

**Senate Commerce Committee Coast Guard Nominee Questionnaire
117th Congress**

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

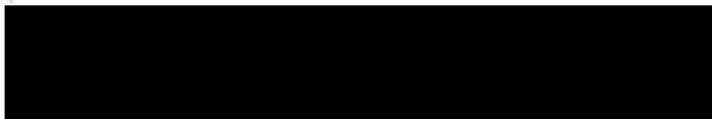
Wayne Roland Arguin Jr.

2. Position to which nominated:

Rear Admiral, (Lower Half)

3. Date of Nomination: August 16, 2021

4. Address (List current place of residence and office addresses):



USCG Headquarters
2703 Martin Luther King Jr Ave SE
Washington DC, 20593

5. Date and Place of Birth:

21JAN1970, Whiteman AFB, Knob Noster, Missouri

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Andrea Cropp-Arguin- self employed
Conner McKee Arguin-24
Carson Brigham Arguin-22
Connor Cropp-19
Kylie Cropp-19

7. List all college and graduate degrees. Provide year and school attended.

1988-1992:US Coast Guard Academy
Bachelor of Science, Nav. Arch/Marine Engineering

1999-2001: University of Michigan
Masters of Science, Nav. Arch/Marine Engineering

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May, 20, 1992. Please see my official Guard Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization,

private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Member: Propellor Club of New Orleans (2014-2018)

Board Member: Dumfries, Quantico, Triangle Little League (2010-present)

Member: USCG Academy Alumni Association (May 1992 - Present)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

U. S. Coast Guard Legion of Merit Medal
U. S. Coast Guard Meritorious Service Medal (x4)
U. S. Coast Gard Commendation Medal (x4)

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

“Brown Water Operations”, The Coast Guard Journal of Safety & Security at Sea, Proceedings of the Marine Safety & Security Council, Winter 2007-2008, Vol. 64, No. 4.

“Tragedy-Driven Change”, The Coast Guard Journal of Safety & Security at Sea, Proceedings of the Marine Safety & Security Council, Spring 2007, Vol. 64, No. 1.

“Simulation of Planing Hull Dynamics in the Transverse Plane”, University of Michigan, Masters of Science in Engineering Thesis, 2001

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Facebook (Active): Wayne Arguin Jr

Twitter (Active): @wrarguin

Linkdin (Active): Wayne Arguin Jr

Instagram(Dormant):wrarguin

Snapchat(Dormant):wingbuster27

YouTube(Dormant): wrarguincg92@gmail.com

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

20. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I'm both honored and humbled to be selected for appointment to Rear Admiral (Lower Half). If confirmed, I look forward to continuing to serve and support Coast Guard women and men, their families and the public in this role. Throughout my twenty-nine years of active duty service, I have gained front line experience across the full spectrum of Coast Guard missions in a variety of leadership positions. My experience as a Coast Guard strategist has provided insights into current and emerging opportunities and challenges that could impact the Service. These experiences, coupled with my formal engineering educational opportunities, have provide me with the foundation to analyze complex problems and develop novel solutions. I have also had the opportunity throughout my career to work with a variety of federal, state, local, tribal, non-governmental organizations and industry stakeholders. These collaborative partnerships have always yielded best outcomes to protect the American public and our vast resources from emerging threats. The commitment, passion and drive of these professionals is inspiring and, as a Coast Guard Flag officer, I will continue to support these collaborative efforts in the pursuit of the Nation's priorities.

21. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

It is each Coast Guard member's sworn duty to be a responsible steward of our Nation's resources. Coast Guard senior leaders must establish and maintain high standards for our service members, our stakeholders and the public. I have gained extensive experience managing and overseeing Coast Guard resources to maximize return on the public's investment in the Service throughout my career. My prior assignments have allowed me the opportunity to manage hundreds of personnel, millions of dollars, and significant responsibilities. These assignments have spanned a wide

range of Coast Guard experiences from emergency preparedness and incident response operations in the field to multi-agency strategy, budget and policy development at senior levels of executive branch agencies. These experiences have reinforced the importance of cultivating and sustaining public trust. The Coast Guard has afforded me the opportunity to hone management skills that are essential for executive leadership positions and will serve as a foundation for developing and preserving public confidence. As a Coast Guard Flag officer, I will endeavor to judiciously allocate resources balancing risks and benefits, informed by stakeholder engagement, and regularly assessed to ensure maximum return on investment.

