 2019

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**Ann C. Phillips**

### Attachment D, Speeches, Presentations:

<b>Date</b>	<b>Type of Presentation</b>	<b>Presented to</b>	<b>Topic</b>
31 Aug 21	Web/Panel	Global Alliance of Disaster Resilience Institutes	Building Coastal Resilience in Virginia, Connecting Research to Need
25 Aug 21	Web/Panel	Resilient Virginia	Coastal Resilience, Building Strategies for Virginia
19 Aug 21	Web/Public Meeting	Northern VA Regional Commission/Public	Virginia Coastal Master Plan Impact Assessment/ Public Input
11 Aug 21	Public Meeting/Presentation	Eastern Shore Planning District Public Meeting	Virginia Coastal Master Plan Impact Assessment/ Public Input
5 Aug 21	Public Meeting	Hampton Roads PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
4 Aug 21	Public Meeting	Northern Neck PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
3 Aug 21	Public Meeting	Middle Peninsula PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
2 Aug 21	Public Meeting	Crater PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
28 Jul 21	Public Meeting	Richmond PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
27 Jul 21	Public Meeting	George Washington PDC	Virginia Coastal Master Plan Impact Assessment/ Public Input
19 Jul 21	Web / Presentation	VA 02 Chesapeake Bay WG	Update, Virginia Coastal Resilience Master Plan
15 Jul 21	Web/ Presentation	Emergency Manages of Central Va	Virginia Coastal Resilience
28 June 21	Web/panel	RAND/USCA	Building Urgency for Coastal resilience in Virginia

25 June 21	Web Presentation	Lambda Alpha of Northern Virginia	Coastal Adaptation and Protection in Virginia
24 June 21	Web/ Panel /Presentation	Jackson Hole Global Forum on Climate Change and National Security	What's the Worst that Can Happen, Avoiding the Unmanageable
23 June 21	Web/Fireside Chat	Virginia Women Veteran's Summit	Fireside Chat with Congresswoman Elaine Luria, Leadership and Mentoring
17 June 21	Presentation / Panel	LEAD Virginia	Coastal Resilience in Virginia
25 May 21	Web/Panel	NASEM / reactive and Proactive Local Actions and Data Translation	Coastal resilience and Data use for Decision Makers in Virginia
20 May 21	Web Presentation	HRPDC Board and Regional Legislators	Coastal Resilience Master Plan and Process
13 May 21	Web/panel	LEAD 757	Coastal Resilience Master Planning in Virginia/ Infrastructure Day
11 May 21	Web/Presentation /panel	Virginia Conservation Network	Coastal Adaptation and Protection in Virginia, rainfall impacts, resilience for coastal and non-coastal communities
7 May 21	Web/panel/Prese ntation	The Center for Climate and Security / EESI	Building Climate Resilience at Home – Preparing for and Preventing the Security Impacts of Climate Change
14 April 21	Web/Presentation	Virginia Eastern Shore Conservation Alliance	Virginia Coastal resilience Master Plan and Strategies
9 March 21	Web/Presentation	EPA/VA Tribes RTOC	Coastal Resilience Master Plan and Community Flood Fund
18 Feb 21	Web/Presentation	CIVIC Hampton Roads	Coastal resilience in Virginia, Building a Master Plan
14 Feb 21	Web/Panel	Richmond VA Env Film Festival	Tidewater (Movie) Commentary
26 Jan 21	Web/Panel Presentation	SE and Caribbean	What does it take to be resilient?



		Disaster Resilience Partnership	
19 Jan 21	Web Presentation	GWRC Env Managers Meeting	Advancing Community resilience
14 Jan 21	Web/Presentation	Preservation Virginia	Coastal and Historic resilience in Virginia
13 Jan 21	Web Presentation	Coastal at VT	Coastal Master Plan Framework in Virginia
12 Jan 21	Web/Presentation	Climate Action Working group Eastern Shore	Coastal Master Plan Framework and Coastal resilience
8 Jan 21	Web Panel Presentation	Navy War College Forum	National Security Significance of a Changing Climate: Risk and Resilience in the 21 <sup>st</sup> Century
7 Jan 21	Web/Presentation	Chesapeake Bay Commission	Virginia Coastal Master Planning Framework
21 Dec 20	Web Presentation	Va General Assy Joint Subcommittee on Coastal Flooding	Virginia Coastal Resilience Master Planning Framework
16 Dec 20	Web Presentation / Panel	NCSL	NCSL; WebinarTitle: Designed for Disaster: The Impact of State and Federal Policies on Military Installation resilience
10 Dec 20	Web Presentation	Virginia Maritime Assn Young Leaders Class	Virginia Coastal resilience/Port Infrastructure Resilience
9 Dec 20	Web Presentation	HR EDA	Virginia Coastal Resilience Master Planning Framework
8 Dec 20	Web Presentation	HRPDC Coastal Resilience Subcommittee	Virginia Coastal Resilience Master Planning Framework
2 Dec 20	Web Presentation	HRPDC Chief Administrative Officers	Virginia Coastal Resilience Master Planning Framework
2 Dec 20	Web Presentation	Center for Climate and Security	Virginia Coastal Resilience Master Planning Framework

