

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## **A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

1. Name (Include any former names or nicknames used):

Andrew Joseph Tiongson (Andy)

2. Position to which nominated: For 0-7 (Rear Admiral, Lower Half)

3. Date of Nomination: September 18, 2015

4. Address (List current place of residence and office addresses):

Residence:

Work:

5. Date and Place of Birth:

Date: 15 June 1967

Place: Chelsea Naval Hospital Chelsea, MA

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Katherine Faverey Tiongson

Position: Captain (O-6) U.S. Coast Guard, Chief-Intelligence Policy

Place of Employment: USCG Headquarters (CG-25) Washington DC

Child: Katelynn Ann Tiongson

Age: 18 years

Child:

Age:

7. List all college and graduate degrees. Provide year and school attended.

United States Coast Guard Academy  
Bachelor of Science – Electrical Engineering 1989

The George Washington University  
Master of Science (Engineering Management) – Environmental and Energy  
Management 1995

The George Washington University  
Master of Science Mechanical Engineering 1997

Massachusetts Institute of Technology  
Master of Science Engineering and Management 2009

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May 1989. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

The Army Navy Club Washington DC    Membership Dates: 2011 to present

No positions held.

Military Officers Association of America    Membership Dates: 2011 to present  
No positions held.

Saint Francis of Assisi Church Parish Fulton, MD    Membership Dates: 2007 to present  
No positions held.

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Military Medals / Awards:

2 Legions of Merit

3 Meritorious Service Medals with operational "O" device

3 Coast Guard Commendation Medals with operational "O" device

1 Navy Achievement Medal

1 Commandant's Letter of Commendation with operational "O" device

Several military unit and campaign awards (i.e. 3 Coast Guard Unit Commendations with operational "O" device, Southwest Asia Service Medal with bronze star, Kuwait Liberation Medal)

U.S. Navy Surface Warfare Officer Designation

Perry Award (American Society of Naval Engineers Award) Nominee

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

None.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

With over 26 years of Coast Guard Active Duty service in key operational and staff positions, I believe that I am qualified for appointment to the position of O-7 (Rear Admiral-Lower Half).

I have gained a great deal of operational experience while serving on 6 ships, 3 of which I commanded, and conducting a wide array of Coast Guard missions. Onboard these ships, I have participated as a leader in numerous operations involving the missions of Ports, Waterways and Coastal Security, Drug Interdiction, Search and Rescue, Living Marine Resources, Defense Readiness, Migrant Interdiction, and Other Law Enforcement missions (i.e. Preventing illegal foreign fishing vessel encroachment in U.S. waters). All of these missions directly support the goals / objectives of the Department of Homeland Security (DHS).

Additionally, I have served in key staff positions, which have broadened my perspective of the Coast Guard and put me at the forefront of dealing with the most challenging issues facing our Service and DHS. Examples include my assignments as the Executive Assistant (EA) to the Vice Commandant and Chief of the Office of Budget and Programs. While working as an EA, I assisted the Vice Commandant in her role as Chair of the Executive Management Council dealing with Chief Financial Officer Act compliance. Our goal was to ensure that we had the requisite planning, internal controls, testing and reporting in place to achieve audit compliance. These efforts led to the Coast Guard and DHS receiving a clean, unqualified audit opinion in 2013. While serving in the Office of Budget and Programs, I gained firsthand experience in dealing with the identification of all programmatic resource and policy needs throughout the Coast Guard, as well as the prioritization of these needs to meet potential budget constraints. I served as a key advisor to the Commandant in balancing Service needs with resources.

