

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## **A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

1. Name (Include any former names or nicknames used): Joanna M. Nunan (Maiden Name: Collins; nicknames: Jo and JC)
2. Position to which nominated: Rear Admiral (O8)
3. Date of Nomination:
4. Address (List current place of residence and office addresses):

[REDACTED]

[REDACTED]

5. Date and Place of Birth: 10/03/1965 in Washington, DC
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Husband: Thomas R. Nunan, III (unemployed)

Daughters: Brigid K. Nunan (19)

[REDACTED]

7. List all college and graduate degrees. Provide year and school attended.

MBA with Emphasis in Human Resource Management

Rensselaer Polytechnic Institute (1992)

Bachelor of Science in Mathematical Science

U. S. Coast Guard Academy (1987)

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have been employed by the U.S. Coast Guard since graduating from the U. S. Coast Guard Academy in 1987. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

American Legion (Member) 1996-2011  
Christ Episcopal Church (Member) 2004-2014  
Church of St. Luke the Evangelist (Member) 2017-present  
Coast Guard Alumni Association (Member) 1987-present  
Coast Guard Cuttermen (Lifetime Member) 2013-present  
Coast Guard Women's Leadership Initiative 2012-present  
e-Mentor Leadership Program – Academy Women (Member) 2012-2017  
Marines Memorial Club (Lifetime Member) 2006-present  
Military Officers Association of America (Lifetime Member) 2008-present  
Public Radio (Member) 1992-present  
Rock and Roll Hall of Fame Member (2017-present)

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

None

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

I served as a Military Fellow at the Center for Strategic & International Studies (CSIS) from August 2006 until May 2007.

My Coast Guard military medals are: CG Legion of Merit (2015, 2017); CG Meritorious Service Award (2013, 2010, 2006); CG Commendation Medal (2008, 2002, 1999); Army Achievement Medal (2016); CG Achievement Medal (2004, 1996, 1992); Global War Terror Service Medal (2005); National Defense Service Medals (2002, 1990); Humanitarian Service Medal (1992); Rifle Expert (2012); Pistol Expert (1999)

In 2006, I received the Secretary of Transportation Gold Medal for Outstanding Achievement and the Department of Transportation Public Service Award at the end of my tour as the Military Assistant to the Secretary of Transportation.

In 2013, I received recognition via an American Samoa Senate Concurrent Resolution.

In 1990, I was the Coast Guard Female Athlete of the Year.

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

2007 blog post on CSIS website regarding positive impact on US ratings overseas after USNS Mercy's Southeast Asia Humanitarian deployment

1/22/2008 "Innovation Doesn't Mean New" in Federal Tech magazine

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Over the past two years, I was privileged to serve as the Military Advisor to the Secretary of Homeland Security. As a member of the Secretary's leadership team, I was able to contribute to many of the Secretary's most important initiatives, Unity of Effort, with my work on the Joint Task Forces supporting the Southern Borders and Approaches Campaign and the Joint Duty Initiative, as well as the effort to enhance employee satisfaction.

I assisted the Secretary in preparing for his discussions with the Secretary of Defense and Combatant Commanders and arranged and accompanied him on a number of trips. My personal interactions with the Secretary and his senior leadership team were personally and professionally valuable.

I was able to support the Secretary's internal and external goals through collaborative partnerships, including participation on a number of working groups and committees, including the DHS-DOD Collaboration Group, which I co-lead, as well as the Permanent Joint Board on Defense, where I served as DHS Head of Delegation.

My experience as a flag officer at DHS and now as the District Commander responsible for U. S. Coast Guard operations on the Great Lakes, combined with my previous Coast Guard staff and operational tours will continue to serve the Department and Coast Guard well as I lead with an emphasis on partnerships within DHS, and our other federal, state, local and tribal partners. In my current area of responsibility, I am working closely with our Canadian counterparts as we jointly conduct search and rescue, law enforcement, and icebreaking. Collaboration and integration are the keys to our success.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I have always taken my role as a steward of government resources very seriously. Those resources include personnel, assets, funding, and authorities entrusted to me. In a previous assignment as Chief of Staff at the Coast Guard Force Readiness Command, I was involved in a number of initiatives to develop transparent, repeatable, and defensible processes for using our limited resources, including advanced education training allocation billets, "C" School prioritization, and tuition assistance funding policies. In each case, a collaborative approach with program managers, senior leaders, and other stakeholders, along with a comprehensive communication plan ensured the outcomes maximized the value

to the Coast Guard and were understood throughout the ranks.