20 Nov 20	Web Presentation	Wetlands Watch Lunch and Learn	Virginia Coastal Resilience Master Planning Framework
19 Nov 20	Presentation	Virginia Sec of Vet and Def Affairs Military Advisory Committee	Virginia Coastal Resilience Master Planning Framework
13 Nov 20	Web Presentation	Virginia Env Community	Virginia Coastal Resilience Master Planning Framework
4 Nov 20	Web Presentation	HRPDC Board	Virginia Coastal Resilience Master Planning Framework
4 Nov 20	Web Presentation	Joint Subcommittee on Flooding	Virginia Coastal Resilience Master Planning Framework/ Update on 2020 Legislation / DEQ/DCR/VMRC
2 Nov 20	Web Presentation	Virginia Assn of Floodplain Managers	Virginia Coastal Resilience Master Planning Framework
29 Oct 20	Web Presentation / Panel	NC SE Regional P ASS	Engaging at the Federal, State and Local Level on Resilience
21 Oct 20	Web/Presentation	Virginia Coastal Policy Center at W&M	Virginia Coastal Resilience Master Planning Framework
15 Oct 20	Web / Presentation	ASBPA, NOAA	The Role of Coastal resilience in the UN Decade of Ocean Science
05 Oct 20	Blog/Article	The Center for Climate and Security	<a href="#">Interview with Rear Admiral Ann Phillips on Climate and Security: "You're the Future. No Pressure!"</a>
28 Sep 20	Web Testimony	VA DHCD Building Code Board Meeting	Testimony in support of the board voting to adopt stricter building codes in VA (2 mins)
21 Sep 20	Web Presentation	VA Water Monitoring Conference keynote	Coastal Adaptation and Protection in Virginia
16 Sep 20	Web Presentation/ Panel	Riley Center, Charleston SC	The Challenges of Financing Resilience
21 Aug 20	Web panel	Resilient Virginia	Coastal Adaptation in Virginia
7 July 20	Web Panel	Richmond Fed Reserve Bank	Climate Change Risk in Virginia

9 Mar 20	Presentation	Virginia Lakes and Watershed Assn	Keynote: Coastal Adaptation and Protection in Virginia
9 Mar 20	Presentation	DCR Flood Awareness Week Kickoff	Brief remarks, Flood resilience in Virginia
3 Mar 20	Presentation	HE Assn of Commercial Real Estate Past Pres Council	Coastal Adaptation and Protection in Va, the Need for Action
28 Feb 20	Speaker	Chesterfield Heights/ NDRC Grant Project KickOff	Ribbon Cutting w Gov Northam and Mayor Alexander, Brief remarks about the Project
13 Feb 20	Testimony	Senate Special Committee on the Climate Crisis	Testimony on the Impact to Federal and DOD Facilities in Virginia
24 Jan 20	Panel Presentation	Virginia Bar Assn Annual Conf	Coastal Adaptation in Virginia
22 Jan 20	Opening Panel Presentation	VCPC/ANPDC Eastern Shore	Funding strategies for Coastal Adaptation in Virginia
9 Jan 20	Presentation	Hampton Roads realtors Assn	Coastal Adaptation and Protection in Virginia, Flood Disclosure needs
10 Dec 19	Presentation	Virginia Beach Vision	Coastal Adaptation in Virginia
21 Nov 19	Presentation/ Panel moderator	VAGHC Conference	Coastal Adaptation in Virginia
19 Nov 19	Testimony	House T&I WRDA Subcommittee	Coastal Adaptation needs in Virginia
14 Nov 19	Opening remarks	VCPC Annual Conference	Coastal Adaptation in Virginia, Funding, partnerships, Planning
8 Nov 19	Presentation/ Panelist	Virginia Wesleyan/ Generations Uniting to Address Climate Change	Coastal Adaptation in Virginia, the Next Generation
7 Nov 19	Panelist	CIVIC Hampton Roads	Sea Level rise and Coastal resilience
30 Oct 19	Presentation/Panel	Energy Foundation	Coastal resilience needs in Virginia