My reason for wishing to continue to serve our Nation as an O-7 (Rear Admiral-Lower Half) in the Coast Guard is twofold. First, I view service in the Coast Guard as a calling. I believe in our missions, feel that we provide great service to our Nation

and hold in high regard all those who serve in the Coast Guard. Secondly, I feel the leadership experience and lessons I have learned over the past 26 years have prepared me to join the Flag Officer corps. I hope to apply this experience and these lessons to lead the Coast Guard into the next century of service.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I believe that proper stewardship of Federal resources is the responsibility of all military and civilian Coast Guard personnel. With this in mind, as a leader within the Coast Guard, I would set the example for others in terms of proper management and accounting controls. I would ensure that appropriate planning, internal controls, testing and reporting policies and procedures were institutionalized within the part of the Service I would lead. Also, I would ensure that accountability standards for those not complying with the policies and procedures were set and upheld.

Throughout my career, I have held leadership positions in which I was responsible for managing large organizations and ensuring the proper stewardship of Federal resources. I have served as the Commanding Officer for two major Coast Guard ships [and one patrol boat] and the Executive Officer for a third. I have also served as the Executive Assistant to the Vice Commandant (similar to a Chief of Staff / Director of Staff position) and Chief, Office of Budget and Programs. In both of these staff positions, I had influence over the policies and standards set throughout the Coast Guard for the management and stewardship of our resources in order to attain the Commandant's strategic objectives.

20. What do you believe to be the top three challenges facing the department/agency, and why?

I believe that the top three challenges facing the Coast Guard include: aging assets, cyber security and the opening of the Arctic Circle as a maritime route.

The current state of the Coast Guard's cutter fleet is nearing levels of major concern - concern that the Coast Guard may not be able to safely and effectively perform its missions. Although the Coast Guard is currently replacing its High Endurance Cutters with National Security Cutters, much more needs to be accomplished to bring the fleet to its requisite readiness. Examples of assets that require replacement include the 40+ year old Medium Endurance Cutters, Polar Icebreaking Cutters, of which the United States only has one operational icebreaker capable of breaking the thick ice in the polar regions, and the inland river Construction/Buoy Tender fleet. All of these examples are exacerbated by the current fiscal environment. Fiscal challenges force the Coast Guard to make difficult, yet prudent decisions as to which of these strategic issues to address first while accepting increased risks in the ability to conduct certain mission areas.

Cyber security is a major concern for our Nation. Similar to other organizations in our country, as the Coast Guard has recapitalized some of its aging assets, it has moved towards more highly technical, electronic based systems. With a fledgling cyber security program within the Coast Guard, key command, control, communications and computer (C4) systems remain at risk to cyber attacks. These systems include the nationwide coastal C4 system (the Coast Guard's 9-1-1 system; Rescue 21) and the newest additions to the fleet, National Security Cutters and maritime patrol aircraft. Additionally, critical infrastructure systems of our Nation, such as the Maritime Transportation System, rely heavily on digital networks to conduct the commerce. The Coast Guard regulates physical security related to critical maritime infrastructure. The challenge now is in addressing the level of regulation and/or other support to industry required to deal with the cyber security of critical maritime infrastructure. In either role, the Coast Guard will need to grow this technical workforce and provide the incentives to retain it when industry is trying to grow and retain a similar workforce.

Navigable waterways in the Arctic are increasing. Challenges result from this increase in the form of opening maritime trade routes, ecotourism, natural resource exploration and recreational boating. These challenges represent mission sets that the Coast Guard is already statutorily tasked to conduct. In order to expand to this new region, the Coast Guard will require additional assets and infrastructure to ensure the safety, security and stewardship of these new waterways. Again, this issue is exacerbated by the current fiscal environment; an environment that requires the acceptance of increased risk in some areas while solutions for the most critical and pressing challenges are funded.



## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

As a Coast Guard Fellow working in the Office of the Speaker of the U.S. House of Representatives for a two year period of time (2004 - 2006), I was indirectly involved in the legislative process, including reauthorization of the Office of National Drug Control Policy, the Combat Methamphetamine Act and other authorizations and appropriations related to illicit drugs.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

### **C. LEGAL MATTERS**

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

When I was Commanding Officer of USCGC LEGARE (WMEC 912) from 2006 to 2008, a junior enlisted member initiated an informal complaint of discrimination against the chain of command due to low marks and negative administrative remarks concerning performance during an Enlisted Evaluation period. My recollection is that this informal complaint went to mediation and no formal complaint was filed. The individual's chain of command included: Supervisor, Department Head, Executive Officer and Commanding Officer.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.