22. What do you believe to be the top three challenges facing the Coast Guard, and why?

Three of the largest challenges facing the Coast Guard are readiness, technology and mission execution.

Coast Guard readiness is the foundation of our ability to deliver services expected by the American public. The Coast Guard must continue to cultivate the right workforce, with the right skillsets, tools and resources to meet today and tomorrow's missions. This workforce must reflect the whole Nation, with a diversity of experience, background and perspectives to yield best outcomes.

The Coast Guard's operational portfolio continues to be challenged by the pace and complexity of technology. Legacy regulatory regimes can no longer keep pace. Whether updating the Coast Guard's desktop computer system to take advantage of the latest software solutions or modernizing the Coast Guard's fleet with tomorrow's technology, the Coast Guard must be able to continuously evaluate technological advances and incorporate these improvements to address future demands for service. The Coast Guard must also regularly review tactics, training and procedures to ensure the workforce is prepared to most effectively meet these emerging demands of a technology dependant economy.

The Coast Guard is uniquely positioned and must continue to leverage the full set of our authorities, capabilities, and partnerships to meet increasing service demands. While maritime commerce continues to remain the most efficient mode of transportation, congestion in the

Nation's port will continue to place pressure on the Service's ability to ensure the safe, efficient and effective delivery of goods vital to the Nation's economy. Likewise, the popularity of watersports activities will continue to stress Coast Guard Search and Rescue resources, placing even more demands on partnerships at the state, local and tribal levels to ensure all waterways users can access and enjoy recreational boating activities.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest. Please see my nominee PFDR.

None, but if any conflicts of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if any conflicts of interest or potential conflicts of interest arise, I will obtain advice from a Coast Guard ethics official and follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but if any conflict of interest or potential conflict of interest

concern arises, I will obtain advice from a Coast Guard ethics official and follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

No

If yes:

- a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense?

No

If so, please explain. N/A

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation?

No

If so, please explain. N/A

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense?

No

If so, please explain. N/A

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age, disability, genetic information, marital status, parental status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

In 2004, when I was a lieutenant commander, I was named as an alleged discriminating official in an EEO case. A military member who was leaving the service alleged discrimination based on race and gender when she was not selected for a civilian Port Security Specialist position. I was named because I had been directed to serve on the hiring panel for the open position and interviewed the complainant and another candidate. The other candidate was ultimately selected for the position by my superior because of his qualifications and extensive experience in the field. I did not serve as the supervisor of the complainant. After trial on the merits, the Coast Guard was found to not have discriminated in any way against the complainant.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their

oversight jurisdiction, even absent a formal Committee request?

Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

WAYNE R. ALLWIN JR being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

W. R. Allwin Jr
Signature of Nominee

Subscribed and sworn before me this 20th day of September, 20 20.

Eugene F. Adams II
Notary Public

Eugene F. Adams II
Notary Public, District of Columbia
My Commission Expires 9/30/2023





Captain Wayne R. Arguin Jr.

Director, Inspections and Compliance (CG-5PC)

Captain Arguin currently serves as the Director of Inspection and Compliance within the Prevention Policy Directorate at U.S. Coast Guard Headquarters. Captain Arguin is responsible for policy development and program oversight of foreign and domestic commercial vessel, port and facility safety and security, recreational boating safety, vessel documentation, marine casualty investigations and the United States Coast Guard Auxiliary.