		Annual meeting Richmond	
25 Oct 2019	Presentation	Science Museum of Va/ YALE Communication Workshop	Communicating about Coastal Adaptation
24 Oct 19	Panel	AIA Annual Conference VB	Coastal Adaptation and Protection in Hampton Roads
15 Oct 19	Panel/Presentation	Western Ports Conference/ Hampton Roads	Port Infrastructure resilience / Coastal Adaptation needs
10 Oct 19	Presentation	Floodplain Managers Assn of VA	Coastal Adaptation and Protection in Virginia
27 Sep 19	Panel	VB Business Council	The Need for Coastal Adaptation and Protection in Virginia
25 Sep 19	Testimony	House T&I Subcommittee USCG and Port Infrastructure	Coastal Resilience and Port Infrastructure
24 Sep 19	Panel	Climate and Security Forum	Climate Impact on National Security infrastructure
10 Sept 19	Keynote Presentation	VWEA Conference, Water Jam	Coastal Adaptation and Protection in Virginia
19 Aug 19	Presentation	Virginia GA Joint Flooding Subcommittee	Coastal Adaptation and Protection Update
25 Jul 19	Presentation /Panel	PDC Annual Conference	Coastal Adaptation and Protection in Virginia
24 Jul 19	Testimony	House Budget Committee	Coastal Adaptation and Protection Needs in Virginia
12 July 19	Presentation	HR Adaptation Forum	Coastal Adaptation and Protection
8 Jul 19	Comments	House Select Committee on the Climate Crisis Visit to Hampton Roads	Virginia Actions, Coastal Adaptation and Protection
20 Jun 19	Presentation	Hampton Roads Kiwanis	Coastal Adaptation and Protection
12 Jun 19	Presentation	Virginia Sea Grant Forum	Coastal Adaptation and Protection in Virginia

4 Jun 19	Presentations/ Panels	Everlab, NOLA	Coastal Adaptation for Coastal Virginia Communities/ Commonwealth Actions
31 May 19	Comments	Senator Kaine Coastal Adaptation Forum CBF VB	Commonwealth Actions on Coastal Adaptation
19 May 19	Presentation	VA League of Women Voters	Coastal Adaptation and Protection in Virginia
16 May 19	Presentation	APWRX Virginia Conference	Coastal Adaptation and Protection in Virginia
15 May 19	Presentation	Virginia Maritime Assn	Coastal Adaptation and Protection in Virginia
11 May 19	Keynote	UNC NROTC Graduation	Commissioning remarks/ Leadership
6 May 19	Keynote	Keeping History Above Water St Augustine FLA	History of the Challenges of Coastal Adaptation and Protection in Virginia
3 May 19	Presentation	VCPC Resilience Funding Forum	Opening remarks
01 May 19	remarks	RAFT / VDOT Eastern Shore meeting	Coastal Adaptation and Protection in Virginia
26 Apr 19	Panel	Norfolk International Tattoo Women in the Military	Remarks on Leadership/ Experiences
23 Apr 19	Panel	GT CLIVAR Norfolk	Coastal Adaptation and Protection in Virginia
19 Apr 19	Presentation	Del Convirs Fowler Town Hall	Coastal Adaptation and Protection in Virginia
15 April 19	Presentation	NNPDC Board Meeting	Coastal Adaptation and Protection in Virginia
12 Apr 19	Presentation	HRPDC Elected Subcommittee on Flooding	Coastal Adaptation and Protection in Virginia
4 April 19	Presentation	Ghent Norfolk VA Business Assn	Coastal Adaptation and Protection in Virginia
29 Mar 19	Presentation panel	The Hoover Institution	Coastal Adaptation and Protection Infrastructure resilience in Virginia

26 Mar 19	Radio Talk Show	Hear Say with Cathy Lewis	Coastal Adaptation and Protection in Virginia
21 Mar 19	Keynote	Governor's Women Veteran's Lunch	Leadership/ Veteran's Experiences
11 Mar 19	Presentation	Coastal PDC Director's meeting	Coastal Adaptation and Protection in Virginia
7 Mar 19	Presentation/panel	HRSP Meeting NOAA Washington DC	Coastal Adaptation and Protection in Virginia/ Science needs
6 Mar 19	Presentation/Keynote	ASCE Conference NN Va	Coastal Adaptation and Protection in Virginia
1 Mar 19	Presentation/panel	EESI / CCS Defense Communities	Coastal Adaptation and Protection in Virginia/ National Security Risks
27 Feb 19	Presentation	UNiv of Richmond lecture	Coastal Adaptation and Protection in Virginia
18-19 Feb 19	Panelist/Presenter	The Planetary Security Conference 2019, The Hague	Climate Impact on National Security
1 Feb 19	Panelist/Presenter	The Hoover Institution Stanford Woods Center DC	Building Climate resilience for greater US Security
16/17 Jan 19	Forum Speaker	Chatham Hall School	Leader in Residence Program
7 Jan 19	remarks	Virginia GA Joint Subcommittee on Coastal Flooding	Intro to Coastal Adaptation and Protection in Virginia
12 Dec 18	Interview	Virginian Pilot	Position of Spec Asst for Costal Adaptation and Protection
4 Dec 18	Presentation	Virginia Beach Vision	Coastal Adaptation and Protection in Virginia
15 Nov 18	Remarks	HRPDC Flooding Subcommittee	Coastal Adaptation and Protection in Virginia
7 Nov 18	Keynote remarks	VASEM Annual Conf Dinner	Coastal Adaptation and Protection in Virginia
7 Nov 18	remarks	HRPDC CAO SLR Committee	Coastal Adaptation and Protection in Virginia