#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

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(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

ANDREW J. TRINGSON being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Andrew J. Tringson, CAPT USCG  
Signature of Nominee

Subscribed and sworn before me this 22<sup>nd</sup> day of September, 2015

Miles K. Young  
Notary Public

LT MILES K. YOUNG, JUDGE ADVOCATE  
U.S. COAST GUARD

**AUTHORIZED TO ACT AS A NOTARY PUBLIC UNDER THE  
PROVISIONS OF SECTION 1044a OF TITLE 10 OF  
THE UNITED STATES CODE  
NO SEAL REQUIRED BY LAW**



## Captain Andrew J. Tiongson

Commanding Officer USCGC JAMES (WMSL 754)



Captain Tiongson is the Commanding Officer of the fifth National Security Cutter, USCGC JAMES (WMSL 754). He returned to the cutter fleet after completing a tour as Chief, Office of Budget and Programs at Coast Guard Headquarters. In this position, he was the Coast Guard's Budget Officer responsible for the formulation and justification of the \$10 billion budget. He is a career Cutterman with a wide array of key staff experience.

His previous afloat assignments include four Coast Guard cutters and one U.S. Navy ship. He has served in three Command Cadre positions: Commanding Officer onboard USCGC NANTUCKET (WPB 1316) and USCGC LEGARE (WMEC 912), and as Executive Officer onboard USCGC MOHAWK (WMEC 913). During his initial sea tour, he served as Damage Control Assistant and Assistant Engineer Officer onboard USCGC ESCANABA (WMEC 907). Following this assignment, he participated in the U.S. Coast Guard - U.S. Navy exchange program, serving as the Fire Control Officer and Navigation and Administration Department Head onboard USS NORMANDY (CG-60) where he deployed in support of Operation Desert Storm.



His staff assignments include two system acquisition tours at Coast Guard Headquarters. The first was in the Office of Logistics Management as the Assistant Project Officer of the Configuration Management Project for shipboard logistics management. Later, he served in the Acquisitions Directorate as Assistant Project Manager for the Rescue 21 project; the largest command and control acquisition in Coast Guard history. Captain Tiongson served as a Budget Coordinator and Program Reviewer in the Office of Budget and Programs at Headquarters. He served in the Office of Congressional Affairs as a Fellow; Senior Policy Advisor on domestic and international illicit drug issues and Veterans' Affairs to the Speaker, U.S. House of Representatives. He also served as Executive Assistant to the Vice Commandant of the Coast Guard where he supported the Commandant and Vice Commandant on strategic policy and budget matters.

Captain Tiongson's personal awards include two Legions of Merit, three Meritorious Service Medals, three Coast Guard Commendation Medals, the U.S. Navy Achievement Medal, the Commandant's Letter of Commendation and various Service and unit awards. He is a permanent Cutterman and has earned the U.S. Navy Surface Warfare Officer designation.

Captain Tiongson is a 1989 graduate of the United States Coast Guard Academy where he earned a Bachelor of Science Degree in Electrical Engineering. He holds two Master degrees from The George Washington University; Mechanical Engineering and Environmental and Energy Management. He was also a System Design and Management Fellow at the Massachusetts Institute of Technology (MIT) where he earned a Master of Science in Engineering and Management, a joint degree from MIT's Sloan School of Management and Engineering School. Most recently, he completed MIT's one-year Seminar XXI program on foreign politics, international relations and the national interest.