20. What do you believe to be the top three challenges facing the department/agency, and why?

The Coast Guard will continue to be challenged to operate in a declining and often unpredictable budget environment. Strategically balancing the pressing needs to replace aging assets and infrastructure, and maintaining the readiness of our force while delivering exceptional service to the nation in our maritime safety, security, and stewardship missions is our highest priority.

Cyber security is a growing threat in all sectors. Deliberative disruptions of critical infrastructure demonstrate the impact cyber-attacks can have. The impact of such an attack in the maritime sector would be significant as 99% of overseas trade enters or leaves the U.S. by ship. Through Area Maritime Security Committees co-chaired by Coast Guard Captains of the Port and with assistance of federal port security grants, port communities have hardened their infrastructure and raised their resilience to natural and manmade disasters. A similarly determined approach to protect against cyber-attacks must be a priority for the Coast Guard. Because of the complexity of this national security threat, these efforts will require significant Coast Guard leadership, resources, and expertise, including identification of critical assets, promotion of maritime cyber security awareness, and establishment of policies and governance that enhance cyber security nationally and internationally. The Coast Guard's leadership in our ports will be key to building risk based plans that incorporate best practices in information sharing, technology, and coordinated responses.

The Coast Guard must remain committed to eliminating sexual assault in its ranks. Admiral Papp clearly made the case against this "violent crime that devastates its victims and also destroys unit discipline, erodes cohesion, and degrades our readiness." His determined approach included establishing a Military Campaign Office and keeping the message at the forefront of his remarks. This strong message echoed by Admiral Zukunft and other senior leaders, new training approaches, heightened oversight of military justice procedures, and enhanced support for victims has begun to change our culture. Reporting of sexual assault has gone up, including bystander reporting, which indicates a growing trust in leadership to take appropriate action. Analyzing cases and determining measures that could have prevented these crimes must continue to inform additional steps we can take until we have truly succeeded in this endeavor.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes



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(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

J. M. Numan being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

  
Signature of Nominee

Subscribed and sworn before me this 15 day of NOVEMBER, 2017

  
Notary Public IVANNA O. KINDRAT  
my commission expires 5-14-2021



## Rear Admiral Joanna Nunan

Commander, Ninth Coast Guard District  
U.S. Coast Guard



Rear Admiral Joanna Nunan assumed duty as the Ninth Coast Guard District Commander in August 2017. She is the senior Coast Guard Commander for the Great Lakes and Saint Lawrence Seaway, an area that encompasses eight states, a 1,500 mile international border, and a workforce of over 6,000 Coast Guard active duty, reserve, civilian, and auxiliary men and women.

A Bridgeport, Connecticut native, Rear Admiral Nunan graduated from the Coast Guard Academy in 1987. Her early sea duty was in the Caribbean, Gulf of Mexico, and Western Pacific before she became the last commanding officer of the WWII era USCGC IRONWOOD in Kodiak, Alaska, and subsequently the first commanding officer of its state of the art replacement, the 225-foot SPAR, which was built in Marinette, Wisconsin.



Ashore, she served the duties of Military Advisor to the Secretary of Homeland Security. Her primary responsibility was to provide counsel to the Secretary in affairs concerning the Departments of Homeland Security and Defense. She served as Deputy Sector Commander in San Juan, Puerto Rico, and Sector Commander, Honolulu, Hawaii. (The Sector position includes the following roles: Captain of the Port, Search and Rescue Mission Coordinator, Federal On Scene Coordinator, Officer In Charge of Marine Inspection, and Federal Maritime Security Coordinator.) Earlier assignments have involved Academy Admissions, Personnel Services, Civil Rights, Innovation, and most notably, serving as Military Assistant to Secretary of Transportation Norman H. Mineta and Chief of Staff at the Coast Guard Force Readiness Command in Norfolk, Virginia.