2 Nov 18	Panel Moderator	VCPC Annual Conference	Coastal Adaptation and Protection and National Security in Virginia/EO24 release
30 Oct 18	panel	APA VA Meeting Alexandria	Coastal Adaptation and Protection in Virginia
25 Oct 18	remarks	VB Chamber Exec Committee	Coastal Adaptation and Protection in Virginia
28 Sep 18	Remarks/panel	Wharton Risk Center	Strategies for coastal relocation
27 Sep 18	Remarks Movie showing	Wharton Risk Center	Tidewater Showing
24 Sep 18	Remarks/ Panel	CCS/NC State Raleigh	Climate and National Security Risk
18 Sep 18	Remarks Movie	Citizen's Climate Lobby Christopher Newport University	Tidewater Showing
17 August 18	Movie Filming	WHRO Women Veteran's Stories	Movie" She's Coming Home" featuring 3 Women Vet's stories, one of which is mine
14 Aug 18	Presenter/panelist	NASEM BEES	Climate and National Security risk, Virginia Actions
6-8 August 18	Presenter, panel Moderator	Center for Climate and Security HM Jackson event , Charleston SC	Climate and National Security Risks, SC focus
23 Jul 18	Presenter	APA Virginia Conference	Climate Security and Tidewater Movie Screening
17 Jul 18	Interview for book	Author Therese Hughes	Women in the Military compendium title: <i>In a HeartBeat</i>
9 July 18	Presenter Panelist	W&M Whole of Govt Center	Climate Impact on National Security, Federal Infrastructure
26 Jun 18	Presenter/panelist	WEDA Conference DC	Climate Impact on national Security
13 June 18	BLOG Interview	CCS Blog	Climate Impact on National Security Infrastructure and Activities Aired as <i>Episode 2: A Conversation with Rear Admiral Ann Phillips, U.S. Navy (Ret), Advisory Board, The Center for Climate and Security</i> (November 16, 2018) <a href="https://climateandsecurity.org/podcast/">https://climateandsecurity.org/podcast/</a>

9 Jun 18	Speaker (Cancelled by weather)	March for the Oceans	Climate and Security Actions
22 May 18	Remarks/w other seminar members	Atlantic Council resilience Seminar	National Security Climate Impacts
14 May 18	Interview/film	USA Today	Climate Impact national Security
2 May 18	Int Film	CIVIC HR	CIVIC experience
24 April 18	Panelist/Speaker	The Hague roundtable / GWU/ CCS	Climate as a National Security impact
20 April 18	Panelist Speaker	W&M WOG panel w/VCPC	Climate and National Security
18 April 18	Panelist Speaker	Wharton UPENN IGEL Conference	Climate and Security actions
6 April 18	Forum Speaker	Hilton Head World Affairs Council Quarterly Forum	Climate and Security, Whole of Govt Approach, Hampton Roads Virginia
28 March 2018	Speaker Panelist	Rachel's Network Annual Meeting	Whole of Govt and Community Climate Security Actions
9/10 Mar 18	Speaker Panelist	Tulane Env Law Conference	Climate and Security, Hampton Roads
6/7 Mar 18	Speaker	GWU Forum	Global Climate Security Impact
26 Feb 18	Speaker Panelist	Council on Strategic Risks Climate and Security Forum	Climate Impact on National Security, Hampton Roads <a href="#">The CSAG Roadmap and Recommendations for the U.S. Government, "A Responsibility to Prepare: Strengthening National and Homeland Security in the Face of a Changing Climate,"</a> The Climate and Security Advisory Group, full report, February 2018
10 Feb 18	Speaker	Richmond Env film Festival	Tidewater Showing
31 Jan 18	Speaker/panel	SAME Meeting Event	Tidewater Showing
25 Jan 18	Comments	VA GA Local Govt Committee	Flood Fund Creation



