

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): Peter James Brown, born Peter James Rogan, last name legally changed to stepfather's name by court order April, 1977.  
Nickname "PJ" used within family.
2. Position to which nominated: Rear Admiral (0-8)
3. Date of Nomination:
4. Address (List current place of residence and office addresses):  
Residence: [REDACTED]  
Office: [REDACTED]
5. Date and Place of Birth: New York, NY October 28, 1963
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).  
Spouse: Jenny Vasquez Brown, homemaker  
Children: [REDACTED]
7. List all college and graduate degrees.  
Aug 1991 – May 1995      University of Connecticut School of Law, Juris Doctor  
Aug 1990 – May 1991      University of Miami, Master of Science (Chemistry)  
July 1981 – May 1985      U.S. Coast Guard Academy, Bachelor of Sci. (Chemistry)
8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated. I have served as a Coast Guard Officer since May 1985. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.  
My official Coast Guard biography is attached.
10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.  
None.
11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.  
As one of my official duties, I am the Coast Guard advisor to the Board of Directors of the U.S. Naval Institute, a non-profit, non-partisan association. (July 2014 – present)
12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.  
None.
13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.  
No.
14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.  
None.
15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements. Legion of Merit(2), Meritorious Service Medal (5), CG Commendation Medal (2), CG Achievement Medal (2), CG Commandant Letter of Commendation (2)
16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.  
None.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have extensive operational experience in several of the Coast Guard's most visible and vital missions, including law enforcement and search and rescue. I also have experience in coordinating interagency and international operations, which are becoming more and more important in an increasingly interconnected and interdependent world. I look forward to the opportunity to continue to serve the American people and my Coast Guard colleagues by focusing on mission effectiveness and efficiency while retaining the best traditions of military service.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I believe that strong internal management and leadership are vital to maintaining both operational effectiveness and public trust. I have experience leading both large and small Coast Guard units and soundly using and accounting for public resources. As a senior officer in the Coast Guard, I will continue to ensure that we efficiently use and account for public funds. As noted below, one of our significant challenges is developing operational measures of effectiveness that translate well into dollar values. I will advocate and work to develop those measures as they will add transparency and rigor to resource allocation decisions and accounting.

20. What do you believe to be the top three challenges facing the department/agency, and why?

1) Recent events, including the influx of unaccompanied children at the U.S. border, and the Ebola outbreak in West Africa, both in 2014, underscore the need for an expanded view of border security in an increasingly interconnected world. The U.S., and by extension the U.S. Coast Guard, must gain awareness of threats such as communicable diseases; uncontrolled migration; and illicit traffic in drugs, weapons, stolen and counterfeit goods, etc. well before they reach U.S. territory. Recent DHS and USCG strategies such as the DHS Southern Border & Approaches Campaign Plan and the USCG Western Hemisphere Strategy take the first steps toward this broader view. Implementing those strategies, which require investments not just at our land borders, but at sea and overseas, will be a significant challenge in a constrained budget climate.

2) Despite some progress, we have not yet developed performance measures that translate, to the American public, the value that the Coast Guard provides in facilitating safe and efficient commerce. Coastal residents are often aware of the

Coast Guard's search and rescue role, and many citizens are aware of our maritime law enforcement role, but far fewer understand port security, merchant vessel inspections, waterways management, and mariner credentialing. Large segments of the U.S. population may therefore undervalue the Coast Guard as a national asset. If we could develop dollar-denominated measures of effectiveness across all of our statutory mission areas, we could better articulate the value of the services the Coast Guard provides and the return on the budgetary investments that the taxpayers make.

3) We have to thoughtfully recapitalize our offshore cutter fleet, including the National Security Cutter (NSC), and Offshore Patrol Cutter (OPC). These assets, though expensive, are the tools needed to project a U.S. security presence to the high seas outside of any nation's Exclusive Economic Zone. The United States has tremendous authority to influence behavior in the maritime commons through laws, treaties, international bodies, bilateral agreements, and economic power. That influence would be substantially undercut without a robust and empowered Coast Guard, capable of sustained operations, operating continuously in the maritime approaches to the U.S. via the Atlantic, Pacific, and Arctic Oceans and the Caribbean Sea.

## B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.  
Please see my nominee PFDR.
2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.  
None.
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.  
None.
4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.  
None.
5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. In my capacity as a Coast Guard officer, in 2008, I briefed members of the Senate Judiciary Committee on the security threat posed by Self-propelled semi-submersible drug smuggling vessels (SPSSs) and requested the passage of legislation to give U.S. jurisdiction and authority over such vessels. The legislation was passed as the Drug Trafficking Vessel Interdiction Act (DTVIA) of 2008.
6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.  
I will obtain advice from a Coast Guard ethics official and follow that advice.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group?  
No.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense?  
No.
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation?  
No.
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense?  
No.
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.  
No.
6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement? No.
7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.  
None.

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?  
Yes.
2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?  
Yes.
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?  
Yes.
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?  
Yes.