Her professional studies began with a Master's Degree in Business Administration from Rensselaer Polytechnic Institute in 1991. In 1999, she earned her Merchant Mariner License as Master (1,600 Tons), Second Mate (2,000 Tons), and Third Mate (Unlimited). From 2002 to 2003, she was a Seminar XXI Fellow in MIT's Center for International Studies, and in 2003-2004 a participant in the Executive Potential Program, under the auspices of the U.S. Department of Agriculture's Leadership Development Academy. In 2006, she was assigned as the Coast Guard Fellow at the Center for Strategic and International Studies, a bi-partisan think tank in Washington DC. She completed the 2012-2013 Homeland Security Executive Leaders Program at the Naval Postgraduate School's Center for Homeland Defense and Security and Harvard's National Preparedness Leadership Initiative from 2016 to 2017.

Rear Admiral Nunan's personal awards include the Secretary of Transportation Gold Medal for Outstanding Achievement, DOT Outstanding Public Service Award, two Legion of Merits, three CG Meritorious Service Medals, recognition via an American Samoa Senate Concurrent Resolution, and selection as the CG's first Female Elite Athlete of the Year.

**REAR ADMIRAL Joanna M. Nunan**

**Current Assignment**

April 2015 – Present Military Advisor to the Secretary of Homeland Security

**Assignment History**

June 2013 – April 2015 CG Force Readiness Command, Chief of Staff  
August 2010 – May 2013 CG Sector Honolulu, Sector Commander  
May 2008 – July 2010 CG Sector San Juan, Deputy Sector Commander  
June 2007 – May 2008 CG-0931, CG Innovation Manager  
August 2006 – May 2007 Center for Strategic & International Studies, Military Fellow  
March 2004 – July 2006 DOT, Military Assistant to the Secretary of Transportation  
August 2002 – March 2004 G-H, Deputy Director, CG External Civil Rights and Outreach Programs  
October 2000 – August 2002 USCGC SPAR (WLB 206), Commanding Officer  
June 1999 – October 2000 USCGC IRONWOOD (WLB 297), Commanding Officer  
September 1996 – May 1999 ISC Ketchikan, Personnel Services Division Chief  
July 1994 – September 1996 USCGC BASSWOOD (WLB 388), Executive Officer  
July 1992 – June 1994 USCGC VIGILANT (WMEC 617), Operations Officer  
May 1989 – June 1992 USCG Academy, Admissions Officer  
June 1987 – May 1989 USCGC BUTTONWOOD (WLB 306), Operations Officer

**Educational Summary**

1989 – 1992 Rensselaer Polytechnic Institute, MBA with HR emphasis  
1983 – 1987 USCG Academy, Bachelor of Science in Mathematical Science

**Military Personal Awards and Significant National Recognition or Achievement**

2006 Secretary of Transportation Gold Medal for Outstanding Achievement  
2006 DOT Outstanding Public Service Award  
2013, 2010, 2008 CG Meritorious Service Medal  
2008, 2002, 1999 CG Commendation Medal  
2004, 1996, 1992 CG Achievement Medal  
1990 Commandant's Letter of Commendation  
2004 White House Fellows Program Regional Finalist  
2003 Executive Potential Program Participant  
2002 MIT Seminar XXI Fellow  
1990 CG Female Athlete of the Year

**Significant Current Professional Credentials or Recent Activities**

1995 Permanent Cutterman  
2013 Advanced Boat Forces Qualification  
2012-2013 Homeland Security Executive Leaders Program  
2011 Asia-Pacific Economic Cooperation, Maritime Incident Commander  
2012 Certified Type III Incident Commander  
1997 Merchant Mariner License, Master 1600 Tons, Second Mate 2000 Tons, Third Mate Unlimited