**CAPTAIN Andrew J. Tiongson**

**Current Assignment**

Jul 14 - Present USCGC JAMES (WMSL 754), Commanding Officer, CAPT

**Assignment History**

May 12 - Jul 14 COMDT (CG-82), Chief, Office of Budget and Programs (CG-82), CAPT  
May 10 - May 12 COMDT (CG-09EA), Executive Assistant to the Vice Commandant / Director of Staff (CG-092, CG-094, CG-095, CG-OOH, CG-2 and CG-8), CAPT  
Jun 09 - May 10 COMDT (CG-9331), Assistant Project Manager - Rescue 21, CDR  
May 08 - Jun 09 Massachusetts Institute of Technology (MIT), CDR  
Jul 06 - May 08 USCGC LEGARE (WMEC 912), Commanding Officer, CDR  
Jul 04 - Jul 06 COMDT (G-ICA), Congressional Fellow – Senior Policy Advisor to Speaker, U.S. House of Representatives for International / Domestic Drug Policy, CDR  
Jul 02 - Jun 04 USCGC MOHAWK (WMEC 913), Executive Officer, LCDR  
Aug 99 - Jun 02 COMDT (G-CPA-1), Budget Coordinator / Program Reviewer, LT-LCDR  
Jul 97 - Jul 99 USCGC NANTUCKET (WPB 1316), Commanding Officer, LT  
Jun 95 - Jun 97 COMDT (G-SLS), Assistant Project Officer / Deployment Team Leader, Configuration Management Project, LT  
Jul 93 - May 95 The George Washington University (GWU), LTJG-LT  
May 93 - Jul 93 COMDT (G-ENE), Project Officer, LTJG  
Jun 91 - Apr 93 USS NORMANDY (CG 60), Navigation, Administration Department Head / Fire Control Division Officer, LTJG  
Aug 89 - May 91 USCGC ESCANABA (WMEC 907), Student Engineer, ENS-LTJG  
Jun 89 - Jul 89 CG ATC Mobile, Admin Officer Cadet Training Program, ENS

**Educational Summary**

2010 - 2011 Massachusetts Institute of Technology (MIT) Seminar XXI: Foreign Politics, International Relations and the National Interest  
2008 - 2009 MIT Sloan School of Management and MIT Engineering School, Master of Science in Engineering and Management (joint degree program) (Cumulative GPA 4.8 out of 5.0)  
1993 - 1995 The George Washington University (GWU), Master of Engineering Management in Environmental and Energy Management (Cumulative GPA 3.90)  
1993 - 1995 GWU, Master of Science in Mechanical Engineering (Cumulative GPA 3.67)  
1985 - 1989 USCG Academy, Bachelor of Science in Electrical Engineering (Cumulative GPA 3.12)

**Military Personal Awards and Significant National Recognition or Achievement**

**Military Personal Awards:**

2014 Legion of Merit  
2012 Legion of Merit  
2008 Meritorious Service Medal  
2006 Meritorious Service Medal  
2004 USCG Commendation Medal  
2002 Meritorious Service Medal  
1999 USCG Commendation Medal  
1997 USCG Commendation Medal  
1993 USN Achievement Medal  
1992 USN Surface Warfare Officer Designation  
1991 Commandant's Letter of Commendation

**Significant Achievement:**

1997 Perry Award (American Society of Naval Engineers Award) Nominee

**Significant Current Professional Credentials or Recent Activities**

2015 Commissioned USCGC JAMES – First Commanding Officer.

2010 Selected / served as member of 24<sup>th</sup> Commandant's Transition Team.

2007 Led USCGC LEGARE during unprecedented Sixth Fleet deployment, furthering European Command's Theater Security Cooperation objectives in West Africa.

2006 Facilitated Congressional passage of Andean Counterdrug Initiative funding and policies, Combat Meth Epidemic Act and ONDCP Authorization Act.

1992 Extensive Battle Group experience in North Atlantic, Arctic, Mediterranean and Persian Gulf, including deployment in support of Operation Desert Storm.

On-going: Completed 8 of 11 required courses for Acquisition Program Manager – Level II certification.