His previous operational assignments include Sector Commander in New Orleans, LA where he directed Coast Guard operations throughout the Louisiana and Mississippi Gulf Coast as well as the Mississippi River System from Baton Rouge to the Gulf of Mexico and U. S. Outer Continental Shelf. As Executive Officer of Marine Safety Office Memphis, TN and Prevention Department Head at Sector Lower Mississippi River, he coordinated waterways management activities, vessel inspections, mariner licensing and marine casualty investigations on the Lower Mississippi River and its tributaries. In 1994, Captain Arguin earned his Marine Inspection qualifications at Marine Safety Office Hampton Roads and served as senior marine inspector performing commercial vessel and cruise ship inspections at Marine Safety Office Tampa, Florida. He was also assigned to USCGC HARRIET LANE (WMEC-903) as a student engineer, deck watch officer and Damage Control Assistant.

His staff assignments include Director of Emerging Policy, where he was responsible for the development of enterprise strategy/doctrine to address emerging national priorities impacting Coast Guard interests. He also led the Coast Guard's strategic foresight initiative, Project Evergreen, a multi-year process to identify strategic service needs through 'over the horizon', scenario-based planning methods. Captain Arguin served at the Coast Guard's Marine Safety Center where he was responsible for the evaluation and approval of vessel structures, stability, fire protection systems and coordinated salvage engineering support to the Coast Guard Captains of the Port (COTP) in response to a variety of vessel casualties. In 2008, he was assigned to the Executive Staff of the Deputy Commandant for Operations, serving as the Staff Director for the National Incident Commander throughout the Coast Guard's historic response to the Deepwater Horizon casualty, supporting daily White House and Cabinet-level briefings on the Nation's response effort. In 2001, Captain Arguin was assigned to Coast Guard Headquarters, Office of Design and Engineering Standards where he developed domestic and international design standards for high speed, novel craft and coordinated the Coast Guard's Risk Based Decision-Making initiative.

Captain Arguin is a 1992 graduate of the Coast Guard Academy, where he earned a Bachelor of Science degree in Naval Architecture and Marine Engineering and in 2001, he earned a Master of Science degree in Naval Architecture and Marine Engineering from the University of Michigan.

A native of Millington, TN, Captain Arguin currently resides in Manassas, VA with his wife, Andrea, and 4 children.

Captain Wayne R. Arguin

Current Assignment

June 2020 – Present Office of Prevention Policy (CG-5P)-Director, Inspection and Compliance (CG-5PC)

Assignment History

Jul 16 – Jun 18	Sector New Orleans, Sector Commander
Jun 14 – Jul 16	Sector New Orleans, Deputy Sector Commander
Apr 12 – Jun 14	Marine Safety Center, Executive Officer
Oct 10 – Apr 12	Marine Safety Center, Hull Division. Chief
Jul 08 – Oct 10	USCG Headquarters Deputy Commandant for Operations, Admin/Coordination Staff Chief
Jul 05 – Jul 08	Sector Lower Mississippi River, Prevention Dept Head
Jun 04 – Jul 05	Marine Safety Office Memphis, TN, Executive Officer
Jul 01 – Jun 04	USCG Headquarters Assistant Commandant for Marine Safety, Security and Environmental Protection, Office of Design and Engineering Standards (G-MSE), Staff Engineer
Jul 99 – Jul 01	Graduate School, University Of Michigan
Jul 96 – Jul 99	Marine Safety Office Tampa, Port Operations & Marine Inspections
Jul 94 – Jul 96	Marine Safety Office Hampton Roads, Marine Inspector
May 92 – Jul 94	USCGC HARRIET LANE, Student Engineer/Damage Control Assistant

Educational Summary

Jul 99 – Jul 01	University Of Michigan, Masters in Engineering Naval Architecture and Marine Engineering
Jul 88 – May 92	U. S. Coast Guard Academy, Bachelors in Engineering Naval Architecture and Marine Engineering

Military Personal Awards and Significant National Recognition or Achievement

2020 Coast Guard Legion of Merit
2018 Coast Guard Meritorious Service Medal
2014 Coast Guard Meritorious Service Medal
2010 Coast Guard Meritorious Service Medal
2010 Coast Guard Meritorious Service Medal
2008 Coast Guard Commendation Medal
2005 Coast Guard Commendation Medal
2004 Coast Guard Commendation Medal
1999 Coast Guard Commendation Medal

Significant Current Professional Credentials or Recent Activities

None