14 Jan 18	Interview	Economist magazine	Climate Impact on National Security
20 Dec 17	Comments	LT GOV Northam Veteran's Committee	Veteran's employment Challenges
10-14 Dec 17	Panel / Guest	Planetary Security Conference The Hague, with CCS	Climate Impact on National Security
28 Nov 17	Panelist	CCS-IISS Panels	Climate Security in the Asia-Pacific region
14 Nov 17	Speaker	Norfolk State /LCV	<i>Tidewater</i> Showing
7 Nov 17	Speaker/panelist	NASEM Marine Board	Climate Impact on Port Infrastructure and National Security in Virginia
4 -6 Nov 17	Interview/Video	WGBH/ NOVA Filming	<i>Decoding the Weather Machine</i> /Climate impact on National Security (Aired 18 April 2018)
30 Oct 17	Commentary	CCS/HMJackson Seattle	<i>Tidewater</i> Showing
26 Oct 17	Commentary	Williamsburg/ LCV/CCL	<i>Tidewater</i> Showing
29 Sep 17	TV Interview (Air Date unknown)	The Bridge/Washingt on DC	Topic Discussion "Dealing with Rising Seas-Politics & Policy & What we can Learn from The Dutch"
24-26 Sep 27	Presentation	Environmental Grantmaker's Assn/DR Foundation / CCS Seattle W	Climate Impact on National Security
12 Sep 17	Remarks/panel	David Rockefeller Foundation/ Rachel's Network	Climate Impact on National Security/Marsh to Strategic
16 Aug 17	remarks	Slover Library	<i>Tidewater</i> Showing
12 Jul 17	Testimony	House Science Space and Technology	Climate Impact on National Security

		panel w CCS / EESI	
3 Jul 17	Discussion/presentation	Rep Scott Taylor VA-02 w CCL	Climate Impact on National Security
26 June 17	Panel	The Hoover Institution	Coastal Dialogues
5 June 17	Remarks/panel	CCS/EESI Cannon Building	Uncommon Dialogue/ Climate and Security
23 May 17	Radio Show	Hear Say / WHRO	<i>Tidewater</i>
16 May 17	Remarks	Gov McAuliffe/Energy Legislation Signing	Climate Impact on National Security
9 May 17	Remarks/ panel	Blue Frontier Conference DC	Climate Impact, national Security Hampton Roads Intergovernmental Pilot Project
28 April 17	Remarks	Citizens Climate Lobby Annual Conference, DC	Climate Impact on national Security
12-14 Apr 17	remarks	Climate and Energy Funders Group Conference , SF with CCS and DR Foundation	Climate Impact on national Security
28 Mar 17	Speaker	National Assn of Water Companies	Cyber Security , Lessons Learned from the Navy Perspective
20 March 17	Speaker panelist	CCS, American Resilience Project Navy Memorial Auditorium	<i>Tidewater</i> Opening, DC Env Film Festival
15 Mar 17	Moderator	Women's History Day HR Navy Museum	Women in the Navy / WAVES
14/15 Nov 16	Panelist	UNC Climate COE Conference	HR Intergovernmental Pilot Project
3 Nov 16	Speaker	Military Day CIVIC HE	Military Transition

27 Sep 16	Speaker Panelist	American Meteorological Assn Conference	Climate Impact on national Security
26 Sep 16	Speaker	National Press Club Event/ Climate Action Committee re Climate Action plan release	Climate Impact on National Security
14 Nov 15	Speaker	Veteran's Welcome Home Initiative VB	Reentering Society, A Veteran's Worth
4 Mar 2015	Panel Moderator	HR Navy Museum	Women's History Month
24 April 2014	Speaker	UNC NROTC Distinguished Alumni Award (received)	Panel on leadership
10 Sep 2013	Speaker	ESG 2 Change of Command	Farewell remarks
June 2013	Interviews, remarks	Operational Commander / BALTOPS	Interviews, remarks, other media coverage, BALTOPS 2013, Baltic Sea Opareas
May 2013	Speaker	Hampton Roads NROTC Graduation/Commissioning	Leadership Advice
June 2012	Interviews, remarks	Operational Commander / BALTOPS	Interviews, remarks, other media coverage, BALTOPS 2012, Baltic Sea Opareas
April 2012	Speeches/Presentations/Remarks, Interviews, TV/Radio	Fort Lauderdale Fleet Week/ Officer Conducting Exercise	Interviews, remarks, Other media exposure related to Fleet Week Events
April 2012	Speeches/Presentations/Remarks, Interviews / TV/Radio	War of 1812 Commemoration Kick Off, New Orleans/ Officer in Charge	Interviews, remarks, TV and Radio, Print Media
2 Mar 2011	Speech/ Keynote	Women's History Month	Leadership and Navy Experience

		NSWC Panama City FLA	
2010-2012	Annual Program Presentation	N86B /N86 to Surface Navy Assn Annual Conference	N86 Program Objectives Presentation to annual Surface Warfare Assn Conference, Washington DC
2010-2012	Speaker/panelist	Joint Women’s Leadership Symposium Annual Conference	Annual Women’s Leadership event, speaker, breakout session speaker, panel moderator/ Women’s Leadership initiatives

## Senate Commerce Committee Nominee Questionnaire, 117th Congress

**Ann C. Phillips**

### **Attachment E, Congressional Testimony:**

\*All of the below were done in my official capacity as Special Assistant to the Governor of Virginia for Coastal Adaptation and Protection.