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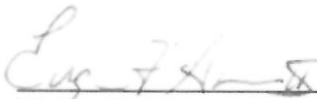
(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

PETER J. BROWN being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

  
\_\_\_\_\_  
Signature of Nominee

Subscribed and sworn before me this 2<sup>nd</sup> day of April, 2015.

  
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Notary Public

**Eugene F. Adams II**  
**Notary Public, District of Columbia**  
**My Commission Expires 9/30/2018**







## Rear Admiral Peter J. Brown

Assistant Commandant for Response Policy  
U.S. Coast Guard



Originally from Somers, NY, Rear Admiral Brown is a 1985 graduate of the U. S. Coast Guard Academy with a Bachelor's degree in chemistry. His Coast Guard career has primarily focused on sea duty and tactical law enforcement, with 4 afloat and 3 TACLET/LEDET tours of duty, including command of TACLET South in Miami Florida, from 2000 to 2002 and USCGC BOUTWELL (WHEC 719) Alameda, CA from 2006 to 2008. Under his command, BOUTWELL interdicted 5 drug trafficking vessels which were transporting over 13 metric tons of cocaine, a migrant smuggling vessel with 128 undocumented migrants aboard, and 6 foreign fishing vessels that were using internationally banned High Seas Drift Nets. More recently, he served as the Chief of Response for the Seventh Coast Guard District, with responsibility for search and rescue, maritime law enforcement, and international operations, including disaster relief, in South Carolina, Georgia, Florida and throughout the Caribbean. In July, 2011, he became the Chief of Staff for Coast Guard Atlantic Area, headquartered in Portsmouth, VA. Promoted to flag rank in 2013, Rear Admiral Brown oversees the development of strategic response doctrine and policy guidance for all Coast Guard forces. These policies encompass seven of the eleven operational maritime missions in the areas of law enforcement, search and rescue, counterterrorism and defense operations, oil spill response, incident management and preparedness, and contingency exercise programs.



Rear Admiral Brown earned a Master's degree in chemistry at the University of Miami in 1991 and taught chemistry at the Coast Guard Academy from 1991-95. While teaching, he worked with the Coast Guard's Research and Development Center in the testing of emerging drug detection technologies, paving the way for the deployment of advanced analytical chemical instruments at sea for law enforcement. During the same period, he also earned a law degree from the University of Connecticut. His awards include the Legion of Merit, 5 Meritorious Service Medals, 2 Commendation Medals, 3 Achievement Medals, and numerous unit and team awards.

**REAR ADMIRAL Peter J. Brown**

**Current Assignment**

May 2013 – Present

Assistant Commandant for Response Policy (CG-5R)

**Assignment History**

July 11 – Apr 13

LANTAREA, Chief of Staff

May 09 – Jun 11

D7(dr), Chief, Response Division

Jul 08 – May 09

D7(Dre), Chief, Enforcement Branch

Jul 06 – Jun 08

USCGC BOUTWELL, Commanding Officer

Jul 04 – Jun 06

D7(ole/dre), Deputy Chief, Law Enforcement Branch

Jun 02 – Jun 04

USCGC JARVIS, Executive Officer

May 00 – May 02

TACLET South, Commanding Officer

Aug 97 – May 00

D7 TACLET, Officer-in-Charge

May 95 – Jul 97

USCGC THETIS, Operations Officer

Jul 91 – May 95

USCG Academy, Chemistry Instructor

Aug 90 – Jun 91

University of Miami, Duty Under Instruction

Jan 90 – Jul 90

Caribbean Squadron, Air Operations Officer

Jul 87 – Dec 89

LEDET 8-A, Officer-in-Charge (based at Group Mobile)

Jun 85 – Jun 87

USCGC SAGEBRUSH, Deck Watch Officer/Ops Officer

**Educational Summary**

Aug 1991 – May 1995

University of Connecticut School of Law, Juris Doctor

Aug 1990 – May 1991

University of Miami, Master of Science (Chemistry)

Jul 1981 – May 1985

U.S. Coast Guard Academy, Bachelor of Sci. (Chemistry)

**Military Personal Awards and Significant National Recognition or Achievement**

2013, 11

Legion of Merit

2010

Certificate of Appreciation from Gov't of Haiti for Earthquake Response

2010, 08, 06, 02, 00

Meritorious Service Medal

**Significant Current Professional Credentials or Recent Activities**

2014-present

USCG Advisor to Naval Institute Board of Directors

2014

Member of O-7 Selection Board

2013-present

Co-Chair, Coast Guard-Navy Fleet Board

2013

Member of O-6 Assignment Panel

2011

President of O-4 Selection Board

2010-11

President of Class A Mishap Board (DOG training fatality)

2010

Member of Major Command Screening Panel, Afloat

2009

Member of O-6 Selection Board

2008-10

Maritime Migration Working Group, North Atlantic CG Forum

2007

Adjunct instructor for Defense Institute of International Legal Studies –  
Legal Aspects of Combating Terrorism – Mexico City (in Spanish)

1998-2011

CG's Expert Witness for Ionscan Drug Detection in federal courts

1997

Admitted to Florida Bar (Bar#0102245)

Conversational in Spanish