- Senate Special Committee on the Climate Crisis Testimony, [Rear Admiral Ann Phillips, USN \(Ret\), Understanding and Combating the Security Risks of Climate Change,](#)” (February 13, 2020)
- House T&I Subcommittee on the Water Resources Development Act and the Environment (Nov 22, 2019)
- Written Submission in response to the House Select Committee on the Climate Crisis RFI Questions 11 and 12, (November 22, 2019)
- House T&I Subcommittee on Coast Guard and Maritime Transportation (Sept 24, 2019)
- House Committee on the Budget, Testimony, [Rear Admiral Ann Phillips, USN \(Ret\), “The Costs of Climate Change: From Coasts to Heartland, Health to Security,”](#) (July 24, 2019)

The testimony listed below, before the House Committee on Science, Space and Technology, was done as a private citizen and member of the Advisory Board of the Center for Climate and Security

- House Committee on Science, Space and Technology: Subcommittee Testimony, *SCIENCE AND POLICY PERSPECTIVES: NATIONAL SECURITY IMPLICATIONS OF CLIMATE CHANGE.* (JULY 12<sup>TH</sup>, 2017) <https://science.house.gov/events/science-and-policy-perspectives-national-security-implications-of-climate-change>

**F. AFFIDAVIT**

Ann Claire Phillips being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

  
Signature of Nominee

Subscribed and sworn before me this 09 day of Nov, 20 21.

Patricia Ochoa  
Notary Public # 7279055. Richmond, VA.



**ANN C. PHILLIPS**  
**Rear Admiral, U.S. Navy (Retired)**

Tel: [REDACTED]

**PROFESSIONAL EXPERIENCE**

OFFICE OF THE GOVERNOR, COMMONWEALTH OF VIRGINIA

***Special Assistant for Coastal Adaptation and Protection***

2018 - Present

- *Primary Point of contact for the resources to address coastal adaptation and flooding mitigation across the eight Coastal Virginia Planning District regions, including developing a Coastal Master Planning Framework, providing direction, coordination and collaboration across Federal, State, Regional and Local stakeholders to address the fiscal, scientific, economic, social, environmental equity, resilience and water management needs to adapt and protect Virginia's coast.*

INDEPENDENT CONSULTANT

***A.C.Phillips, LLC, Principal/Owner (in good standing/inactive)***

2015 - Present

- *Old Dominion University Research Foundation – Sea Level Rise Pilot Planning Project - Infrastructure Working Group Team Leader 2014-2016, Southern Elizabeth River Watershed Study Co-Lead 2017-2018*
- *League of Conservation Voters, Virginia – Resilience and strategic planning analysis / Hampton Roads region, 2017-2018*

***Burdeshaw Associates, LLC, Senior Associate (since 2018 affiliated/inactive)***

2014 - 2018

- *Surface Ship Systems Integration - strategic business development for selected clients exploring current and future business opportunities in US Navy weapons and communications spectrum areas, for surface, aviation and submarine communities.*

UNITED STATES NAVY

1983 - 2013

***Commander, Expeditionary Strike Group TWO***

2012 - 2013

***Director/Deputy Director, Chief of Naval Operations (CNO) Staff,  
Surface Warfare Division (N86)***

2009 - 2012

***Chief of Naval Operations Fellow - Strategic Studies Group XXVIII***

2008 - 2009

***Commander, Destroyer Squadron TWO EIGHT***

2007 - 2008

***Executive Assistant to Commander, SIXTH Fleet/Joint Command Lisbon/  
Striking Force NATO/NATO Response Force***

2004 - 2006

***Commanding Officer, USS MUSTIN (DDG 89)***

2001 - 2004

***Naval Surface Warfare Officer*** in roles with increasing responsibility including service aboard multiple ship types and in senior staff positions.

1983 - 2001

### Leadership and Strategy (Climate Resiliency, Strategy, and Adaptation Planning)

- **Office of the Governor:** First Special Assistant to the Governor of Virginia for Coastal Adaptation and Protection - a new position created by the 2018 Virginia General Assembly. Breaks down stovepipes to lead, coordinate and align coastal adaptation and resilience planning, funding and implementation actions across and between the Office of the Governor, State agencies, Planning Districts, Regional Commissions, Localities, Coastal Zone Management and Chesapeake Bay Programs, Federal agencies - DOD, USACE, NOAA, NASA, FEMA, HUD, academic institutions, public and private industry and businesses, non-profits, communities across coastal Virginia, with a focus on environmental and social equity.
  - ✿ Lead Author, *Virginia Coastal Resilience Master Planning Framework* – Virginia’s first planning process document - setting the course for the future of Virginia’s coastal adaptation and protection - released October 22, 2020.  
<https://www.governor.virginia.gov/media/governorvirginiagov/governor-of-virginia/pdf/Virginia-Coastal-Resilience-Master-Planning-Framework-October-2020.pdf>
  - ✿ Congressional Testimony on behalf of the Commonwealth on the topics of climate impact and coastal adaptation before *The House Budget Committee (July 24, 2019)*, *House T&I Subcommittee on Coast Guard and Maritime Transportation (Sept 24, 2019)*, *House T&I Subcommittee on the Water Resources Development Act and the Environment (Nov 22, 2019)*, the *Senate Special Committee on the Climate Crisis (Feb 13, 2020)*, and contributed input included in the *House Select Committee on the Climate Crisis June 2020 report*.
  - ✿ Coordinated across State agencies and academia to evaluate and establish Virginia’s first sea level rise planning standard and state-owned building elevation standard – among the strongest and most proactive in the nation.
- **Founding Board Member, The Council on Strategic Risks; Advisory Board Member, The Center for Climate and Security.**
  - ✿ Signatory – *A Climate and Security Plan for America* – The Climate and Security Advisory Group, September, 2019.
  - ✿ Panelist – Climate as an Impactor of National Security Plenary Day 2 , Planetary Security Conference, The Hague, Netherlands, February, 2019.
  - ✿ Signatory and Panelist - *A Responsibility to Prepare* - The Climate and Security Advisory Group, February 26, 2018.
  - ✿ Member, Military Expert Panel, and Panelist; *Military Expert Panel Report: Sea Level Rise and the U.S. Military’s Mission*, 2nd Edition, February, 2018.
- **Strategic Regional Climate Adaptation Planning;** Chair, Infrastructure Working Group (IWG), supporting Obama Administration-initiated, DoD-Sponsored (Navy Executive Agent), two-year Hampton Roads Sea Level Rise Preparedness and Resilience Intergovernmental Pilot Planning Project (IPP) convened by Old Dominion University - 2014-2016. Established expert, diverse and inclusive group participation, including university academic, municipal, and civil service leaders. Collaborated across five Working Groups, four Advisory Committees and the Steering Committee to develop and implement vision and strategy, including review of regional critical infrastructure, determination of dependencies and interdependencies, and creation of recommendations, as a contributing author, for effective long term inter-governmental resilience coordination. A model for other impacted regions.



- ✿ Invited to submit, co-authored, and was awarded a \$300K *bluemoonfund* grant to support completion of Phase II of the IPP, which funded technical support and additional academic research and ensured study completion.
- ✿ Second author, *A Coastal Resilience Case Study: The Little Creek/Pretty Lake Communities of Hampton Roads*, Shore and Beach Journal, Winter 2016.
- **Sought after Public Speaker** on the topics of climate impact on national security and the need for proactive whole of government and community approaches. Appeared in the movie “*Tidewater*” – American Resilience Project – March 2017, and in WGBH NOVA production “*Decoding the Weather Machine – Exploring the Science of Climate Change*”, April 2018, and productions including podcasts, interviews, print media.
- **While on active duty**, served on CNO’s Climate Change Task Force, and Energy Task Force. Co-Chaired the Surface Force Working Group - developing and implementing climate resilience adaptation and energy reduction strategies for the Surface Navy.

### Leadership & Strategy (Navy)

- **Served as the Commander** (CEO equivalent) of three afloat Naval commands ranging in size from 300 to 15,000 personnel, with oversight of total assets valued at over \$20B, while accountable to multiple Boards of Directors, Commanders, and Staffs.
- **Led and/or planned** strategic Naval, Joint, and Multi-National Expeditionary Warfare Operations, Foreign Humanitarian Assistance and Disaster Response, Non Combatant Evacuation, Defense Support to Civil Authorities, Consequence Management, and Crisis Response with assigned forces including operations with multi-national naval forces.
- **Selected to serve** as one of only 20 senior officers and executives on the Chief of Naval Operations (U.S. Navy equivalent of President and CEO) Transition Team in 2011.
- **Successfully completed multiple command assignments as the first woman to serve in that capacity.**
  - ✿ Directed pre-commissioning, construction, training, acceptance trials and staffing of new AEGIS destroyer, USS MUSTIN (DDG 89), a \$1B asset, as the Commanding Officer. Assumed responsibility for the ship at the shipyard in Pascagoula, Mississippi, one year prior to commissioning and through completion of first training cycle, and deployment preparation. The thorough preparation of the vessel and its crew successfully paved the way for its first 15 years of service.
  - ✿ Commanded Destroyer Squadron TWO EIGHT, eight subordinate ships and Destroyer Squadron staff, accountable for more than 3,500 personnel and \$8B in assets.
- **Senior American Executive (EA)** to Commander, Joint Command Lisbon. Assembled and oversaw the personal and command level staff of 250 from 24 nations to full operational capability, as the Command of this major Allied Forces Staff shifted, for the first time, to a U.S. NATO Commander.
- **Selected as one of 10 Senior CNO Fellows** tasked with generated strategies for the operational and tactical employment of unmanned systems as an integral part of the Naval Force for 2020 and beyond across air, surface, and subsurface domains.
- Skilled in collaboration, team building and talent development. A transformational and articulate leader having expanded horizons for diverse leaders in the military.

### Financial Management

- **Public service** as Board of Directors/Advisory Board Member on multiple Non-profit Boards focused on Leadership, Resilience, military/community interaction and underserved community development. Includes organizational maturity levels from start-up to over 50 years of service, with financial responsibility and asset values from \$200K to over \$15M.
- **On active duty**, developed, validated, allocated funding and oversaw execution of near and long-term fiscal requirements as **Director and earlier Deputy Director of Resources and Requirements for the Surface Navy** (Chief Financial Officer equivalent) on the CNO's Staff, based at the Pentagon.
  - ✦ Managed annual Fiscal Year Defense Plan submission with a 5-year average budget of \$48B, which included ships, combat systems, weapons systems, and the related training and infrastructure.
  - ✦ Led development of financial portfolio that included the Secretary of the Navy's Energy Reduction Initiatives, Climate Focus Integration, Unmanned Systems, and new technology and cyber integration into the Surface Warfare requirements generation process.

### Operations

- **Commanded East Coast Amphibious Forces**, as Commander of Expeditionary Strike Group TWO, provided strategic and operational leadership and management of 26 assigned subordinate ships, squadrons, and units - over 15,000 in manpower, and \$20B in capital assets.
  - ✦ Twice commanded multi-national training operations at sea in the Baltic region, including more than 40 ships from NATO and Partnership for Peace nations.
  - ✦ Within 24 hours of Hurricane Sandy, led the afloat amphibious force emergency deployment response for disaster assistance in the Mid-Atlantic region with 3 ships and 5,000 personnel.
  - ✦ Through external political and service-related awareness, established strong and trusted working relationship with U.S. Marine Corps. Facilitated the development and implementation of doctrinal changes to tactical and operational level mission execution between Navy/Marine Corps forces.
- **Coordinated operational logistics for 2000+ personnel** across NATO, Portuguese (host nation), U.S. Embassy, and other ambassadorial, military and government staffs, for the first NATO Response Force deployment for humanitarian earthquake relief operations in Pakistan.

## PROFESSIONAL AFFILIATIONS

<i>Appointed, Transportation Research Board, Transportation Resilience Metrics Study</i>	2020 - 2021
<i>Appointed, Joint Commission on Science and Technology Coastal Weather Impact Study, Commonwealth of Virginia.</i>	2020 - 2021
<i>Member, Global Military Advisory Committee/Climate Change, The Hague, NL</i>	2018 - Present
<i>Member, Board of Directors, The Council on Strategic Risks, Washington, DC</i>	2018 - Present
<i>Member, Board of Directors, LEAD Virginia, Richmond, VA</i>	2017 - Present
<i>Member, Advisory Board, The Center for Climate and Security, Washington, DC.</i>	2017 - Present
<i>Member, Board of Directors, WHRO, Inc., Norfolk, VA</i>	2017 - Present
<i>Member, Beta Gamma Sigma</i>	2016 - Present
<i>Member, Surface Navy Association, Alexandria, VA</i>	1989 - Present
<i>Member, University North Carolina Alumni Association, Chapel Hill, NC</i>	1983 - Present

Past service on numerous Nonprofit and Appointed Boards at the local, regional, state and national level including; City of Norfolk Mayor's Climate Change Commission and Military Economic Development Advisory Committee, Wetlands Watch, RISE Resiliency, Inc., CIVIC Leadership Institute, Norfolk Police Foundation, Hampton Roads Naval Historical Foundation, Norfolk, VA, American Resilience Project, Worcester, MA.

## EDUCATION &amp; CERTIFICATIONS

<b>MBA, with Distinction, College of William &amp; Mary, Mason School of Business, Williamsburg, VA</b>	2016
Bachelor of Arts, <b>University of North Carolina</b> , Chapel Hill, NC	1983
Graduate, <b>CAPSTONE Joint Flag and General Officer Leadership Training Program</b>	2010
Graduate, <b>LEAD Virginia</b> , Richmond, VA	2017
Graduate, <b>CIVIC Leadership Institute</b> , Norfolk, VA	2015
Certified, <b>Chesapeake Bay Landscape Professional, Level 2 (Design &amp; Install)</b>	2018
Certificates, <b>Senior Leadership Programs, U.S. Navy</b>	2011- 2013
2 - Commander Maritime Joint and Multi-National Operations at Sea (Europe, Middle East)	
1 - Joint Maritime Operations Commander	
1 - Aviation Joint Operations Commander	
Diploma, Joint Distance Education Program, <b>Naval War College</b> , Newport, RI	1997
Master Training Specialist Designation, <b>U.S. Navy</b>	1993